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Public Utility Commission of Texas

June 2, 2020

Dear Subscriber:

Enclosed are one new rule, one amended rule, and one repealed rule as recently adopted:

Chapter 25 — Substantive Rules Applicable to Electric Service Providers.

<u>Project</u>	<u>Section</u>	<u>Title</u>	<u>Action</u>	<u>Effective Date</u>
		Table of Contents	Amended pages XXV-XXVI, XXXVII- XL	
50293	§25.227	Electric Utility Service for Public Utility Customers	Repeal	6/4/20
49819	§25.367	Cybersecurity Monitor	New	6/4/20
50406	§25.471	General Provisions of Customer Protection Rules	Amended	6/4/20
		Appendix III	Amended	

Please place the amended and new rule in your rulebooks in the appropriate order. The order adopting the rules and the rule repeal can be found on the Commission's Interchange System.

If you have any questions, please call (512) 936-7244 or e-mail andrea.gonzalez@puc.texas.gov. Thank you.





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CHAPTER 25. SUBSTANTIVE RULES APPLICABLE TO ELECTRIC SERVICE PROVIDERS

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Subchapter O. UNBUNDLING AND MARKET POWER. Division 2. Independent Organizations

§25.367. Cybersecurity Monitor.

- (a) **Purpose.** This section establishes requirements for the commission's cybersecurity coordination program, the cybersecurity monitor program, the cybersecurity monitor, and participation in the cybersecurity monitor program; and establishes the methods to fund the cybersecurity monitor.
- (b) **Applicability.** This section is applicable to all electric utilities, including transmission and distribution utilities; corporations described in Public Utility Regulatory Act (PURA) §32.053; municipally owned utilities; electric cooperatives; and the Electric Reliability Council of Texas (ERCOT).
- (c) **Definitions.** The following words and terms when used in this section have the following meanings, unless the context indicates otherwise:
- (1) **Cybersecurity monitor** -- The entity selected by the commission to serve as the commission's cybersecurity monitor and its staff.
 - (2) **Cybersecurity coordination program** -- The program established by the commission to monitor the cybersecurity efforts of all electric utilities, municipally owned utilities, and electric cooperatives in the state of Texas.
 - (3) **Cybersecurity monitor program** -- The comprehensive outreach program for monitored utilities managed by the cybersecurity monitor.
 - (4) **Monitored utility** -- A transmission and distribution utility; a corporation described in PURA §32.053; a municipally owned utility or electric cooperative that owns or operates equipment or facilities in the ERCOT power region to transmit electricity at 60 or more kilovolts; or an electric utility, municipally owned utility, or electric cooperative that operates solely outside the ERCOT power region that has elected to participate in the cybersecurity monitor program.
- (d) **Selection of the Cybersecurity Monitor.** The commission and ERCOT will contract with an entity selected by the commission to act as the commission's cybersecurity monitor. The cybersecurity monitor must be independent from ERCOT and is not subject to the supervision of ERCOT. The cybersecurity monitor operates under the supervision and oversight of the commission.
- (e) **Qualifications of Cybersecurity Monitor.**
- (1) The cybersecurity monitor must have the qualifications necessary to perform the duties and responsibilities under subsection (f) of this section.
 - (2) The cybersecurity monitor must collectively possess technical skills necessary to perform cybersecurity monitoring functions, including the following:
 - (A) developing, reviewing, and implementing cybersecurity risk management programs, cybersecurity policies, cybersecurity strategies, and similar documents;
 - (B) working knowledge of North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP) standards and implementation of those standards; and
 - (C) conducting vulnerability assessments.
 - (3) The cybersecurity monitor staff are subject to background security checks as determined by the commission.
 - (4) Every cybersecurity monitor staff member who has access to confidential information must each have a federally-granted secret level clearance and maintain that level of security clearance throughout the term of the contract.
- (f) **Responsibilities of the cybersecurity monitor.** The cybersecurity monitor will gather and analyze information and data provided by ERCOT and voluntarily disclosed by monitored utilities and cybersecurity coordination program participants to manage the cybersecurity coordination program and the cybersecurity monitor program.
- (1) **Cybersecurity Coordination Program.** The cybersecurity coordination program is available to all electric utilities, municipally owned utilities, and electric cooperatives in the state of Texas. The cybersecurity coordination program must include the following functions:

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- (A) guidance on best practices in cybersecurity;
 - (B) facilitation of sharing cybersecurity information among utilities;
 - (C) research and development of best practices regarding cybersecurity;
 - (D) guidance on best practices for cybersecurity controls for supply chain risk management of cybersecurity systems used by utilities, which may include, as applicable, best practices related to:
 - (i) software integrity and authenticity;
 - (ii) vendor risk management and procurement controls, including notification by a vendor of incidents related to the vendor's products and services; and
 - (iii) vendor remote access.
- (2) **Cybersecurity Monitor Program.** The cybersecurity monitor program is available to all monitored utilities. The cybersecurity monitor program must include the functions of the cybersecurity coordination program listed in paragraph (1) of this subsection in addition to the following functions:
- (A) holding regular meetings with monitored utilities to discuss emerging threats, best business practices, and training opportunities;
 - (B) reviewing self-assessments of cybersecurity efforts voluntarily disclosed by monitored utilities; and
 - (C) reporting to the commission on monitored utility cybersecurity preparedness.
- (g) **Authority of the Cybersecurity Monitor.**
- (1) The cybersecurity monitor has the authority to conduct monitoring, analysis, reporting, and other activities related to information voluntarily provided by monitored utilities.
 - (2) The cybersecurity monitor has the authority to request, but not to require, information from a monitored utility about activities that may be potential cybersecurity threats.
- (h) **Ethics standards governing the Cybersecurity Monitor.**
- (1) During the period of a person's service with the cybersecurity monitor, the person must not:
 - (A) have a direct financial interest in the provision of electric service in the state of Texas; or have a current contract to perform services for any entity as described by PURA §31.051 or a corporation described by PURA §32.053.
 - (B) serve as an officer, director, partner, owner, employee, attorney, or consultant for ERCOT or any entity as described by PURA §31.051 or a corporation described by PURA §32.053;
 - (C) directly or indirectly own or control securities in any entity, an affiliate of any entity, or direct competitor of any entity as described by PURA §31.051 or a corporation described by PURA §32.053, except that it is not a violation of this rule if the person indirectly owns an interest in a retirement system, institution or fund that in the normal course of business invests in diverse securities independently of the control of the person; or
 - (D) accept a gift, gratuity, or entertainment from ERCOT, any entity, an affiliate of any entity, or an employee or agent of any entity as described by PURA §31.051 or a corporation described by PURA §32.053.
 - (2) The cybersecurity monitor must not directly or indirectly solicit, request from, suggest, or recommend to any entity, an affiliate of any entity, or an employee or agent of any entity as described by PURA §31.051 or a corporation described by PURA §32.053, the employment of a person by any entity as described by PURA §31.051 or a corporation described by PURA §32.053 or an affiliate.
 - (3) The commission may impose post-employment restrictions for the cybersecurity monitor and its staff.
- (i) **Confidentiality standards.** The cybersecurity monitor and commission staff must protect confidential information and data in accordance with the confidentiality standards established in PURA, the ERCOT protocols, commission rules, and other applicable laws. The requirements related to the level of protection to be afforded information protected by these laws and rules are incorporated in this section.

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- (j) **Reporting requirement.** All reports prepared by the cybersecurity monitor must reflect the cybersecurity monitor's independent analysis, findings, and expertise. The cybersecurity monitor must prepare and submit to the commission:
- (1) monthly, quarterly, and annual reports; and
 - (2) periodic or special reports on cybersecurity issues or specific events as directed by the commission or commission staff.
- (k) **Communication between the Cybersecurity Monitor and the commission.**
- (1) The personnel of the cybersecurity monitor may communicate with the commission and commission staff on any matter without restriction consistent with confidentiality requirements.
 - (2) The cybersecurity monitor must:
 - (A) immediately report directly to the commission and commission staff any cybersecurity concerns that the cybersecurity monitor believes would pose a threat to continuous and adequate electric service or create an immediate danger to the public safety, and notify the affected utility or utilities of the information reported to the commission or commission staff;
 - (B) regularly communicate with the commission and commission staff, and keep the commission and commission staff apprised of its activities, findings, and observations;
 - (C) coordinate with the commission and commission staff to identify priorities; and
 - (D) coordinate with the commission and commission staff to assess the resources and methods for cybersecurity monitoring, including consulting needs.
- (l) **ERCOT's responsibilities and support role.** ERCOT must provide to the cybersecurity monitor any access, information, support, or cooperation that the commission determines is necessary for the cybersecurity monitor to perform the functions described by subsection (f) of this section.
- (1) ERCOT must conduct an internal cybersecurity risk assessment, vulnerability testing, and employee training to the extent that ERCOT is not otherwise required to do so under applicable state and federal cybersecurity and information security laws.
 - (2) ERCOT must submit an annual report to the commission on ERCOT's compliance with applicable cybersecurity and information security laws by January 15 of each year or as otherwise determined by the commission.
 - (3) Information submitted in the report under paragraph (2) of this subsection is confidential and not subject to disclosure under chapter 552, Government Code, and must be protected in accordance with the confidentiality standards established in PURA, the ERCOT protocols, commission rules, and other applicable laws.
- (m) **Participation in the cybersecurity monitor program.**
- (1) A transmission and distribution utility, a corporation described in PURA §32.053, and a municipally owned utility or electric cooperative that owns or operates equipment or facilities in the ERCOT power region to transmit electricity at 60 or more kilovolts must participate in the cybersecurity monitor program.
 - (2) An electric utility, municipally owned utility, or electric cooperative that operates solely outside the ERCOT power region may elect to participate in the cybersecurity monitor program.
 - (A) An electric utility, municipally owned utility, or electric cooperative that elects to participate in the cybersecurity monitor program must annually:
 - (i) file with the commission its intent to participate in the program and to contribute to the costs of the cybersecurity monitor's activities in the project established by commission staff for this purpose; and
 - (ii) complete and submit to ERCOT the participant agreement form available on the ERCOT website to furnish information necessary to determine and collect the monitored utility's share of the costs of the cybersecurity monitor's activities under subsection (n) of this section.

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- (B) The cybersecurity monitor program year is the calendar year. An electric utility, municipally owned utility, or electric cooperative that elects to participate in the cybersecurity monitor program must file its intent to participate and complete the participant agreement form under subparagraph (A) of this subsection for each calendar year that it intends to participate in the program.
 - (i) Notification of intent to participate and a completed participant agreement form may be submitted at any time during the program year, however, an electric utility, municipally owned utility, or electric cooperative that elects to participate in an upcoming program year is encouraged to complete these steps by December 1 prior to the program year in order to obtain the benefit of participation for the entire program year.
 - (ii) The cost of participation is determined on an annual basis and will not be prorated.
 - (iii) A monitored utility that operates solely outside of the ERCOT power region may discontinue its participation in the cybersecurity monitor program at any time but is required to pay the annual cost of participation for any calendar year in which the monitored utility submitted a notification of intent to participate.
- (3) Each monitored utility must designate one or more points of contact who can answer questions the Cybersecurity Monitor may have regarding a monitored utility's cyber and physical security activities.
- (n) **Funding of the Cybersecurity Monitor.**
 - (1) ERCOT must use funds from the rate authorized by PURA §39.151(e) to pay for the cybersecurity monitor's activities.
 - (2) A monitored utility that operates solely outside of the ERCOT power region must contribute to the costs incurred for the cybersecurity monitor's activities.
 - (A) On an annual basis, ERCOT must calculate the non-refundable, fixed fee that a monitored utility that operates solely outside of the ERCOT power region must pay in order to participate in the cybersecurity monitor program for the upcoming calendar year.
 - (B) ERCOT must file notice of the fee in the project designated by the commission for this purpose and post notice of the fee on the ERCOT website by October 1 of the preceding program year.
 - (C) Before filing notice of the fee as required by paragraph (2)(B) of this subsection, ERCOT must obtain approval of the fee amount and calculation methodology from the commission's executive director.

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Subchapter R. CUSTOMER PROTECTION RULES FOR RETAIL ELECTRIC SERVICE.

§25.471. General Provisions of Customer Protection Rules.

- (a) **Application.** This subchapter applies to aggregators and retail electric providers (REPs). In addition, where specifically stated, these rules apply to transmission and distribution utilities (TDUs), the registration agent, brokers and power generation companies. These rules specify when certain provisions are applicable only to some, but not all, of these providers.
- (1) Affiliated REP customer protection rules, to the extent the rules differ from those applicable to all REPs or those that apply to the provider of last resort (POLR), do not apply to the affiliated REP when serving customers outside the geographic area served by its affiliated transmission and distribution utility. The affiliated REP customer protection rules apply until the price-to-beat obligation ends in the affiliated REPs' affiliated TDU service territory.
 - (2) Requirements applicable to a POLR apply to a REP only in its provision of service as a POLR.
 - (3) The rules in this subchapter are minimum, mandatory requirements that must be offered to or complied with for all customers unless otherwise specified. Except for the provisions of §25.495 of this title (relating to Unauthorized Change of Retail Electric Provider), §25.481 of this title (relating to Unauthorized Charges), and §25.485(a)-(b) of this title (relating to Customer Access and Complaint Handling), a customer other than a residential or small commercial class customer, or a non-residential customer whose load is part of an aggregation in excess of 50 kilowatts, may agree to terms of service that reflect either a higher or lower level of customer protections than would otherwise apply under these rules. Any agreements containing materially different protections from those specified in these rules must be reduced to writing and provided to the customer. Additionally, copies of such agreements must be provided to the commission upon request.
 - (4) The rules of this subchapter control over any inconsistent provisions, terms, or conditions of a REP's terms of service or other documents describing service offerings for customers in Texas.
 - (5) For purposes of this subchapter, a municipally owned utility or electric cooperative is subject to the same provisions as a REP where the municipally owned utility or electric cooperative sells retail electricity service outside its certificated service area.
- (b) **Purpose.** The purposes of this subchapter are to:
- (1) provide minimum standards for customer protection. An aggregator or REP may adopt higher standards for customer protection, provided that the prohibition on discrimination set forth in subsection (c) of this section is not violated;
 - (2) provide customer protections and disclosures established by other state and federal laws and rules including but not limited to the Fair Credit Reporting Act (15 U.S.C. §1681, et seq.) and the Truth in Lending Act (15 U.S.C. §1601, et seq.). Such protections are applicable where appropriate, whether or not it is explicitly stated in these rules;
 - (3) provide customers with sufficient information to make informed decisions about electric service in a competitive market; and
 - (4) prohibit fraudulent, unfair, misleading, deceptive, or anticompetitive acts and practices by aggregators, REPs, and brokers in the marketing, solicitation and sale of electric service, in the administration of any terms of service for electric service and in providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider.
- (c) **Prohibition against discrimination.** This subchapter prohibits REPs from unduly refusing to provide electric service or otherwise unduly discriminating in the marketing and provision of electric service to any customer because of race, creed, color, national origin, ancestry, sex, marital status, lawful source of income, level of income, disability, familial status, location of customer in an economically distressed geographic area, or qualification for low-income or energy efficiency services.
- (d) **Definitions.** For the purposes of this subchapter the following words and terms have the following meaning, unless the context indicates otherwise:

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Subchapter R. CUSTOMER PROTECTION RULES FOR RETAIL ELECTRIC SERVICE.

- (1) **Applicant**--A person who applies for electric service via a move-in or switch with a REP that is not currently the person's REP of record or applies for aggregation services with an aggregator from whom the person is not currently receiving aggregation services.
- (2) **Burned Veteran**--A customer who is a military veteran who a medical doctor certifies has a significantly decreased ability to regulate body temperature because of severe burns received in combat.
- (3) **Competitive energy services**--As defined in §25.341 of this title (relating to Definitions).
- (4) **Customer**--A person who is currently receiving retail electric service from a REP in the person's own name or the name of the person's spouse, or the name of an authorized representative of a partnership, corporation, or other legal entity, including a person who is changing premises but is not changing their REP.
- (5) **Electric service**--Combination of the transmission and distribution service provided by a transmission and distribution utility, municipally owned utility, or electric cooperative, metering service provided by a TDU or a competitive metering provider, and the generation service provided to an end-use customer by a REP. This term does not include optional competitive energy services, as defined in §25.341 of this title, that are not required for the customer to obtain service from a REP.
- (6) **Energy service**--As defined in §25.223 of this title (relating to Unbundling of Energy Service).
- (7) **Enrollment**--The process of obtaining authorization and verification for a request for service that is a move-in or switch in accordance with this subchapter.
- (8) **In writing**--Written words memorialized on paper or sent electronically.
- (9) **Move-in**--A request for service to a new premise where a customer of record is initially established or to an existing premise where the customer of record changes.
- (10) **Retail electric provider (REP)**--Any entity as defined in §25.5 of this title (relating to Definitions). For purposes of this rule, a municipally owned utility or an electric cooperative is only considered a REP where it sells retail electric power and energy outside its certified service territory. An agent of the REP may perform all or part of the REP's responsibilities pursuant to this subchapter. For purposes of this subchapter, the REP will be responsible for the actions of the agent.
- (11) **Small commercial customer**--A non-residential customer that has a peak demand of less than 50 kilowatts during any 12-month period, unless the customer's load is part of an aggregation program whose peak demand is in excess of 50 kilowatts during the same 12-month period.
- (12) **Switch**--The process by which a person changes REPs without changing premises.
- (13) **Termination of service**--The cancellation or expiration of a service agreement or contract by a REP or customer.

**APPENDIX III
CHAPTER 25
RECORDS, REPORTS, AND OTHER INFORMATION
THAT MAY BE REQUIRED**

This appendix lists other sections of the commission's Substantive Rules, in addition to those found in Chapter 25, Subchapter D, that contain additional requirements for records, reports, and other required information that electric utilities, apartment owners or other persons may be required to provide to the commission. This appendix is to assist persons in locating the requirements. Failure to list a requirement in this appendix does not relieve the person responsible for filing the information of the requirement.

DESCRIPTION OF RECORD	RULE LOCATION
Records of deposit	§25.24(i)
Billing information	§25.25
Written plan for Spanish Language requirements	§25.26(b)
Documentation of switchovers	§25.27(c)
Record of complaints	§25.30(c)(3)
Maps and description of all electric utility facilities	§25.31(b)(4)
Customer information packets	§25.31(c)
Price to beat filing requirements	§25.41(f)(1)(C), (f)(3)(A) and (D), and (I)
Quarterly reports beginning January of each year - reporting customer information	§25.43(s)(1)-(3)
Voltage surveys	§25.51(e)(2)
Record of interruption	§25.52(d)
Summary Report of significant interruptions	§25.52(e)
Emergency Operations Plan	§25.53
Report on Change in Control, Sale of Property, Purchase of Stock, or Loan	§25.74
Quarterly Wholesale Electricity Transaction Information	§25.93
Electric utility's report on utility's activities by May 1 of each year	§25.94
Storm Hardening Plan Summary shall be filed and updated at least every five years	§25.95(d)
Vegetation Management report due May 1 of each yr.	§25.96(f)
Employee Training Report	§25.97(d)
Five Year Report	§25.97(e)
Annual Report	§25.97(f)
All new electric transmission lines shall be reported to the Commission	§25.101(b)(3)

DESCRIPTION OF RECORD	RULE LOCATION
Repair or construction of transmission facilities due to emergency operations shall be reported to the Commission	§25.101(c)(3)
Routine activities associated with transmission facilities shall be reported to the Commission	§25.101(c)(5)
Power Marketers: Registration or Proof that Registered with FERC; initial information	§25.105(b)(2), (c) and (d)
Quarterly financial information demonstrating continued compliance with (f)(4)	§25.107(f)(4)
Requirements for reporting and changing the terms of a retail electric provider certificate	§25.107(i)
Post-registration requirements for self-generators and power generation companies	§25.109(g) and (h)
Post-registration requirements for aggregators	§25.111(i)
Requirements for a person seeking to register as a broker	§25.111(c)
Meter Records	§25.122
Records of certifications and calibrations	§25.124(d)(5)
Report detailing meter tampering due April 1 of each calendar year	§25.125(e)
Report detailing meter tampering due April 1 of each calendar year	§25.126(i)
Record of station output and purchases of energy	§25.127(b)
Record of automatic circuit breaker operations	§25.128(b)
Each TDU shall retain the signed, written acknowledgement for at least two years after a non-standard meter is removed from a customer's premises	§25.133(d)(1)(D)
Maintain records and reports re: billing from the utility to the apartment house owner, calculation of the average cost per billing unit	§25.141(c)
Maintain records and reports re: billing from the utility to the apartment owner, calculation of the average cost per billing unit, all submeter readings and test results and tenant billings	§25.142(c)
Annual reports on new generating facilities owned or operated in Texas	§25.172(h)
Annual report by program administrator pertaining to renewable energy power generators and retail entities	§25.173(g)(11)
Energy efficiency plans and reports shall be filed by April 1 of each year	§25.181(l)
Evaluation, measurement, and verification (EM&V) contractor's report	§25.181(o)

DESCRIPTION OF RECORD	RULE LOCATION
Reporting and evaluation of energy efficiency programs	§25.183
Tariff for wholesale transmission service at distribution level voltage	§25.191(d)(2)(C)
Current year's average 4CP demand for each DSP	§25.192(d)
TSPs shall file reports that will permit the Commission to monitor their transmission costs and revenues	§25.192(h)(7)
Semi-annual reports required to monitor costs recovered through the TCRF clause	§25.193(e)
Contracts for all new interconnection agreements	§25.195(e)
Pre-Interconnection studies for network interconnection of distribution generation	§25.211(i)
Designation of utility's dist. gen. contact person	§25.211(l)(2)
Reporting requirements for applications received for interconnection and parallel operation of distributed generation	§25.211(n)
Testing of protection systems – Customer will maintain records of maintenance activities and a log of generator operations for generation systems greater than 500 kW.	§25.212(i)
T&D utilities shall file tariffs for metering within 60 days of effective date	§25.213(b)(7)
Electric utility costs separation compliance filing	§25.221(d) and (e)
Descriptions of energy services	§25.223(f)
Tariff to implement service request by General Land Office	§25.227(e)
Monthly reports containing all information required to monitor monthly fuel-related costs and revenues, . . .	§25.235(c)
Petitions to revise fuel factors.	§25.237(d)
Monthly reports of PCRf over- and under-recovery amounts until no amounts remain	§25.238(k)
Tariffs	§25.241
Rates for sales to qualifying facilities	§25.242(d)(2)
Data requests for large electric utilities (avoided costs, addition of capacity, estimated capacity costs, etc.)	§25.242(e)(2) and (4)
Specific requirements for small electric utilities (avoided costs, capacity, etc.)	§25.242(e)(3)
Financial and operating reports pursuant to §25.73	§25.243(h)
Affidavit certifying that the TDU has accurately	§25.244(d)

DESCRIPTION OF RECORD	RULE LOCATION
identified and billed nonresidential secondary service customers who cannot be charged a demand ratchet	
Rate filing package	§25.246(c)(1)
Rate filing package	§25.247(b)
Filing requirement for non-investor-owned transmission service providers: complete application for either a comprehensive transmission cost of service review or an interim update	§25.247(d) and (e)
Report to renewable energy tariff subscribers	§25.251(h)
Customer education program for renewable energy	§25.251(j)
Request for approval of environmental clean-up cost effectiveness determinations	§25.261(e)
Obligation to file a true-up proceeding	§25.263(d)
REPs shall provide information to the independent third party as needed for calculations of true-up of PTB revenues	§25.263(j)(6)
Accounting policies and procedures that assure the electric utility is adequately compensated by the holding company or affiliate	§25.271(c)(2)(E)
Notice of conflict with FERC or SEC orders or regulations regarding Code of Conduct	§25.272(b)(3)
Update to affiliate's internal code of conduct and compliance plan	§25.272(i)(2)
Code of Conduct compliance audits	§25.272(i)(3)
Code of Conduct informal complaint procedure	§25.272(i)(4)
Notice of conflicts with FERC or SEC orders or regulations regarding contracts between electric utilities and competitive affiliates	§25.273(b)(3)
Contracts with competitive affiliates	§25.273(e)
Written declaration of structural or functional unbundling	§25.275(b)(2)
Report of total metered electric energy delivered through TDBU's system (annual filing)	§25.275(b)(6)
Annual report of code-related activities	§25.275(d), including information in (e),(f), (n)(2)(E), and (o)(1)(h)
Report of deviations from code of conduct	§25.275(g)
Employee transfers and temporary assignments	§25.275(i)(2)(C) and (D)
Separate books and records	§25.275(i)(4)
Notice of newly created affiliates	§25.275(h)
Notice of provision of aggregate customer information	§25.275(l)(2)

Code implementation filing	§25.275(n)(1)
Complaint procedure	§25.275(n)(2)
Records of transactions involving competitive energy-related activities	§25.275(o)(1)(D)
Reporting and auditing requirements	§25.275(o)(3)
Agreements between electric utilities and institutional trustees or investment managers	§25.301(b)(3)
Cost study and funding analysis	§25.303(f)(2)
Annual report due on May 15 of each year on status of Decommissioning Trust Fund	§25.303(f)(6)
Annual report due by May 1 of each year on status and administration of trusts	§25.304(g)
Business separation plan	§25.342(c) and (e)
Petitions relating to the provisions of competitive energy services	§25.343(d)
Filing requirements for competitive energy services	§25.343(e)
Cost separation	§25.344(c)
Recovery of stranded costs	§25.345(g)
Allocation of stranded costs	§25.345(h)
Persons owning or operating new on-site generation or eligible on-site generation shall file information required by §25.105	§25.345(i)(6)
Annually - TSPs and transmission service customers in the ERCOT region shall provide to ERCOT historical information concerning peak loads and resources connected to the TSPs system	§25.361(h)
ERCOT annual report, operations report and plan, quarterly report, emergency, and meeting periodicity report as listed in subsection (i).	§25.362(i)
Reporting requirement	§25.367(j)
Utilities' annual report due January 31 st of each year reporting completion of items required.	§25.422(f)
Reporting by market participants and independent organizations (status reports due)	§25.431(j)(3)
Retail competition pilot project compliance filings	§25.431(l)
System benefit fee annual report	§25.457(g)
Monthly activity report of discounts or bill payment assistance in order to request reimbursement	§25.457(j)
Two monthly reports: 1) amount of system benefit fee collected and paid by the entity's retail customers; and 2) amount of system benefit fee paid by the transmission and distribution only customers	§25.457(k)
Record retention requirements	§25.474(i)

Performance reports showing actual and estimated meter reads, etc.	§25.474(p)(3)
Records of deposits	§25.478(h)
Annual reporting requirement	§25.480(n)
Record of customer notifications	§25.484(g)
Record of all telephone numbers contacted or attempted to contact for telemarketing purposes	§25.484(i)
Language requirements	§25.486(e)
Required disclosures	§25.486(f)
Client agent requirements	§25.486(g)
Record retention	§25.486(l)
Success rate in processing standard electronic move-in requests for residential customers; and success rate in processing requests for reconnection of electric service	§25.490(c)
Retail electric provider annual reports	§25.491(c)
TDU shall report to the commission by March 1 of each year beginning in 2012, the number of customers for each type of customer and number of applications rejected as a result of incomplete forms, the number of requests from REPs for disconnection, and the number of disconnections and reconnections completed.	§25.497(i)
Records relative to market participants' activities in the ERCOT	§25.503(n)(2)
Resource and transmissions information shall be provided to ERCOT	§25.505(e)
Non-compliance report and record	§25.507(f)
Report due April 15 of each yr. - number of MW procured in each period, total dollar amount spent, the number and level of EEA events and the number of duration of deployments	§25.507(g)