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# MONTHLY SECURITIES BULLETIN

## State Securities Board

709 STATE FINANCE BUILDING

POST OFFICE BOX 13167, CAPITOL STATION, AUSTIN, TEXAS 78711 — TELEPHONE (512) 475-4561

October, 1971

### OCTOBER PERMITS

The State Securities Board issued permits in October 1971 for 115 issuers to sell \$125,875,934 in securities -- a \$21 Million gain over the same month last year. In September 1971, permits for \$156,367,946 in securities to be sold by 132 entities were issued.

Total dollar amount of securities applications processed for the first two months of the fiscal year is \$292,981,610, as compared to \$235,547,650 for the same period last year.

### LICENSE INFORMATION

During October a total of 119 examinations were administered to 108 applicants for licensing under The Texas Securities Act. This included 13 examinations on the general securities principles, 105 examinations on The Texas Securities Act, and 1 examination on the Special Bond. There were 69.2% of the applicants who passed the general securities section on the first attempt, and 91.4% who passed the Texas Law portion on the first attempt. None of the applicants who took the Special Bond examinations passed.

### ADMINISTRATIVE ORDERS

IN THE MATTER OF	Ø	
LEISURE INNS AND RESORTS, INC.	Ø	
527 Madison Avenue	Ø	ORDER NO. 5.0-473
Suite 700 - 20	Ø	
New York, New York 10022	Ø	

On October 5, 1971, the Deputy Securities Commissioner issued an order revoking the secondary trading exemption of Section 5.0 of the Texas Securities Act with respect to securities issued by Leisure Inn and Resorts, Inc., formerly Universal Computa-Data Corporation. The Deputy Commissioner found that the sale of such securities would tend to work a fraud and deceit upon any purchaser thereof. Since issuance of the order, it has been learned that the company has closed its New York office and is now located at 16611 Chagrin Blvd., Shaker Heights, Ohio 44120.

### INDICTMENTS

On October 7, 1971, the Harris County Grand Jury returned two indictments against Joseph A. Daigle and two indictments against Anthony Joseph Viafore. Each indictment charges Daigle and Viafore with the sale of unregistered securities, sale of securities by an unregistered dealer and fraud in the sale of the securities of Darmil Industries, Inc., a Texas corporation.



NOTICES CLEARED FOR DEALER SECONDARY TRADING

- 10-22-71 AMERICAN QUASAR PETROLEUM COMPANY, 2214 Continental National Bank Bldg., Ft. Worth, Texas 76102, qualified to December 31, 1972.
- 10-14-71 NATIONAL CONVENIENCE STORES INCORPORATED, 3200 Travis Street, Houston, Texas, qualified to December 31, 1972.
- 10-28-71 NEURO-PSYCHIATRIC & HEALTH SERVICES, INC., 3907 Birch Street, Newport Beach, California, extended to November 30, 1972.
- 10-9-71 OLYMPUS SERVICE CORPORATION (Formerly: Funeral Homes of America), 3003 Live Oak, Dallas, Texas 75204, extended to September 30, 1972.
- 10-20-71 ORBIT INSTRUMENT CORPORATION, 131 Eileen Way, Syosset, New York, extended to September 30, 1972.
- 10-5-71 SETCO, INC. Suite 105, 1110 Ohio Avenue, Los Angeles, California 90025, qualified to September 30, 1972.
- 10-4-71 TRANS SERVICES CORPORATION, 3210 Conflans Street, Irving, Texas 75060, qualified to June 30, 1972.
- 10-22-71 UNION SERVICE INDUSTRIES, INC., 107 S. Court Street, Memphis, Tennessee 38103, extended to November 30, 1972.

FILINGS FOR DEALER SECONDARY TRADING EXEMPTIONS WITHDRAWN AND NOT CLEARED

- 10-19-71 LEK TROL, INCORPORATED, Pleasant Run Road, Colleyville, Texas.
- EXPIRED NOTICES FOR DEALER SECONDARY TRADING UNDER SECTION 5.0 OF THE ACT

- 10-31-71 TRANS-CONTINENTAL INDUSTRIES, INC. 1208 Wagner Street, North, Las Vegas, Nevada.

MONTHLY REPORT OF SECURITIES REGISTRATIONS IN TEXAS

A summary of individual permits issued in October, 1971, follows: These permits are permissive only and do not constitute a recommendation of the State Securities Board.

<u>ISSUER</u>	<u>TYPE OF OFFERING</u>
10-26-71 Advanced Systems, Incorporated 1100 West Northwest Highway Mount Prospect, Illinois	15,000 shs \$.10 par value com/s @ \$12.00 ea.
10-13-71 American Financial Corporation One East Fourth Street Cincinnati, Ohio	149 shs com/s (without par value) of American Financial Corporation in exchange for 2,004 shs com/s (\$1.00 par value) of Fidelity National Life Insurance Company; at an exchange ratio of one share of American Financial Corporation for thirteen and one half shs of Fidelity National Life Insurance Company.
10-4-71 American Growth Fund, Inc. 650 17th Street, Suite 800 Denver, Colorado	shs com/s @ net asset value.
10-5-71 American Marine, Ltd. 1501 Westcliff Drive Newport Beach, California	20,000 shs com/s (no par value) @ \$8.00 ea.
10-13-71 American Realty Trust 2000 Jefferson Davis Highway Arlington, Virginia	85,000 wts. to be issued in units consisting of 1 shr Ben. Int., \$1.00 par value, and one wt. to purchase



		1 additional shr Den. Int. @ the exercise price set forth in the prospectus, @ \$1.00 per wt. for registration purposes only.
10-19-71	Apache Grove Land Program 1971 1800 Foshay Tower Minneapolis, Minnesota	5 units of General Partnership Interests @ \$14,000.00 per Unit.
10-20-71	Armin Poly Film Corporation 301 West Side Avenue Jersey City, New Jersey	20,000 shs (\$.05 par value) com/s @ \$14.50 ea.
10-15-71	ELC Growth Fund, Inc. 711 High Street Des Moines, Iowa	shs com/s @ net asset value.
10-15-71	ELC Income Fund, Inc. 711 High Street Des Moines, Iowa	shs com/s @ net asset value
10-19-71	Bonanza International, Inc. 811 South Central Expressway First Bank & Trust Bldg. Richardson, Texas	1,003,925 shs com/s without par value to be sold in the over-the-counter market through Texas licensed broker-dealers at prices not in excess of market and in no event in excess of \$9.50 ea.
10-15-71	Bristol-Myers Company 345 Park Avenue New York, New York	Participations in the Savings Plan to be sold to eligible employees @ net asset value.
10-22-71	Campbell Soup Company Campbell Place Camden, New Jersey	Participations in the Employee Savings and Stock Bonus Plan to be sold to eligible employees @ net asset value.
10-28-71	Canadian-American Resources Fund, Inc. 2200 Continental National Bank Bldg. Fort Worth, Texas	60 Units of Limited Partnership in Partnership 1971-3 @ \$5,000.00 per Unit.
10-22-71	Carlyle Real Estate Limited Partnership-71 875 North Michigan Avenue Chicago, Illinois	1,000 Limited Partnership Units @ \$500.00 per Unit.
10-8-71	Channing Bond Fund, Inc. 280 Park Avenue New York, New York	shs com/s @ net asset value.
10-21-71	Colonial Convertible Fund, Inc. 75 Federal Street Boston, Massachusetts	225,000 shs \$1.00 par value com/s @ \$12.50 ea., exclusive of shs acquired through redemptions or repurchases or any equivalent therefore.
11-7-71	Colonial Equities, Inc. 75 Federal Street Boston, Massachusetts	shs com/s @ net asset value.
9-29-71	Commercial State Corporation 100 North Jefferson Ave. St. Louis, Missouri	50 shs \$1.00 par value com/s to be exchanged for 50 shs of \$1.00 par value common voting stock of Commercial State Life Insurance Company at a maximum exchange value of \$6.25 per share for registration purposes.
10-19-71	DeVegh Mutual Fund, Inc. 20 Exchange Place New York, New York	shs com/s @ net asset value.



9-30-71	Drexel Bond-Debenture Trading Fund 1500 Walnut Street Philadelphia, Pennsylvania	70,000 shs \$1.00 par value com/s @ \$25.00 ea.
10-13-71	The Dreyfus Fund, Inc. 767 Fifth Avenue New York, New York	shs com/s @ net asset value.
10-13-71	The Dreyfus Leverage Fund, Inc. 767 Fifth Avenue New York, New York	shs com/s @ net asset value.
10-20-71	The Dreyfus Special Income Fund, Inc. 767 Fifth Avenue New York, New York	shs com/s @ net asset value.
10-8-71	Eagle Growth Shares, Inc. 110 Wall Street New York, New York	shs com/s @ net asset value.
10-4-71	Equity Properties Limited 1971 1900 Avenue of the Stars Los Angeles, California	\$50,000.00 in Limited Partnership Interests; minimum investment by a Texas resident is \$5,000.00.
10-29-71	Essex Fund, Inc. 35 Congress Street Boston, Massachusetts	Shs com/s @ net asset value.
10-22-71	FBT Bancorp of Indiana, Inc. 133 South Main Street South Bend, Indiana	1,300 Units @ an average price of \$750.00 per Unit to be constituted as follows: (1) Units, ea. consist- ing of 42.5 shs com/s, 9% Sub. S.F. Deb. due July 1, 1986, in the prin- cipal amt. of \$295.00 and 17 wts. to purchase 1 shr com/s per wt. @ \$12.00 per shr ea. Unit to be ex- changed for \$1,000.00 in prin. amt. of any of the following: Gulf & Western 6% Sub. Debs. due July 1, 1987; 6% Sub. Deb. due July 1, 1988, and 5 1/2% Conv. Sub. Debs. due July 1, 1993; (2) Units, ea. consisting of 46.5 shs com/s, 9% Sub. S. F. Debs. due July 1, 1986, in the prin. amt. of \$323.00 and 18.5 wts. to purchase 1 shr com/s per wt. @ \$12.00 per shr ea. Unit to be exchanged for \$1,000.00 in prin. amt. of any of the following: Gulf & Western 5 1/4% Conv. Sub. Debs. due July 1, 1987, and 5 1/4% Conv. Sub. Debs., Series A., due July 1, 1987; also 23,400 shs com/s underlying the wts. are registered @ \$12.00 per share.
10-4-71	FNB Development Corporation 303 West Wall Avenue Midland, Texas	259,250 Units, ea. consisting of 1 shr com/s and one wt. to purchase 1 shr com/s @ \$4.00 per Unit; and 259,250 shs com/s underlying the wts. @ an exercise price of \$5.00 per share.
10-19-71	First Colorado Bankshares, Inc. 5300 Delaware Street Littleton, Colorado	\$150,000.00 8 1/4% Sub. Debs. due September 1, 1986, with wts. to purchase 75,000 shs com/s accord- ing to the terms of the prospectus,



		@ par value and price of \$1,000.00 ea. and 75,000 shs com/s, \$5.00 par value, issuable upon exercise of the wts.
10-8-71	First National Charter Corporation Post Office Box 38 Kansas City, Missouri	237 shs com/s (\$12.50 par value) to be exchanged for shs of outstanding cap/s of three banks, on the following basis: 2.625 shs com/s for ea. shr of Citizens Bank of Belton; 0.917 shs com/s for ea. shr. of Bank of Overland; 0.573 shs com/s for ea. shr of The North Side Bank (Jennings). Exchange Basis: \$53.75.
10-22-71	First Texas Bancorp, Inc. 314 University Avenue Georgetown, Texas	171,600 shs com/s registered @ \$10.00 per share to be exchanged @ the rate of 3.432 shs for 1 shr com/s of the American State Bank, Killeen, Texas.
10-14-71	Clinton E. Frank, Inc. 120 S. Riverside Plaza Chicago, Illinois	6,000 shs \$1.00 par value com/s @ \$15.50 ea.
10-14-71	Frederick & Herrud, Inc. 1487 Farnsworth Detroit, Michigan	83,333 shs \$.10 par value com/s @ \$16.875 per share.
10-24-71	Fund of America, Inc. 1900 Avenue of the Stars Los Angeles, California	shs com/s @ net asset value.
10-10-71	Fund of the Southwest, Inc. 1807 Ross Avenue Dallas, Texas	shs com/s @ net asset value.
10-26-71	The Gateway Fund, Inc. One North Jefferson Avenue St. Louis, Missouri	shs com/s @ net asset value.
10-13-71	General United Group, Incorporated P. O. Box 1381 Des Moines, Iowa	1,500 shs \$.25 par value com/s for issue to agents and employees under incentive stock option plans @ fair market value as of date of grant but not to exceed \$10.00 per share.
10-20-71	Globe-Amerada Glass Co. 2001 Greenleaf Avenue Elk Grove Village, Illinois	10,000 shs no par value com/s @ \$13.00 ea.
10-19-71	Grand Central, Inc. 2233 South Third Street East Salt Lake City, Utah	100,000 shs com/s (\$.50 par value) @ \$16.50 ea.
10-21-71	Great American Mortgage Investors 2850 Equitable Bldg. Atlanta, Georgia	\$500,000.00 7% Conv. Sub. Debs., due November 1, 1991 @ 100% and shs Ben. Int., without par value, issuable upon conversion of the Debs.
10-19-71	Great Equity Financial Corporation 111 East Wacker Drive Chicago, Illinois	48,000 shs com/s @ \$7.00 ea.
10-26-71	Great Western Corporation 200 Wilson Tower Corpus Christi, Texas	300,000 Units, ea. Unit consisting of 1 shr of no par com/s and 1 wt. to be sold @ a price of \$10.00 per Unit plus 300,000 shs of no par com/s underlying the wts. to be registered @ \$10.00 ea.



10-13-71	Gulfstream Land and Development Corporation 7025 Northwest Fourth Street Plantation, Florida	25,000 shs Class A com/s (\$.10 par value) @ \$28.75 ea.
10-13-71	Harbor Fund, Inc. 1888 Century Park East Los Angeles, California	shs com/s @ net asset value.
10-27-71	Harrah's 206 N. Virginia Street Reno, Nevada	50,000 shs \$1.00 par value com/s @ \$16.00 ea.
10-14-71	E. F. Hutton Tax-Exempt Fund, National Series 3 One Battery Park Plaza New York, New York	3,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund @ net asset value. Price not to exceed \$1,100.00 per Unit plus accrued interest.
10-29-71	Imperial Capital Fund, Inc. Box 1386 Minneapolis, Minnesota	shs com/s @ net asset value.
11-10-71	Investors Mutual, Inc. Investors Bldg. Minneapolis, Minnesota	shs com/s @ net asset value.
10-28-71	J. P. Growth Fund, Inc. 101 North Elm Street Greensboro, North Carolina	shs com/s @ net asset value.
10-20-71	R. B. Jones Corporation 301 West 11th Street Kansas City, Missouri	12,000 shs \$1.00 par value com/s @ \$17.625 ea.
10-28-71	Josten Growth Fund, Inc. 10709 Wayzata Blvd. Box 1386 Minneapolis, Minnesota	shs com/s @ net asset value.
10-20-71	Kemperco, Inc. 4750 Sheridan Road Chicago, Illinois	100,000 shs \$5.00 par value com/s @ \$45.00 ea.
11-1-71	Keystone Accumulation Plans, Inc. 99 High Street Boston, Massachusetts	Monthly Accumulations Plans and Single Payment Plans for the accumulation of shs of Keystone Growth Fund K-2 @ face amount.
10-4-71	Levi Strauss & Co. 98 Battery Street San Francisco, California	36,187 shs \$1.00 par value com/s @ \$47.00 ea.
10-29-71	Life Insurance Investors, Inc. 170 Fourth Avenue, North Nashville, Tennessee	shs com/s @ net asset value.
10-28-71	Lighthouse Fund B., Inc. 400 Congress Street Portland, Maine	shs com/s @ net asset value.
10-28-71	Lomas & Nettleton Mortgage Investors 1111 Hartford Bldg. Dallas, Texas 75201	150,000 shs Ben. Int. without par value @ \$41.375 ea.
10-26-71	Longs Drug Stores, Inc. 141 North Civic Drive Walnut Creek California	15,000 shs com/s (without par value) @ \$50.50 ea.
10-6-71	The Manitowoc Company, Inc. 500 South 16th Street Manitowoc, Wisconsin	10,000 shs of \$2.50 par value com/s @ \$23.00 ea.



10-22-71	Massachusetts Financial Development Fund, Inc. 200 Berkeley Street Boston, Massachusetts	shs com/s @ net asset value.
10-30-71	Jas. H. Matthews & Co. 6117 Broad Street Mall Pittsburgh, Pennsylvania	shs \$1.00 par value com/s to be sold to eligible employees @ book value as described in current prospectuses for the Employees Stock Purchase Plan.
9-30-71	Medtronic, Inc. 3055 Old Highway #8 Minneapolis, Minnesota	5,000 shs \$.10 par value com/s @ \$44.75 ea. This permit does not apply to any of the 25,000 additional shs which may be offered by the Wallace E. Carroll Trust.
10-13-71	Midwestern Fidelity Corporation 6901 Wooster Pike Cincinnati, Ohio	15,000 shs com/s (\$1.66 2/3 par value) @ \$14.75 ea.
10-1-71	Mircom, Inc. 409 Alamo National Bldg. San Antonio, Texas	2,500 shs \$10.00 par value com/s @ \$10.00 ea.
6-22-71	Moody's Capital Fund, Inc. 100 Church Street New York, New York	shs com/s @ net asset value.
4-27-71	Moody's Fund, Inc. 100 Church Street New York, New York	shs cap/s @ net asset value.
10-26-71	Mortgage Associates, Inc. 125 East Wells Street Milwaukee, Wisconsin	10,000 shs \$1.00 par value com/s @ \$28.00 ea.
10-9-71	Municipal Investment Trust Fund, Series 1J 70 Pine Street New York, New York	641 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund to be sold @ net asset value. Price not to exceed \$1,050.00 per Unit plus accrued interest.
10-27-71	Municipal Investment Trust Fund, Series 1N 70 Pine Street New York, New York	2,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund to be sold @ net asset value. Price not to exceed \$1,050.00 per Unit plus accrued interest.
10-31-71	National Industries Fund, Inc. 1880 Century Park East Century City, Los Angeles, California	shs com/s @ net asset value.
10-1-71	National Securities Funds 120 Broadway New York, New York	shs com/s @ net asset value.
10-18-71	Neuwirth Income Development Corporation Middletown Bank Bldg. Middletown, New Jersey	shs com/s @ net asset value.
10-18-71	Nuveen Tax-Exempt Bond Fund, Series 36 209 South LaSalle Street Chicago, Illinois	4,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund @ net asset value. Price not to exceed \$110.00 per Unit plus accrued interest.



10-18-71	Nuveen Tax-Exempt Bond Fund, Series 37 209 South LaSalle Street Chicago, Illinois	4,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund to be sold @ net asset value. Price not to exceed \$110.00 per Unit plus accrued interest.
10-7-71	Nuveen Tax-Exempt Bond Fund, Series 38 209 South LaSalle Street Chicago, Illinois	15,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund to be sold @ net asset value. Price not to exceed \$110.00 per Unit plus accrued interest.
10-27-71	Oakwood Homes Corporation P. O. Box 7385 Greensboro, North Carolina	20,000 shs \$.50 par value com/s @ \$14.00 ea.
10-18-71	Old Republic International Corporation 307 North Michigan Avenue Chicago, Illinois	10,000 shs com/s (\$1.00 par value) of Old Republic International Corporation to be exchanged on a 1 2/3 share for 1 shr of Old Republic Insurance Stock; valued for purposes of registration @ \$40.00 ea
10-8-71	Oppenheimer Systematic Capital Accumulation Program One New York Plaza New York, New York	Single Payment Plans and Systematic Accumulation Plans for the accumulation of shs of Oppenheimer Fund, Inc. @ face amount.
10-21-71	Oppenheimer Time Fund, Inc. One New York Plaza New York, New York	shs com/s @ net asset value.
10-1-71	Pacific American Real Estate Fund 1971B 3704 State Street Santa Barbara, California	\$1,000,000.00 in Limited Partnership Units; minimum investment by a Texas resident is \$5,000.00
10-20-71	Paine, Webber Municipal Bond Fund, Third Series 140 Broadway New York, New York	714 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund @ net asset value. Price not to exceed \$1,050.00 per Unit plus accrued interest.
9-30-71	Pat Fashions Industries, Inc. 1370 Broadway New York, New York	10,000 shs com/s (\$.10 par value) @ \$6.625 ea.
9-30-71	Paul Revere Investors, Inc. 18 Chestnut Street Worcester, Massachusetts	30,000 shs \$1.00 par value com/s @ \$20.00 ea.
10-5-71	Permaneer Corporation 145 Weldon Parkway Maryland Heights (St. Louis Co.), Missouri	2,000 wts. to be issued in Units, ea. consisting of one \$1,000.00 7% Senior Conv. Sub. Deb. due 1991 and wts. to purchase 1 sh com/s @ exercise price set forth in the prospectus, or to convert such wt. into com/s @ conversion rate of 1 sh com/s for 3 wts. The wts. are assigned a value of \$4.00 per wt. for registration purposes only.
10-15-71	Petro-Search Exploration and Development Program Series B (Partnership 71-4) 825 Petroleum Club Bldg. Denver, Colorado	\$100,000.00 in Limited Partnership Interests in Petro-Search Exploration and Development Program Series B, Partnership 71-4. Minimum investment by a Texas resident is \$6,000.00.



10-24-71	Pilgrim Fund, Inc. 185 Cross Street Fort Lee, New Jersey	shs com/s @ net asset value.
10-22-71	Property Trust of America 4301 Montana Avenue El Paso, Texas	100,000 shs Ben. Int. @ \$10.00 per share.
10-13-71	The Putnam Income Fund, Inc. 265 Franklin Street Boston, Massachusetts	shs com/s @ net asset value.
11-1-71	Putnam Investment Programs 265 Franklin Street Boston, Massachusetts	Single Payment Programs and Systematic Investment Programs for the accumulation of shs of Putnam Growth Fund @ face amount.
10-13-71	Putnam Investors Fund, Inc. 265 Franklin Street Boston, Massachusetts	shs com/s @ net asset value.
10-19-71	Retail Merchant's Industries, Inc. 555 Boone Street Columbus, Ohio	20,000 shs com/s (no par value) @ \$10.50 ea.
10-9-71	The Rinfret Fund, Inc. 641 Lexington Avenue New York, New York	shs com/s @ net asset value.
10-14-71	Ring Aroung Products, Inc. P. O. Box 589 Montgomery, Alabama	150,000 shs \$.20 par value com/s @ \$6.75 ea.
10-4-71	Russo Realty Fund-71, Ltd. 2005 Houston Natural Gas Bldg. Houston, Texas	400 Units of Limited Partnership Interest @ \$5,000.00 per Unit.
10-5-71	SCA Services, Inc. 15 Spinning Wheel Road Hinsdale, Illinois	40,000 shs \$1.00 par value com/s @ \$24.00 ea.
10-17-71	Selected American Shares, Inc. 135 S. LaSalle Street Chicago, Illinois	shs com/s @ net asset value.
10-13-71	Self Service Restaurants, Inc. 4820 Bradley Drive New Orleans, Louisiana	10,000 shs com/s (without par value) @ \$25.00 ea.
10-21-71	Shamrock Fund 1800 East Bridgegate Westlake Village, California	shs com/s @ net asset value.
10-14-71	Shaw Industries, Inc. East Franklin Street Dalton, Georgia	5,000 shs com/s (without par value) @ \$20.50 ea.
10-8-71	Sir Speedy South west, Inc. 320 N. St. Paul Street Dallas, Texas	150,000 shs \$.10 par value com/s @ \$1.50 ea.
9-29-71	Snap-On Tools Corporation 8028 - 28th Avenue Kenosha, Wisconsin	shs com/s for the Employee Stock Purchase Plan to be sold to eligible employees @ market value as defined in the current prospectus.
10-21-71	The Southland Corporation 2828 North Haskell Avenue Dallas, Texas	75,000 shs \$.01 par value com/s @ \$28.00 ea.



10-20-71	Stein Roe & Farnham Stock Fund, Inc. 150 South Wacker Drive Chicago, Illinois	shs com/s @ net asset value.
10-5-71	The Taylor Wine Company, Inc. Hammondsport, New York	37,018 shs \$2.00 par value com/s @ \$35.50 ea.; 2,982 shs \$2.00 par value com/s to be sold by the Issuer on exercise of Rights @ \$31.00 ea.
10-19-71	Texfi Industries, Inc. 1046 East Wendover Avenue Greensboro, North Carolina	500 4 3/4% Convertible Sub. Debs. due October 1, 1996, @ 100% and convertible @ \$57.00 ea.; and 10,000 shs com/s @ \$47.50 ea.
10-14-71	Trailmobile Finance Company 200 South Michigan Avenue Chicago, Illinois	500 Units of 7 1/2% Notes due October 15, 1978, @ \$1,005.00 ea.
10-28-71	Transamerica Financial Corporation 1150 South Olive Street Los Angeles, California	500 - 7 7/8% S. F. Debs. due September 1, 1991, face value 1,000 @ offering price of 99.25%.
10-28-71	Trustees of the Tulsa Municipal Airport Trust Petroleum Club Bldg. Tulsa, Oklahoma	\$500,000.00 in Series 1971 Revenue Bonds, in denominations of \$5,000.00 ea. to be sold as follows: Serial Bonds, with coupons varying between 4.5% and 6.1% @ 100%; Term Bonds due June 1, 2006, with coupons @ 6.5%, @ a price of 99%.
11-9-71	Twentieth Century Investors, Inc. 605 West 47th Street Kansas City, Missouri	shs of Twentieth Century Income Investors and Twentieth Century Growth Investors, @ net asset value.
10-28-71	Union Capital Fund, Inc. 65 Broadway New York, New York	shs com/s @ net asset value.
10-13-71	United Vanguard Fund, Inc. 20 West 9th Street Kansas City, Missouri	shs com/s @ net asset value.
9-23-71	The Willow Fund, Inc. One Chase Manhattan Plaza New York, New York	shs com/s @ net asset value.
10-13-71	Work Wear Corporation 1768 East 25th Street Cleveland, Ohio	8,800 transferable wts. to purchase com/s @ a maximum price of \$8.00 per wt., the Company's com/s listed on the American Stock Exchange.
10-27-71	Zenith National Insurance Corporation 2930 West Imperial Highway Inglewood, California	10,000 shs com/s (\$1.00 par value) @ \$11.50 ea.
10-6-71	Zwicker Electric Co., Inc. 200 Park Avenue South New York, New York	10,000 shs com/s (\$.10 par value) @ \$10.00 ea.

REGISTRATION APPLICATIONS WITHDRAWN OR ABANDONED

10-22-71	Amrep Credit Corporation 3500 N. E. Silver Springs Blvd. Silver Springs, Florida	500 % Debs. due April 15, 1978 @ \$1,000.00 ea.
10-12-71	CBWL-Hayden Stone, Inc. 767 Fifth Avenue New York, New York	20,000 shs com/s @ \$17.00 ea.



10-4-71	Cancer Screening Service 11311 Camarillo Street North Hollywood, California	20,000 shs com/s @ \$2.00 ea.
10-12-71	Housing Systems, Inc. 2132 Five Mile Line Road Penfield, New York	10,000 shs com/s @ \$9.00 ea.
10-7-71	LSL Corporation 1806 Beaumont Denton, Texas	500,000 shs com/s @ 2.75 ea.
10-7-71	No-Load Selected Funds, Inc. 3300 Whitehaven Street Washington, D. C.	100,000 shs com/s @ \$5.00 ea.
10-13-71	Petro-Search 1970 Year-End Program 825 Petroleum Club Bldg. Denver, Colorado	20 Units of Limited Partnership Interests @ \$5,000.00 ea.
10-4-71	W. W. Investment, Inc. Suite 405, 711 Main Bldg. 711 Main Street Houston, Texas	20,000 Series A. Preferred Stock @ \$10.00 ea. and 20,000 shs com/s @ \$10.00 ea.
10-15-71	Warrior International Corporation 918 Patterson Bldg. Denver, Colorado	50,000 shs com/s @ \$20.00 ea.

Securities Registrations from September 1, 1971, through October 31, 1971

Month of October, 1971

	<u>Number</u> <u>Registration</u>	<u>Amount</u>	<u>Prior Total</u> <u>Accumulated</u>	<u>Total</u>
<u>Original Applications</u> <u>of Corporate Securities</u>				
Texas Companies	11	\$ 31,442,788	\$ 36,487,500	\$ 67,930,288
Foreign Companies	70	67,573,389(1)	48,911,126	116,484,515
	<u>81</u>	<u>\$ 99,016,177</u>	<u>\$ 85,398,626</u>	<u>\$184,414,803</u>
<u>Renewal Registrations</u>				
Texas Companies	1	\$ 3,492,706(2)	\$ 445,874	\$ 3,938,580
Foreign Companies	33	23,367,051(3)	70,523,446	93,890,497
	<u>34</u>	<u>\$ 26,859,757</u>	<u>\$ 70,969,320</u>	<u>\$ 97,829,077</u>
<u>TOTALS</u>	<u>115</u>	<u>\$125,875,934</u>	<u>\$156,367,946</u>	<u>\$282,243,880(4)</u>

Includes Mutual Investment Companies Amounting to:

(1)	\$ 26,958,000
(2)	3,492,706
(3)	23,105,648
(4)	145,863,674



STATE SECURITIES BOARD  
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