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MONTHLY SECURITIES BULLETIN

State Securities Board

709 STATE FINANCE BUILDING

POST OFFICE BOX 13167, CAPITOL STATION, AUSTIN, TEXAS 78711 — TELEPHONE (512) 475-4561

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OCTOBER 1970

OCTOBER PERMITS

The State Securities Board issued permits in October, 1970, for 98 issuers to sell \$104,679,091 in securities in Texas, as compared with \$132,593,638 for the same month last year. In September, 1970, permits for \$110,564,772 in securities to be sold by 96 corporations were issued.

The total dollar amount of securities processed for the first two months of the current fiscal year is \$235,547,650 as compared with \$284,212,306 for the same period last year.

LICENSE INFORMATION

During October, a total of 186 examinations were administered to 147 applicants for licensing under the Texas Securities Act. This included 41 examinations on general securities principles, 143 examinations on the Texas Securities Act, and 2 examinations on the Special Bond. There were 56.1% of the applicants who passed the general securities section on the first attempt and 83.2% who passed the Texas Law portion on first attempt. None of the applicants who took the Special Bond examination passed.

ADMINISTRATIVE ORDERS

IN THE MATTER OF	Ø	
UNITED SCIENTIFIC, INC.	Ø	
(formerly United Chemical, Inc.)	Ø	
National Bankers Life Building	Ø	ORDER NO. 5.0-444
Dallas, Texas	Ø	October 2, 1970

On October 2, 1970, the Securities Commissioner issued an order revoking the secondary trading exemption of the Texas Securities Act with respect to securities issued by UNITED SCIENTIFIC, INC. because the sale of UNITED SCIENTIFIC, INC. securities would not meet the requirements of Section 5.0 of The Securities Act, in that the corporation initiated proceedings under Chapter X of the Federal Bankruptcy Act on Tuesday, September 22, 1970.

IN THE MATTER OF	Ø	
TRINITY BAPTIST CHURCH OF AUSTIN	Ø	ORDER NO. CD-445
Austin, Texas	Ø	October 28, 1970

On October 28, 1970, following a hearing, the Deputy Securities Commissioner issued an order prohibiting the further sale of securities of TRINITY BAPTIST CHURCH OF AUSTIN, after finding that the sale of such securities would tend to work a fraud upon, and would not be fair, just

or equitable to a purchaser thereof. Evidence disclosed that the church had eight separate series of bonds outstanding, some of which were in default, and that the total number of bonds outstanding was unknown, as was the identity of some bondholders. Evidence also disclosed that no bank account was designated as a sinking fund for the First Revenue Bonds and that there was less than fifteen cents (\$.15) in the sinking fund established for the Series Eight bonds, the First Mortgage Bonds of 1964 and the First Mortgage Bonds of 1966. Concerning the First Mortgage Bonds of 1964 and the First Mortgage Bonds of 1966, the order stated that R. D. Wade, the pastor, was named as trustee to act in behalf of the bondholders which created a conflict of interest, and that the issuance of the mortgage bonds directly controverted the language of the bond covenant filed in connection with an earlier outstanding bond issue. The order stated that the church kept misleading, inaccurate and incomplete records. Evidence disclosed that the pastor, R. D. Wade, caused the church to loan him bonds in the face amount of \$25,000 on an unsecured non-interest bearing note at a time when the church had outstanding bonds bearing interest at 6% or more. The church had no records which indicated that the long term loan on R. D. Wade had ever been formally approved by the church. Evidence disclosed that \$18,000 of the bonds were used by R. D. Wade to purchase a motel in his own name. TRINITY BAPTIST CHURCH is an independent Baptist church and not affiliated with the Southern Baptist Convention or any such authority.

IN THE MATTER OF	§	ORDER NO. CD-446
JOE PEPITONE HAIRSTYLING, INC.	§	October 30, 1970

On October 30, 1970, following a hearing, the Deputy Securities Commissioner issued a Cease and Desist Order prohibiting the sale in Texas of securities of JOE PEPITONE HAIRSTYLING, INC. The subject company in its notification, filed with the Securities and Exchange Commission, indicated that it intended to sell its securities within the jurisdiction of several states in the United States, including Texas. The company failed to file an affidavit that it had not sold and did not intend to sell or offer for sale its securities in Texas, and as far as was known, still intended to offer its securities in this state. The order found that such offering, if made, would be in violation of the Texas Securities Act since the company had failed to qualify its securities under Section 7 of The Securities Act.

INDICTMENTS

On October 7, 1970, the Potter County Grand Jury, Amarillo, Texas, returned a three count indictment against Nathaniel L. Laramore, charging him with fraud in the sale of securities, selling an unregistered security, and selling securities without being licensed as a securities salesman. Laramore is alleged to have sold fractional interest in an oil well to be drilled on a lease he did not own. Laramore, who was operating under the name of Rio Oro Oil Company, is alleged to have promised to drill the well, and to return to investors 30% of their investment if the well was not of commercial value. The lease which Laramore claimed to control was allegedly adjacent to a proven oil field. It is alleged that no well was ever drilled.

On October 30, 1970, the Tarrant County Grand Jury, Fort Worth, Texas, returned two three-count indictments against Ray Hostutler of Canadian, Texas, charging him with fraud in the sale of securities, selling unregistered securities, and selling securities without being licensed as a securities dealer. Hostutler is alleged to have sold stock in Ray Hostutler's, Inc., a company formed for the purpose of raising money to drill for oil near Canadian, Texas.

On October 30, 1970, the Tarrant County Grand Jury, Fort Worth, Texas, returned two indictments, each containing two counts, against David L. Garrett, a former resident of Arlington, Texas, charging Garrett with

fraud in the sale of securities and selling securities without being licensed as a securities dealer. Garrett is alleged to have taken money from a group of Tarrant County residents for the purpose of obtaining shares of stock of Pizza Inn, Inc. Garrett is alleged to have kept the money and failed to deliver the securities.

NOTICES CLEARED FOR DEALER SECONDARY TRADING

- 10-14-70 COLUMBIA GENERAL CORPORATION, 802 N.E. 29th Street, Fort Worth, Texas, extended to February 28, 1971.
- 10-20-70 ORBIT INSTRUMENTS CORP. ("ORBIT"), 131 Eileen Way, Syosset, New York, qualified to September 30, 1971.
- 10-21-70 ORMCO CORPORATION, 1332 South Lone Hill, Glendora, California, 91740, extended to September 30, 1971.
- 10-8-70 UNION SERVICES INDUSTRIES, INC., 107 S. Court Street, Memphis, Tennessee, 38101, qualified to June 8, 1972.

THE FOLLOWING NOTICES FILED FOR DEALER
SECONDARY TRADING WERE WITHDRAWN AND NOT CLEARED

- 10-8-70 AZTEC MINING CORPORATION, 6202 South Broadway, Littleton, Colorado, 80120.
- 8-11-70 CLASSIC MINING CORPORATION, 731 E. South Temple, Salt Lake City, Utah.

MONTHLY REPORT OF SECURITIES REGISTRATIONS IN TEXAS

A summary of individual permits issued in October, 1970, follows: These permits are permissive only and do not constitute a recommendation of the State Securities Board.

<u>ISSUER</u>	<u>TYPE OF OFFERING</u>
10-20-70 Affiliated Capital Corporation 539 Capital National Bank Bldg. Houston, Texas	52,000 Units, ea. consisting of 2 shs com/s (\$1.00 Par Value) and 1 com/stock purchase war- rant, @ \$20.00 per Unit, and 52,000 shs com/s underlying the warrants. Exercise price of common stock purchase war- rant \$10.00 ea.
10-8-70 Allergan Pharmaceuticals 1000 South Grand Avenue Santa Ana, California	8,000 shs no Par Value com/s @ \$15.00 ea.
10-15-70 American General Bond Fund, Inc. 2727 Allen Parkway Houston, Texas 77019	70,000 shs com/s @ \$25.00 ea.
11-22-70 American Medical Association Members Retirement Plan 535 North Dearborn Street Chicago, Illinois	shs participation in the Group Annuity Fund and Pooled Trust to be sold @ a price equal to the amount credited to the participant's account.
10-16-70 American Recreation Group, Inc. 625 Madison Avenue New York, New York	10,000 shs com/s @ \$8.00 ea.

10-20-70	American Resources, Inc. 1111 Judson Road Longview, Texas 75601	7,400 shs com/s @ \$10.00 ea.
11-12-70	Anchor Income Fund, Inc. Westminster at Parker Elizabeth, New Jersey	shs com/s @ net asset value.
10-5-70	Apache Oil & Gas Program 1970-III 1800 Foshay Tower Minneapolis, Minnesota	3 1/3 Units of Limited Partnership @ \$15,000.00 ea. plus a maximum assessment of \$4,500.00 per Unit.
10-26-70	Arcoa, Inc. 2727 North Central Avenue Phoenix, Arizona	Fleet Owner Contracts (U-Haul Trailer Rental System) whose prices vary with ea. contract depending upon equipment purchased at equipment cost.
10-15-70	BLC Growth Fund, Inc. 711 High Street Des Moines, Iowa	shs com/s @ net asset value.
10-1-70	BT Mortgage Investors 225 Franklin Street Boston, Massachusetts	3,000 Units, ea. consisting of 6 shs Beneficial Interest and \$100.00 principal amount of 6 3/4% Convertible Subordinated Debentures due September 15, 1990 @ \$250.00 ea.
10-1-70	BancOhio Corporation 51 North High Street Columbus, Ohio	2,725 shs of \$10.00 Par Value com/s of Akron National Bank and Trust Company are to be exchanged for the com/s of BancOhio Corporation @ \$25.18 ea. on the basis of 1.4 shs of BancOhio for ea. (1) share of Akron.
10-15-70	Bristol-Myers Company 345 Park Avenue New York, New York	Participations in the Bristol-Myers Company Savings Plan.
10-14-70	Buffalo Business Products Corporation 5825 Schumacher Houston, Texas 77006	60,000 shs com/s @ \$5.00 ea.
10-23-70	Canadian-American Resources Fund, Inc. 2200 Continental National Bank Building Fort Worth, Texas	20 Units of Limited Partnership Interest in Partnership 1970-2 @ \$5,000.00 per Unit.
10-15-70	Clark Equipment Credit Corporation 128 East Front Street Buchanan, Michigan	8.80% Debentures Series C due 1976 @ 100%.
10-6-70	Coca-Cola Bottling Company of Los Angeles 1334 South Central Avenue Los Angeles, California	10,000 shs com/s @ \$27.25 ea.

11-7-70	Colonial Equities, Inc. 75 Federal Street Boston, Massachusetts	shs com/s @ net asset value.
10-26-70	Continental Investment Corporation 225 Franklin Street Boston, Massachusetts	\$500,000.00 in 9% Convertible Subordinated Debentures due 1990 @ 100%. Debentures are to be convertible into common @ a price based on market price over a five day period.
10-8-70	Delaware Variable Investment Plan 901 Market Street Wilmington, Delaware	Single Payment Plans and Systematic Plans for the accumulation of shs of Delaware Fund, Inc. @ face amount.
9-19-70	Enterprise Fund, Inc. 606 South Olive Street Los Angeles, California 90014	shs cap/s @ net asset value.
10-29-70	The Equitable Life Mortgage and Realty Investors 75 Federal Street Boston, Massachusetts	75,000 Units consisting of 4 shs of Beneficial Interest and \$100.00 6 3/4% Convertible Subordinated Debentures due 1990 @ \$200.00 per Unit.
10-29-70	Essex Fund, Inc. 35 Congress Street Boston, Massachusetts	shs com/s @ net asset value.
10-7-70	FML Growth Fund, Inc. Parkway at Fairmount Philadelphia, Pennsylvania	shs com/s @ net asset value.
10-8-70	Fashion Fabrics, Inc. 267 South Main Salt Lake City, Utah	20,000 shs \$.10 Par Value com/s @ \$10.00 ea.
10-8-70	Fidelity Destiny Fund, Inc. 35 Congress Street Boston, Massachusetts	shs com/s @ net asset value.
10-14-70	Fidelity Destiny Plans 225 Franklin Street Boston, Massachusetts	Systematic Investment Plans for the accumulation of shs of Fidelity Destiny Fund, Inc. @ face amount.
10-6-70	First of Denver Mortgage Investors Suite 500, First National Bank Building Denver, Colorado	20,000 Units consisting of 1 share of Beneficial Interest and 1 warrant to purchase one additional underlying share of Beneficial Interest @ \$20.00 per Unit, plus 20,000 shs Beneficial Interest underlying the warrants @ \$20.00 ea.
10-8-70	First Lincoln Financial Corporation 640 West 6th Street Suite 300 Los Angeles, California	463 warrants at \$1.00 per warrant plus 463 shs underlying the warrants @ \$4.00 per warrant.

10-20-70	First Florida Bancorporation Marine Bank Building Tampa, Florida	10,000 shs com/s @ \$17.00 ea.
10-23-70	Florida Gas Transmission Company Orlando and Orange Avenue Winter Park, Florida	First Mortgage Pipe Line Bonds 9 5/8% Series due January 1, 1990 @ 100%.
10-24-70	Fund of America, Inc. 90 Park Avenue New York, New York	shs com/s @ net asset value.
10-10-70	Fund of the Southwest, Inc. First National Bank Building Dallas, Texas	shs com/s @ net asset value.
10-26-70	The Gateway Fund, Inc. One North Jefferson Avenue St. Louis, Missouri	shs com/s @ net asset value.
10-13-70	General United Group, Inc. P. O. Box 1381 Des Moines, Iowa	1,500 shs \$.25 Par Value common stock @ Fair Market Value @ date of grant, not to exceed \$10.00 ea. for issue to Agents and Employees under incentive stock option plans.
10-13-70	ISI Institutional Fund A, Inc. 100 California Street San Francisco, California	shs com/s @ net asset value.
10-13-70	ISI Institutional Fund B, Inc. 100 California Street San Francisco, California	shs com/s @ net asset value.
10-29-70	Imperial Capital Fund, Inc. Box 1386 Minneapolis, Minnesota	shs com/s @ net asset value.
9-23-70	Imperial Growth Fund, Inc. 10709 Wayzata Blvd. Box 1386 Minneapolis, Minnesota 55440	shs com/s @ net asset value.
10-28-70	Inexco Oil and Gas Fund, Ltd. 71-1 308 Lincoln Tower Building Denver, Colorado	Limited Partnership Interests with a minimum investment of \$5,000.00 Single Payment Plan.
10-20-70	Intermedco, Inc. 3322 Richmond Avenue Houston, Texas	100,000 shs \$1.00 Par Value com/s @ \$9.00 per share.
9-26-70	Ivy Fund, Inc. 155 Berkeley Street Boston, Massachusetts	shs com/s @ net asset value.
10-28-70	J. P. Growth Fund, Inc. 101 North Elm Street Greensboro, North Carolina	shs com/s @ net asset value.
10-14-70	The Johnston Mutual Fund, Inc. 460 Park Avenue New York, New York	shs com/s @ net asset value.

10-28-70	Josten Growth Fund, Inc. Box 1386 Minneapolis, Minnesota	shs com/s @ net asset value.
11-1-70	Keystone Accumulation Plans 50 Congress Street Boston, Massachusetts	Monthly Accumulation Plans and Single Payment Plans for the accumulation of shs Keystone Growth Fund, K-2 to be sold at face amount.
10-29-70	Life Insurance Investors, Inc. 170 Fourth Avenue, North Nashville, Tennessee	shs com/s @ net asset value.
10-23-70	Mana Resources Gas Exploration Fund 1216 Hartford Building Dallas, Texas	2 Units of Limited Partnership Interest @ \$5,000.00 per Unit plus a maximum possible assessment of \$3,000.00 per Unit.
10-28-70	Mana Resources Gas Exploration Fund 1216 Hartford Building Dallas, Texas	6 Units of Limited Partnership Interest @ \$5,000.00 per Unit plus a maximum possible assessment of \$3,000.00 per Unit.
10-6-70	MassMutual Mortgage and Realty Investors 1295 State Street Springfield, Massachusetts	5,000 Units, ea. consisting of \$100.00 Principal Amount of 6 3/4% Convertible Subordinated Debentures due 1990 and 5 shs of Beneficial Interest at \$200.00 ea.
10-23-70	Merrill Lynch, Pierce, Fenner & Smith, Inc. 70 Pine Street New York, New York	shs voting and non-voting com/s @ not less than book value per share as determined by the corporation according to Article VI of the Articles of Incorporation nor more than \$45.00 per share.
10-22-70	Metalized Ceramics Corporation West River Industrial Park Providence, Rhode Island	10,000 shs of \$.10 Par Value com/s @ \$15.00 per share.
10-14-70	Morgan Adhesives Company 4560 Darrow Road Stow, Ohio	40,000 shs com/s @ \$17.00 ea.
10-21-70	Municipal Investment Trust Fund, Series 1B 36 Wall Street New York, New York	400 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund @ net asset value.
11-9-70	National Growth Investment Plans 120 Broadway New York, New York 10005	Single Payment Plans and Monthly Investment Plans for the accumulation of shs of National Growth Stock Series to be sold at face amount.
9-28-70	National Investors Corporation 65 Broadway New York, New York	shs com/s @ net asset value.

10-1-70	National Securities Series 120 Broadway New York, New York	shs in ea. of the Series of the Common Law Trust @ net asset value.
10-21-70	Natural Resources Fund, Inc. 500 Denver Club Building Denver, Colorado	100 Limited Partnership Inter- ests in Partnership 1970-3A with a minimum investment of \$5,000.00.
10-16-70	New England Gas and Electric Association 130 Austin Street Cambridge, Massachusetts	17,000 transferable subscription warrants at a price of \$1.00 per warrant plus 17,000 under- lying com/shs of Beneficial Interest @ \$13.75 ea.
10-29-70	Nuveen Tax-Exempt Bond Fund, Series 31 209 South LaSalle Street Chicago, Illinois	5,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund to be sold @ net asset value.
10-1-70	Ohio Farmers Growth Fund, Inc. One Park Circle LeRoy, Ohio	shs com/s @ net asset value.
11-9-70	The One Hundred Fund, Inc. 1700 Broadway Denver, Colorado	shs com/s @ net asset value.
9-30-70	Oxford Laboratories 107 North Bayshore Blvd. San Mateo, California	20,000 shs com/s @ \$11.50 ea.
10-27-70	Parkwest Medical Center, Ltd. 1500 Gray Avenue Houston, Texas	100 Units of Participation @ \$10,000.00 per Unit. Conting- ent Liability of \$35,000.00 attaches to ea. Unit and that Contingent Liability also is being registered.
10-28-70	Patrick Oil and Gas Corporation 744 West Michigan Avenue Jackson, Michigan	10 Units of Participation in 1970 Drilling Program No. 2 @ \$25,000.00 ea.
10-26-70	Petrofunds, Inc. 2200 Esperson Building Houston, Texas	100 Units of Participation in 1970 Year End Drilling Fund @ \$5,000.00 per Unit plus a maximum possible assessment of \$500.00 per Unit.
10-23-70	Petro-Search Exploration Corporation, Partnership A-4 825 Petroleum Club Building Denver, Colorado	33 Units of Limited Partnership Interest in Partnership A-4 @ \$6,000.00 per Unit.
10-24-70	Pilgrim Fund, Inc. 185 Cross Street Fort Lee, New Jersey	shs cap/s @ net asset value.

10-6-70	Prudential Funds, Inc., 1970 Year-End Drilling Fund Series Twenty Three 90 Broad Street New York, New York	20 Units of Participation @ \$5,000.00 per Unit plus a maximum possible assessment of \$500.00 per Unit with a minimum investment of two Units.
10-13-70	The Putnam Income Fund, Inc. 265 Franklin Street Boston, Massachusetts	shs com/s @ net asset value.
11-1-70	Putnam Investment Programs 265 Franklin Street Boston, Massachusetts	Single Payment Programs and Systematic Investment Programs for the accumulation of shs of Putnam Growth Fund @ face amount.
10-14-70	Real Estate Participations in Israel Ltd. 18, Keren Hayesod Street Jerusalem, Israel	118 - 6 1/2% Subordinated De- bentures, maturing serially 1976-1985 (Principal and Interest guaranteed by Tefahot Israel Mortgage Bank Limited, Jerusalem, Israel) @ \$1,000.00 per debenture to be exchanged for Participation Interests in certain real property located in Israel.
10-29-70	S. Riekes & Sons, Inc. 1818 Leavenworth Street Omaha, Nebraska	75,000 shs com/s @ \$9.00 per share.
10-29-70	Rollins Burdick Hunter Company 231 S. LaSalle Street Chicago, Illinois	10,000 shs com/s without Par Value @ \$15.00 per share.
10-8-70	Rowe Price New Horizons Fund, Inc. One Charles Center Baltimore, Maryland 21201	shs cap/s @ net asset value.
10-29-70	The Second Texas Fund, Ltd. 2001 McKinney Avenue Dallas, Texas	400 Limited Partnership Inter- ests @ \$10,000.00 per Interest.
10-17-70	Selected American Shares, Inc. 135 South LaSalle Street Chicago, Illinois	shs com/s @ net asset value.
10-21-70	Service Corporation International 22 Waugh Drive Houston, Texas	32,994 shs com/s @ \$14.00 ea.
10-21-70	Shamrock Fund 1800 East Bridgegate Suite 101 Westlake Village, California	shs com/s @ net asset value.
10-14-70	Silco, Inc. 600 Braniff Tower Exchange Park Dallas, Texas	100,001 shs \$1.00 Par Value com/s registered @ \$9.00 per share to be exchanged for the 412,000 outstanding shs of TIPS General Agency, Inc. up to an additional 700,000 shs

		may be issued in 1973 if earnings criteria are met.
10-20-70	Stein Roe & Farnham Stock Fund, Inc. 135 South LaSalle Street Chicago, Illinois	shs com/s @ net asset value.
10-19-70	Stratford of Texas, Inc. 833 Tenneco Building Houston, Texas	51,343 shs of \$.10 Par Value com/s registered @ \$14.0562 per share to be exchanged for the 3,000 outstanding shs of Dalhart Feed Yards, Inc.
10-28-70	Supervisor's District No. Five, Lee County, Mississippi Lee County Tupelo, Mississippi	50 Units of ___% Industrial Revenue Bonds (Wallace-Murray Project) @ \$5,000.00 per Unit.
10-28-70	Union Capital Fund, Inc. 65 Broadway New York, New York 10006	shs cap/s @ net asset value.
10-6-70	United Utilities, Inc. Box 11315 Kansas City, Missouri	35,000 warrants to purchase one share per warrant of United Utilities com/s through October 14, 1975 @ \$5.00 per warrant. Warrants are to be sold in Units of one warrant with one common share. (Com/shs are traded NYSE.)
10-13-70	United Vanguard Fund, Inc. 20 West 9th Street Kansas City, Missouri	shs com/s @ net asset value.
11-12-70	Vance, Sanders Special Fund, Inc. 111 Devonshire Street Boston, Massachusetts	shs com/s @ net asset value.
9-28-70	Variable Investment Plan 280 Park Avenue New York, New York	Single and Monthly Investment Programs for the accumulation of shs of Channing Shares, Inc. @ face amount.
10-14-70	Vindale Corporation 4999 Northcutt Place Dayton, Ohio 45414	20,000 shs com/s @ \$12.00 per share.
10-1-70	Wainoco 70 Company 312 Fourth Avenue, S.W. Calgary, Alberta, Canada	10 Units of Limited Partnership Interests @ \$10,000.00 per Unit plus a maximum possible assessment of \$1,000.00 per Unit.
10-1-70	Wal-Mart Stores, Inc. P. O. Box 116 Bentonville, Arkansas	25,000 shs com/s @ \$16.50 per share.

10-30-70	Washington National Fund, Inc. Westminster at Parker Elizabeth, New Jersey	shs com/s @ net asset value.
10-14-70	Weeden & Company 25 Broad Street New York, New York 10004	30,000 shs com/s without Par Value @ \$15.50 per share.
10-1-70	Westfield Income Fund, Inc. One Park Circle LeRoy, Ohio	shs com/s @ net asset value.
9-10-70	Windsor Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware 19703	shs com/s @ net asset value.
9-24-70	Windsor Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware 19703	shs com/s @ net asset value.
10-21-70	Windsor Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware	shs com/s @ net asset value.

REGISTRATION APPLICATION WITHDRAWN OR ABANDONED

10-26-70	Airborne Freight Corporation Colman Building Seattle, Washington	3,000 shs com/s @ \$16.00 per share.
10-28-70	Antaeus Resources Corporation 345 Park Avenue New York, New York 10022	11,298 shs com/s (\$10.00 Par Value) @ \$10.00 per share.
10-23-70	Bee Cee Manufacturing Company Industrial Park Malden, Missouri	3,000 shs com/s @ \$6.00 per share.
10-23-70	Bradford Computer & Systems, Inc. 1700 Broadway New York, New York	10,000 shs com/s @ \$75.00 ea.
10-5-70	Cundari Oil Company, Inc. 19307 West Warren Avenue Detroit, Michigan	100 Units of Cundari Gas and Oil Program 69-70.
10-5-70	Cytek Information Systems Corporation 366 Fifth Avenue New York, New York 10001	10,000 Units consisting of 2 shs com/s @ \$.01 Par Value, and 1 warrant @ \$10.00 ea. and 10,000 shs com/s underlying warrants @ \$5.00 ea.
10-28-70	Delta Computer Corporation Suite 903 211 North Ervay Dallas, Texas 75201	70,000 shs com/s @ \$10.00 ea.
10-20-70	E.L.I. Industries, Inc. 75 Main Avenue East Paterson, New Jersey	20,000 shs com/s @ \$15.00 ea.

10-14-70	Falcon Capital Corporation 16661 Ventura Blvd. Encino, California	1,000 shs com/s @ \$13.50 ea.
10-28-70	Frigitronics, Inc. 525 Broad Street Bridgeport, Connecticut	30,000 shs com/s @ \$36.50 ea.
10-20-70	General Computer Services, Inc. 1332 Meridian Street, N.E. Huntsville, Alabama	100 Convertible Subordinated Debentures due 1982.
10-13-70	General Growth Properties 1055 Sixth Avenue Des Moines, Iowa	10,000 shs Beneficial Interest (Par Value \$1.00 per share) @ \$18.00 per share.
10-13-70	General Opportunities, Inc. 8 West 40th Street New York, New York	5,000 shs com/s @ \$7.50 per share.
10-28-70	Giffen Recreation Limited 1440 St. Catherine Street West Montreal 107 Quebec, Canada	25,000 shs com/s @ \$21.00 ea.
10-13-70	Independence Management Corporation 235 White Horse Pike West Collingswood, New Jersey	3,000 shs com/s @ \$12.00 ea.
10-9-70	Kuhn's--Big K Stores Corporation 3040 Sidco Drive Nashville, Tennessee	25,000 shs com/s @ \$19.00 ea.
10-20-70	Lefebure-Sargent Corporation 9 Brighton Road Clifton, New Jersey 07012	45,000 shs com/s @ \$24.00 ea.
10-8-70	Logic Corporation 21 Olney Avenue Cherry Hill Industrial Park Cherry Hill, New Jersey	25,000 shs cap/s @ \$15.00 ea.
10-28-70	Pay-O-Matic Corporation 6 East 39th Street New York, New York	20,000 shs com/s @ \$4.00 ea.
10-20-70	The Premix Corporation 300 N.E. 72nd Street Miami, Florida	10,000 shs com/s @ \$4.00 per share.
10-9-70	Realty Dimensions Corporation Chase Manhattan Plaza New York, New York	10,000 shs com/s @ \$15.00 per share.
10-30-70	Record Club of America, Inc. P. O. Box 22 York, Pennsylvania	10,000 shs com/s @ \$12.00 ea.
10-9-70	SMC Industries, Inc. 131 Howell Street Dallas, Texas	40,000 shs com/s @ \$19.00 ea.

10-26-70	Stator Texas Industries Corporation Box 87 Chireno, Texas	50,000 shs com/s @ \$2.00 ea.
10-7-70	Surety Life Insurance Company 1935 South Main Street P. O. Box 2520 Salt Lake City, Utah	10,000 shs com/s @ \$15.00 ea.
10-14-70	Texas Aluminum Company, Inc. East Washington Street Rockwall, Texas	50,000 shs com/s @ \$13.50 ea.
10-5-70	Tymshare, Inc. 525 University Avenue Palo Alto, California	50,000 shs com/s @ \$8.00 ea.
10-20-70	Viking General Corporation 6700 N.W. 35th Avenue Miami, Florida	10,000 shs com/s @ \$13.00 ea.

Securities Registrations from September 1, 1970, through October 31, 1970

Month of October, 1970

	<u>Number</u> <u>Registration</u>	<u>Amount</u>	<u>Prior Total</u> <u>Accumulated</u>	<u>Total</u>
<u>Original Applications</u> <u>of Corporate Securities</u>				
Texas Companies	14	\$ 15,881,612	\$ 12,547,704	\$ 28,429,316
Foreign Companies	54	46,488,365(1)	38,690,051	85,178,416
	68	\$ 62,369,977	\$ 51,237,755	\$113,607,732
<u>Renewal Registrations</u>				
Texas Companies	1	\$ 3,790,282(2)	\$ 673,374	\$ 4,463,656
Foreign Companies	29	38,518,832(3)	58,653,643	97,172,475
	30	\$ 42,309,114	\$ 59,327,017	\$101,636,131
TOTALS	<u>98</u>	<u>\$104,679,091</u>	<u>\$110,564,772</u>	<u>\$215,243,863(4)</u>

Includes Mutual Investment Funds Amounting to:

(1) \$ 14,166,147
(2) \$ 3,790,282
(3) \$ 37,857,473
(4) \$143,903,386

STATE SECURITIES BOARD
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