



TEXAS

# MONTHLY SECURITIES BULLETIN

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JAMES H. MILAM  
Member  
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Member  
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Commissioner  
A. JAMES ELLISOR  
Deputy Commissioner

## State Securities Board

709 STATE FINANCE BUILDING

POST OFFICE BOX 13167, CAPITOL STATION, AUSTIN, TEXAS 78711 — TELEPHONE (512) 475-4561

*Documents Department*

September, 1972

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### SEPTEMBER PERMITS

The State Securities Board issued permits in September for 92 issuers to sell \$101,899,720 in securities.

### LICENSE INFORMATION

During the month of September a total of 201 examinations were administered to 184 applicants for licensing under the Texas Securities Act. This included 28 examinations on general securities principles, 158 examinations on the Texas Securities Act, and 15 examinations on the Special Bond. There were 46.4% of the applicants who passed the general securities section on the first attempt, 95.6% who passed the Texas Law portion on the first attempt, and 66.7% who passed the Special Bond examination on the first attempt.

#### \* \* IMPORTANT RENEWAL INFORMATION

All dealers' and salesmen's renewal applications will be mailed from our office within the next two weeks. This should enable each dealer to have ample time in which to meet the required deadline of December 2nd. In accordance with Section 19 of The Securities Act, "All applications received otherwise shall be treated as original applications; provided that if any applicant is registered after December 1st of any year, he may immediately apply for a renewal of his registration for the ensuing year."

In order to aid our office in expediting the processing of your firm's 1973 licenses, we will appreciate your completing all renewals properly.

If you should have any questions, please contact Ms. Sandra Dreyer or Mrs. Bonnie Blair of our office.

### ADMINISTRATIVE ORDERS

IN THE MATTER OF  
ROBERT L. MESSIMER AND  
MARCELO CARAVEO, d/b/a  
TWO REPUBLICS INVESTMENT CO.  
Suite 11-A, El Paso National  
Bank Building  
El Paso, Texas 79901

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ORDER NO. CP-488

On September 8, 1972, Deputy Securities Commissioner A. J. Ellisor gave notice to Robert L. Messimer and Marcelo Caraveo, d/b/a Two Republics Investment Co. to cease publication, dissemination and use of

advertising material in Texas relating to certain Mexican securities including Financiera Comermex and to the offering of investment advisory services.

The Deputy Commissioner found that the advertisements contained statements that were misleading and likely to deceive a reader thereof; that Robert L. Messimer and Marcelo Caraveo, d/b/a Two Republics Investment Co. are not registered as securities dealers or investment advisers in the State of Texas and that no securities issued by Financiera Comermex, S. A. have ever been registered for sale in the State of Texas.

IN THE MATTER OF  
RONALD NORMAN NEWKIRK  
600 Bellaire Drive  
Hurst, Texas 76053

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ORDER NO. LD-490

On September 29, 1972, the Securities Commissioner issued an order denying the application of Ronald Norman Newkirk for registration as a securities salesman for W. S. Securities of Fort Worth, Texas.

The Securities Commissioner found that Newkirk has not complied with a condition imposed by the Commissioner under Section 13.D, requiring that the applicant pass successfully a written examination to determine applicant's qualification and competence to engage in the business of dealing and selling securities as a dealer or salesman and instead, Newkirk caused one Roy Clark Baird to take said examination for him on or about September 5, 1972.

The Commissioner found further that Newkirk made material misrepresentations to the Commissioner in connection with information deemed necessary by the Commissioner to determine his business repute and qualifications.

IN THE MATTER OF  
ROY CLARK BAIRD  
P. O. Box 17025  
Fort Worth, Texas 76102

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ORDER NO. LR-491

On September 29, 1972, the Securities Commissioner issued an order revoking the registration of Roy Clark Baird as a securities salesman for W. S. Securities, Inc. of Fort Worth, Texas.

The Commissioner found that Roy Clark Baird, while employed as a securities salesman for W. S. Securities, Inc. of Fort Worth, Texas, engaged in fraudulent business practices by participating in a scheme to deceive the Securities Commissioner of the State of Texas by substituting himself for and taking the examination required by law for Ronald Norman Newkirk and falsely and fraudulently holding himself out to be Ronald Norman Newkirk, an applicant for registration as a securities salesman and further, that after taking such examination, Baird signed a pledge sheet in the name of Ronald N. Newkirk, stating that he had neither given nor received aid on the test that he was taking.

INDICTMENTS

A Fannin County Grand Jury returned an indictment against Grover Arlin Anderson on August 31, 1972, charging him with violations of The Securities Act and with theft by false pretext.

The indictment alleges that Anderson sold the unregistered securities of Bamm Corporation to a Fannin County resident without being registered as a dealer in securities.

Three indictments have been returned against Charles R. Counter of Houston, Texas, for violations of The Securities Act in the sale of shares of stock in Counter-Mark Corporation. Each of the indictments returned on September 15, 1972, alleges that Counter sold unregistered securities of Counter-Mark Corporation to Dallas County residents.

Each indictment also charges Counter with counts of fraud in the sale of securities and sale by an unregistered dealer.

#### CONVICTIONS

James B. Badgett, formerly Secretary-Treasurer of National Tank Car and Manufacturing Co., has been convicted of the offense of unlawful sale of unregistered securities, after entering a plea of guilty to the charge in Judge Paul Ferguson's 149th District Court of Brazoria County in Angleton, Texas, on September 19, 1972.

Badgett, presently residing in Norman, Oklahoma, was sentenced to serve seven years imprisonment in the Texas Department of Corrections with the sentence probated. One of the conditions of probation provides that Badgett make restitution in the amount of \$4,000 to the injured party to be paid during the period of probation.

#### NOTICES CLEARED FOR DEALER SECONDARY TRADING

- 9-20-72 BELL WESTERN CORPORATION, 1018 Houston Citizens Bank & Trust Co., Houston, Texas 77002, qualified to June 30, 1973.
- 9-29-72 CINEMATION INDUSTRIES, INC., 1350 Avenue of the Americas, New York, N. Y., was extended to September 30, 1973.
- 9-7-72 COMPAC CORPORATION, 420 Frelinghuysen Avenue, Newark, New Jersey 07114, qualified to March 31, 1973.
- 9-20-72 GENERAL NUCLEAR, INC., 9320 Tavenor, Houston, Texas 77034, qualified to June 30, 1973.
- 9-28-72 TECOR, INC., 6300 Maple Avenue, Dallas, Texas, was extended to October 31, 1973.

#### EXPIRED NOTICES FOR DEALER SECONDARY TRADING UNDER SECTION 5.0 OF THE ACT

- 9-30-72 OLYMPUS SERVICE CORPORATION, 3003 Live Oak, Dallas, Texas 75204.
- 9-30-72 SETCO, INC., 11110 Ohio Avenue, Suite 105, Westwood, California 90025.

#### MONTHLY REPORT OF SECURITIES REGISTRATIONS IN TEXAS

A summary of individual permits issued in September, 1972, follows: These permits are permissive only and do not constitute a recommendation of the State Securities Board.

<u>ISSUER</u>	<u>TYPE OF OFFERING</u>
9-8-72 Ada Oil Exploration Corporation 6910 Fannin, P.O. Box 844 Houston, Texas 77001	100 units of Limited Partnership Interest in 1972-C Oil and Gas Program @ \$5,000.00 ea.; minimum subscription of \$5,000.00.
9-18-72 Alexander & Alexander, Inc. 225 North Charles Street Baltimore, Maryland 21218	31,000 shs no par com/s @ \$41.00 ea. to be exchanged for all the outstanding stock of Nowlin Protection, Inc. and Harold Nowlin Company.

9-20-72	Allstate Enterprises Stock Fund, Inc. 20 Allstate Plaza Northbrook, Illinois	Shs. com/s @ net asset value.
9-6-72	Almaden Vineyards, Inc. One Maritime Plaza San Francisco, California	3,740,000 Rights to subscribe for 220,000 shs com/s. The com/s, par value \$.10, is priced @ \$20.00 ea.
9-18-72	Amarex Funds of Delaware, Inc. & Amarex Inc. 200 N. Harvey, Suite 200 Oklahoma City, Oklahoma	200 units Limited Partnership Interests in Amarex Drilling Program, Ltd. - 72/73 @ \$1,000.00 ea. Minimum subscription \$5,000.00.
9-25-72	Amcap Fund, Inc. 611 West Sixth Street Los Angeles, California	Shs com/s at net asset value.
9-29-72	American Business Shares, Inc. 63 Wall Street New York, New York	Shs com/s @ net asset value.
9-30-72	American Express Income Fund, Inc. P. O. Box 7650 550 Laurel Street San Francisco, California	Shs com/s @ net asset value.
10-3-72	American Express Investment Fund, Inc. P. O. Box 7650, Laurel Street San Francisco, California	Shs com/s @ net asset value.
9-29-72	American Insurance & Industrial Fund, Inc. 375 Collins Road N. E. Cedar Rapids, Iowa	Shs com/s @ net asset value.
8-31-72	American Telecommunications Corp. 4276 Baldwin Avenue El Monte, California 91734	15,000 shs \$.10 par value com/s @ \$17.75 ea.
10-15-72	BLC Growth Fund, Inc. 711 High Street Des Moines, Iowa	Shs com/s @ net asset value.
10-15-72	BLC Income Fund, Inc. 711 High Street Des Moines, Iowa	Shs com/s @ net asset value.
9-28-72	Broad Street Investing Corporation 65 Broadway New York, New York	Shs com/s @ net asset value.
9-7-72	Browning Route 1 Morgan, Utah	15,000 shs \$1.00 par value com/s @ \$12.00 ea.
9-23-72	Channing Shares, Inc. 280 Park Avenue New York, New York	Shs Channing Balanced Fund and Channing Growth Fund @ net asset value.
9-27-72	Collins Foods International, Inc. 12731 West Jefferson Blvd. Los Angeles, California 90066	55,000 shs \$.10 par value com/s @ \$16.125 ea.

10-2-72	Comstock Fund, Inc. 1888 Century Park East Los Angeles, California	Shs com/s @ net asset value.
9-25-72	Consolidated Bankshares of Florida, Inc. 225 East Las Olas Blvd. Fort Lauderdale, Florida 33301	925 shs com/s of Consolidated Bankshares of Florida, Inc. to be exchanged for 257 shs of Indianlantic Beach Bank, of Indianalantic, Florida, regis- tered @ \$6.89 ea.
9-18-72	Consolidated Investment Trust 35 Congress St. Boston, Massachusetts	Shs Ben. Int. to be issued to shareholders of Boston Person- al Property Trust @ net asset value.
9-20-72	Decatur Income Fund, Inc. 901 Market Street Wilmington, Delaware	Shs com/s @ net asset value.
9-12-72	Delhi International Oil Corporation 2900 Fidelity Union Tower Dallas, Texas	978,084 Transferable Rights to purchase 195,617 shs \$.10 par value com/s @ a ratio of five rights to purchase one shr com/s @ \$7.50 ea.
9-20-72	Delaware Fund, Inc. 901 Market Street Wilmington, Delaware	Shs com/s @ net asset value.
9-29-72	Difund, Inc. 116 John Street New York, New York	Shs com/s @ net asset value.
9-29-72	The Eberstadt Fund, Inc. 61 Broadway New York, New York	Shs com/s @ net asset value.
9-22-72	Educational Development Corporation 1812 First National Bldg. Tulsa, Oklahoma 74103	30,000 shs com/s, \$.20 par value, @ \$6.125 ea.
9-19-72	Enterprise Fund, Inc. 1888 Century Park East Los Angeles, California	Shs com/s @ net asset value.
9-25-72	Equities International Life Insurance Company 210 West 6th Street Fort Worth, Texas 76102	(1) 187,500 shs com/s (par value \$1.00) to be sold pur- suant to Stock Option Plan for Sales Personnel @ \$3.00 ea., and (2) Participation Interests in Employees Stock Purchase Plan @ \$15.65 per interest for an aggregate offering of Participation Interests of \$49,671.00.
9-16-72	Equity Funding Programs 1900 Avenue of the Stars Los Angeles, California	Programs for the acquisition of mutual fund shares and insurance @ face amt.
9-14-72	The Falconer Company 6001 Erdman Avenue Baltimore, Maryland 21205	1,000 shs \$1.00 par value com/s @ \$27.50 ea.
9-24-72	First Investors Discovery Fund, Inc. 120 Wall street New York, New York	Shs com/s @ net asset value.

9-21-72	First Mortgage Insurance Company 1327 Beaman Place Greensboro, North Carolina	8,000 shs com/s \$1.00 par value @ \$22.50 ea.
8-31-72	Florida Commercial Banks, Inc. 950 S. W. 57th Ave. Miami, Florida 33144	50,000 shs \$1.00 par value com/s @ \$19.25 ea.
9-21-72	Gossett Oil 72-73 Program, Ltd. Petroleum Building P. O. Box 88 Longview, Texas	40 units Limited Partnership Interest @ \$5,000.00 ea.
9-21-72	Hach Chemical Company 713 South Duff Avenue Ames, Iowa 50010	10,000 shs \$1.00 par value com/s @ \$32.50 ea.
9-6-72	1972 Hanover Drilling Program, Series 8 8 Hanover Street New York, New York	75 units of participation @ \$5,000.00 ea. plus a max- imum possible assessment of \$2,500.00 ea, registration effective until October 31, 1972.
9-20-72	Heilig - Meyers Company 3228 West Cary St. Richmond, Virginia	50,000 shs \$2.00 par value com/s @ \$15.00 ea.
9-18-72	IDS New Dimensions Fund, Inc. Investors Building Minneapolis, Minnesota	Shs com/s @ net asset value.
9-23-72	Imperial Growth Fund, Inc. 10709 Wayzata Blvd. Minneapolis, Minnesota	Shs com/s @ net asset value.
9-14-72	Inland Container Corporation 120 East Market Street Indianapolis, Indiana 46204	50,000 shs Class A com/s, no par value, @ \$33.00 ea.
9-14-72	Interpool Limited 630 Third Avenue New York, New York 10017	20,000 shs com/s, par value \$.01, @ \$15.00 ea.
9-24-72	Investors Accumulation Plan Investors Building Minneapolis, Minnesota	Periodic Payment Plan Certi- ficates for the accumulation of shs of Investors Stock Fund, Inc. @ face amt.
9-18-72	Investors Selective Fund, Inc. Investors Building Minneapolis, Minnesota	Shs com/s @ net asset value.
9-25-72	Ivest Fund, Inc. 28 State Street Boston, Massachusetts	Shs com/s @ net asset value.
9-26-72	Ivy Fund, Inc. 441 Stuart Street Boston, Massachusetts	Shs com/s @ net asset value.
9-19-72	Juniper Petroleum Corporation 1080 Capitol Life Center Denver, Colorado 80203	11,805 shs com/s, \$.10 par value, of Juniper Petroleum Corporation in exchange for Participation Units of Cer- tain Oil and Gas Exploration Programs.

9-26-72	The ML Corporate Income Fund, Second Monthly Payment Series One Liberty Plaza 165 Broadway New York, New York	250 units, ea. consisting of a fractional undivided interest in the prin. and net income of the Fund @ net asset value. Price not to exceed \$1,050 ea. plus accrued interest.
9-20-72	The Marcus Corporation 212 West Wisconsin Ave. Milwaukee, Wisconsin	93,750 shs com/s, \$1.00 par value, @ \$16.00 ea.
9-6-72	Marrriott Condominium Develop- ment Corporation 5161 River Road Washington, D. C. 20016	Condominium and limited partnership interests for a maximum offering of \$530,860.00.
9-2-72	Massachusetts Capital Develop- ment Fund, Inc. 200 Berkeley Street Boston, Massachusetts	Shs com/s @ net asset value.
9-28-72	Massachusetts Financial De- velopment Fund, Inc. 200 Berkeley Street Boston, Massachusetts	Shs com/s @ net asset value.
9-22-72	Massachusetts Income Develop- ment Fund, Inc. 200 Berkeley Street Boston, Massachusetts	Shs com/s @ net asset value.
9-7-72	Miracle Recreation Equipment Company West Highway 6 Grinnell, Iowa 50112	10,000 shs \$1.00 par value com/s @ \$10.50 ea.
9-11-72	The Mony Fund, Inc. 1740 Broadway New York, New York	Shs com/s @ net asset value.
9-28-72	Samuel Moore and Company Mantua, Ohio 44255	15,000 com/s (without par value) @ \$19.25 ea.
9-6-72	Municipal Investment Trust Fund, Seventh Monthly Payment Series One Liberty Plaza 165 Broadway New York, New York	5,000 units, ea. consisting of a fractional undivided interest in the prin. and net income of the Fund @ net asset value. Price not to exceed \$1,050.00 ea., plus accrued interest.
9-20-72	NCNB Corporation 200 South Tryon Street Charlotte, North Carolina	33 shs \$5.00 par value com/s of NCNB Corporation, to be ex- changed for shs com/s of Trust Company of Florida on the basis of 1.15 shs NCNB com/s for 1 shr com/s of Trust Company of Florida.
9-20-72	National Mortgage Fund 600 Public Square Building Cleveland, Ohio	30,000 shs \$1.00 par value Ben. Int. @ \$13.00 ea.
10-1-72	National Securities Funds 120 Broadway New York, New York	Shs com/s @ net asset value.
9-27-72	Norin Corp. 12100 Northeast 16th Ave. North Miami, Florida 33161	10,000 shs com/s, \$1.00 par value, to be exchanged for cap/s of The National Investors Life Insurance Company and The Nation- al Investors Fire & Casualty Com- pany.

9-26-72	Nuveen Tax-Exempt Bond Fund, Series 44 209 South LaSalle Street Chicago, Illinois	15,000 units, ea. consisting of a fractional undivided int. of the Fund @ net asset value. Price not to exceed \$105.00 ea. plus accrued interest.
9-21-72	Ormand Communications, Inc. 12011 San Vincente Blvd. Suite 605 Los Angeles, California 90049	588,000 wts. to purchase 84,000 shs com/s, \$.10 par value, of Ormand Communications, Inc. @ \$3.00 ea., at a ratio of 1 shr com/s for 7 wts.
9-27-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	10 units of Limited Partnership Interests in Petro-Lewis Oil Income Program 72-5 @ \$1,000.00 ea., minimum investment \$5,000.00, plus original authorization of \$50,000.00 by previous permit, and plus \$50,000.00 by Amended Permit dated 5-19-72.
8-30-72	Putnam Equities Fund, Inc. 265 Franklin Street Boston, Massachusetts	Shs com/s @ net asset value.
9-29-72	The Putnam Growth Fund 265 Franklin Street Boston, Massachusetts	Shs com/s @ net asset value.
9-14-72	Regal Apparel, Ltd. 14 West 33rd Street New York, New York 10001	20,000 shs \$1.00 par value com/s @ \$10.00 ea.
9-18-72	Paul Revere Investors, Inc. 18 Chestnut Street Worcester, Massachusetts	63,500 shs \$1.00 par value com/s @ \$20.00 ea.
9-20-72	Rowles, Winston & Co., Incorporated 200 Houston Natural Gas Building Houston, Texas	50,000 shs com/s, \$1.00 par value, to be sold at a price not to exceed \$7.38 ea.
9-27-72	R. P. Scherer Corporation 9425 Grinnell Avenue Detroit, Michigan	53,000 shs \$.33 1/3 par value com/s @ \$29.25 ea.
9-27-72	Scudder Special Fund, Inc. 345 Park Avenue New York, New York	Shs com/s @ net asset value.
10-17-72	Selected American Shares, Inc. 135 South LaSalle St. Chicago, Illinois	Shs com/s @ net asset value.
9-2-72	Sentinel Growth Fund, Inc. National Life Drive Montpelier, Vermont	Shs com/s @ net asset value.
9-2-72	Sentinel Income Fund, Inc. National Life Drive Montpelier, Vermont	Shs com/s @ net asset value.
9-13-72	Shearson Income Fund, Inc. 14 Wall Street New York, New York	Shs com/s @ net asset value.
9-5-72	Southeastern Exploration, Ltd. (1972-B) 608 First City National Bank Building Houston, Texas	150 units of Limited Partnership Interests, Classes B-1 and B-2, @ \$1,000.00 ea., minimum investment \$5,000.00. <u>Registration effective until September 29, 1972.</u>



9-7-72	Southern Union Production Company Fidelity Union Tower Dallas, Texas	225,000 shs \$1.00 par value com/s @ \$20.00 ea.
9-27-72	St. Paul Securities, Inc. 385 Washington Street St. Paul, Minnesota	50,000 shs \$.01 par value com/s @ \$15.00 ea.
9-13-72	Taylor Rental Corporation 570 Cottage Street Springfield, Massachusetts 01104	3,000 shs \$1.00 par value com/s @ \$17.75 ea.
9-13-72	United Virginia Bankshares Incorporated 900 East Main Street Richmond, Virginia	100 units of \$1,000.00 7 3/4% Debs. due 1997 to be sold @ 100%.
9-14-72	Universal Instruments Corporation East Frederick Street Binghamton, New York 13902	20,000 shs \$2.50 par value com/s @ \$11.50 ea.
9-26-72	Universal Resources Exploration Program 1411 North Central Expressway Dallas, Texas	20 units of Limited Partnership Interest in Limited Partnership 1972-A @ \$2,500 per unit, mini- mum investment \$5,000. Regis- tration effective until 1-31-72.
9-4-72	Vanderbilt Mutual Fund, Inc. 530 "B" Street San Diego, California	Shs com/s @ net asset value.
9-24-72	Variable Investment Plan 280 Park Avenue New York, New York	Single and Monthly Investment Programs for the accumulation of shs Channing Shares, Inc. to be sold @ face amt.
9-8-72	Wainoco Oil Ltd. 312 Fourth Avenue, S. W. Calgary 1, Alberta, Canada	7,000.02 shs com/s without par value, to be exchanged for work- ing interests in certain oil and gas properties.
9-14-72	Walbro Corporation 6242 Garfield Street Cass City, Michigan	30,000 shs com/s (\$1.00 par value) @ \$13.00 ea.
9-20-72	Wellington Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware	Shs com/s @ net asset value.
9-27-72	Wheatheart Cattle Company 315 South Main Street Perryton, Texas 79070	\$100,000.00 of Pre-Organization subscriptions in Wheatheart Cattle Feeding Fund No. 6 @ \$1,000.00 ea., minimum invest- ment of 5 units.
9-23-72	The Willow Fund, Inc. One Chase Manhattan Plaza New York, New York	Shs com/s @ net asset value.
9-24-72	Windsor Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware	Shs coms/ @ net asset value.
9-15-72	Woods Petroleum Corporation 4900 North Santa Fe Oklahoma City, Oklahoma	40 units of Limited Partner- ship Interest in Woods 72 Drilling Program @ \$5,000.00 ea. Minimum subscription \$5,000.00.

9-7-72 The Wyoming National Corporation 5,000 units, ea. consisting of  
234 East First Street 2 shs com/s \$1.00 par value and  
Casper, Wyoming 82601 1 wt. to purchase 1 shr com/s,  
\$1.00 par value, @ \$35.00 ea.  
5,000 shs underlying wts. are  
registered @ \$20.00 ea.

REGISTRATION APPLICATIONS WITHDRAWN OR ABANDONED

9-21-72 American Pollution Prevention 5,000 shs com/s @ \$8.50 ea.  
Company, Inc.  
Flour Exchange Building  
Minneapolis, Minn. 55415

9-20-72 The Boston Company Mortgage 5,000 units consisting of 5 shs  
Investors Ben. Int. and \$100.00 prin.  
One Boston Place amt. of \_\_\_% Sub. Debs. due 1992  
Boston, Massachusetts 02106 @ \$200.00 ea.

9-20-72 Carl Ally, Inc. 5,000 shs com/s (\$.01 par value)  
437 Madison Avenue @ \$13.00 ea.  
New York, New York

9-20-72 Finnigan Corporation 20,000 shs com/s @ \$10.00 ea.  
595 North Pastoria Ave.  
Sunnyvale, California 94086

9-20-72 Marvin Josephson Associates, Inc. 83,500 shs com/s @ \$18.00 ea.  
1301 Avenue of the Americas  
New York, N. Y. 10018

9-29-72 Mortgage Investors of Washington 750 bonds - Conv. Sub. Debs.  
7316 Wisconsin Avenue due 1992 @ \$1,000.00 per bond  
Bethesda, Maryland 20014 and Debs. @ 100%.

9-21-72 Petroleum Resources Fund, Inc. 50 units of Limited Partnership  
4328 East Kellogg Interests in Income Fund Pro-  
Wichita, Kansas 18407 gram Partnership 1972-A @  
\$5,000.00.

9-21-72 Valley Industries, Inc. 5,000 shs com/s (\$.01 par value)  
105 South Ninth Street @ \$5.00 ea.  
St. Louis, Missouri 63103

9-20-72 Walker Color, Inc. 5,000 shs com/s (\$.10 par value)  
955 Yonkers Avenue @ \$ 13.00 ea.  
Yonkers, New York

September 1, 1972, through September 30, 1972

(First Month of Fiscal Year)

	<u>Number</u> <u>Registrations</u>	<u>Amount</u>	<u>Total</u>
<u>Original Applications</u> <u>of Corporate Securities</u>			
Texas Companies	9	\$ 8,202,600(1)	\$ 8,202,600
Foreign Companies	47	41,851,243(2)	41,851,243
	<u>56</u>	<u>50,053,843</u>	<u>50,053,843</u>
<u>Renewal Registrations</u>			
Texas Companies	0	0(3)	0
Foreign Companies	36	51,845,877(4)	51,845,877
	<u>36</u>	<u>51,845,877</u>	<u>51,845,877</u>
<b>TOTALS</b>	<u>92</u>	<u>101,899,720</u>	<u>101,899,720(5)</u>

Includes: Investment Companies and Employee Benefit Plans Amounting to:

- (1) -0-
- (2) \$23,282,650
- (3) -0-
- (4) \$51,845,877
- (5) \$75,128,527

STATE SECURITIES BOARD  
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Austin, Texas 78711

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