



TEXAS

MONTHLY SECURITIES BULLETIN

State Securities Board

709 STATE FINANCE BUILDING

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Documents Department

November, 1972

DEC 30 1972

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NOVEMBER PERMITS

In November the State Securities Board issued permits for 136 issuers to sell \$122,056,263 in securities -- a \$24 Million gain over the same month last year.

Total dollar amount of securities applications processed for the first three months of the fiscal year was \$384,396,664. Total number of applications processed for the first three months of the fiscal year was 347.

LICENSE INFORMATION

During November a total of 136 examinations were administered to 122 applicants for licensing under The Texas Securities Act. This included 13 examinations on the Texas Securities Act, and 4 examinations on the Special Bond examination. There were 69.2% of the applicants who passed the general securities section on first attempt, 93.3% who passed the Texas Law portion on first attempt, and 50.0% who passed the Special Bond examination on first attempt.

ADMINISTRATIVE ORDERS

IN THE MATTER OF
CORPORACION INTERNACIONAL
DE JUAREZ S. A.
P. O. Box 1725
Juarez, Mexico

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Order No. CP-493

CEASE PUBLICATION NOTICE

On November 7, 1972, the Commissioner ordered Corporacion Internacional De Juarez S. A. to cease publishing advertisements in the State of Texas since it had not registered any securities in the State of Texas nor had it registered as a securities dealer or investment adviser in the State of Texas. The Commissioner also found that the advertisement in question had not been filed in compliance with Section 22 of the Texas Securities Act and that it failed to disclose material facts necessary in order to make the representations made not misleading to purchasers and prospective purchasers.

IN THE MATTER OF SPENCE
& GREEN CHEMICAL COMPANY
1407 Runneberg Road
P. O. Box 356
Crosby, Texas 77532

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Order No. 5.0-494

ORDER REVOKING THE SECONDARY TRADING EXEMPTION
OF SECTION 5.0 OF THE TEXAS SECURITIES ACT
WITH RESPECT TO SECURITIES
ISSUED BY SPENCE & GREEN CHEMICAL COMPANY

The Commissioner found from information available that he had reasonable cause to believe that the sale of securities of Spence & Green Chemical Company would tend to work a fraud and deceit upon any purchaser thereof. He therefore ordered that any secondary trading exemption that might be claimed under Section 5.0 of The Securities Act be revoked.

CONVICTIONS

James C. Rodgers, Sr. was convicted of the unlawful sale of unregistered securities on November 29, 1972, in the 167th District Court of Travis County, in Austin, Texas. The case involved the sale of securities of Scientific Engineering, Inc. which went bankrupt shortly after the securities were sold. The case involved the sale to John Robb Sutherland made in the spring of 1969 in which Sutherland testified that he had first approached Rodgers about purchasing the securities. Rodgers was sentenced to two years probation and was ordered to pay a fine of \$1,000.

NOTICES CLEARED FOR DEALER SECONDARY TRADING

- 11-8-72 CONTECH, INC., 7711 Computer Ave., Minneapolis, Minnesota 55435, qualified to May 31, 1972.
- 11-14-72 MAYNARD OIL COMPANY, 2050 One Main Place, Dallas, Texas 75250, qualified to November 30, 1973.
- 11-6-72 OLYMPUS SERVICE CORPORATION, Northwest Shopping Center, P.O. Box 2489, Lynnwood, Washington 98036, extended to September 30, 1973.
- 11-8-72 PRIMARY MEDICAL COMMUNICATIONS, INC. 122 East 42nd Street, New York, New York, qualified to May 31, 1973.
- 11-28-72 TEXAS GULF INDUSTRIES, INC. 7825 Katy Freeway, Houston, Texas 77024, extended to December 31, 1973.
- 11-14-72 UNION SERVICES INDUSTRIES, INC. 107 South Court St., Memphis, Tennessee 38101, extended to November 30, 1973.

EXPIRED NOTICES FOR DEALER SECONDARY TRADING
UNDER SECTION 5.0 OF THE ACT

- 11-30-72 NEURO-PSYCHIATRIC & HEALTH SERVICES, INC., 3907 Birch Street, Newport Beach, California.

MONTHLY REPORT OF SECURITIES REGISTRATIONS IN TEXAS

A summary of individual permits issued in November, 1972, follows: These permits are permissive only and do not constitute recommendations of the State Securities Board.

<u>ISSUER</u>	<u>TYPE OF OFFERING</u>
11-9-72 APF Electronics, Inc. 515 Madison Ave. New York, New York	20,000 shs com/s, \$.01 par value, @ \$6.25 ea.
11-24-72 Accumulation Plans First Investors Fund, Inc. 120 Wall Street New York, New York	Single Payment Plans and Periodic Payment Plans for the accumulation of shs of First Investors Fund, Inc. @ face amt.

11-17-72	ADA Oil Exploration 6910 Fannin Street Houston, Texas	250 Units of Limited Partnership Interests in Ada 1972-D Oil & Gas Income Fund @ \$1,000.00 ea. Minimum subscription \$5,000.
11-28-72	ADA Securities Corporation 6910 Fannin Street Box 844 Houston, Texas	250 Units Limited Partnership Interests in Ada 1973-A Oil and Gas Income Fund @ \$1,000 ea. Minimum subscription \$5,000.00.
11-14-72	Agri-Sul, Inc. P. O. Box 188 Mineola, Texas	125,000 shs com/s, without par value, issued to Brooks International, Inc.
11-26-72	Allied Maintenance Corporation 2 Pennsylvania Plaza New York, New York	Participations in Variable Income Stock Savings Retirement Plan to be sold to eligible employees @ net asset value.
11-22-72	American Medical Association Members Retirement Plan 535 North Dearborn Chicago, Illinois	Participations in the Group Annuity Fund and the Pooled Trust to be sold at a price equal to the amt. credited to the Participant's account.
11-6-72	American Service Bureau, Inc. 211 East Chicago Ave. Chicago, Illinois	959 shs no par value com/s @ book value but not to exceed \$36.00 ea.
11-12-72	Anchor Income Fund, Inc. Westminster at Parker Elizabeth, New Jersey	Shs com/s @ net asset value.
10-31-72	Apache Corporation 1800 Foshay Tower Minneapolis, Minnesota	Five Units of General Partnership Interest in Apache Oil and Gas Program 1973-I @ \$15,000 ea., sold in multiples of 1/3 Unit (\$5,000) with minimum subscription in Petroleum Partnership I of \$5,000 with maximum assessment \$3,000 per full Unit.
11-14-72	Atwood Oceanics, Inc. 301 Town and County Office Pk. Houston, Texas	100,000 Units, ea. consisting of 1 shr \$1.00 par value com/s and 1/2 wt. @ \$26.75 ea. plus 50,000 shs com/s underlying the wts. @ \$23.75.
11-16-72	Axe-Houghton Fund B, Inc. 400 Benedict Ave. Tarrytown, New York	Shs com/s @ net asset value.
11-14-72	Berg Enterprises Realty Group 450 Park Avenue New York, New York	25,000 Units, ea. consisting of 1 shr Ben. Int. (\$1.00 par value) and 1 wt. to purchase an additional shr. Ben. Int. @ \$10.00 ea.; and 25,000 shs Ben. Int. underlying the wts. @ \$10.00 ea.
10-19-72	Bonanza International, Inc. 811 South Central Expressway Dallas, Texas	272,130 shs com/s without par value to be sold in the over-the-counter market @ prices not in excess of market and in no event in excess of \$20.00 ea.
10-15-72	Bristol-Myers Company 345 Park Avenue New York, New York	Participations in the Savings Plan to be sold to eligible employees @ net asset value.
11-20-72	CG Income Fund, Inc. 900 Cottage Grove Road Bloomfield, Connecticut	Shs com/s @ net asset value.

11-14-72	Calfco Route 1, Box 3 Perryton, Texas	\$500,000.00 of Limited Partnership Interests in Calfco Cattle Fund. Minimum subscription \$5,000.
11-9-72	Capehart Corporation 770 Lexington Ave. New York, New York	26,000 shs com/s, \$.10 par value, @ \$14.375 ea.
11-15-72	Cenvill Communities, Inc. Century Village West Palm Beach, Florida	30,000 shs \$.01 par value com/s @ \$26.00 ea.
11-17-72	Channing Bond Fund, Inc. 280 Park Avenue New York, New York	Shs com/s @ net asset value.
11-26-72	Charter Fund, Inc. 2100 Republic Bank Tower Dallas, Texas	Shs com/s @ net asset value.
11-9-72	Computer Automation, Inc. 18651 Von Karman Avenue Irvine, California	25,000 shs \$.10 par value com/s @ \$13.25 ea.
11-6-72	Coquina Oil Corporation 418 Building of the Southwest Midland, Texas	Limited Partnership Interests in Bengal 72-B Fund, minimum investment \$10,000.
11-16-72	Courier Corporation 165 Jackson St. Lowell, Massachusetts	75,000 shs \$1.00 par value com/s @ \$15.00 ea.
11-15-72	Covington Brothers 2451 East Orangethorpe Ave. Fullerton, California	8,000 shs \$1.00 par value com/s @ \$13.00 ea.
11-17-72	Damson 1972 Exploration Fund 366 Madison Avenue New York, New York	100 Units of Limited Partnership Interest @ \$5,000 ea. plus a maximum possible assessment of \$750 ea.
11-20-72	Doctor Hereford, Inc. 6th & Grove McLean, Texas	50,000 Units of Limited Partnership Interests in Doctor Hereford Cattle Fund @ \$1,000 ea., minimum purchase \$5,000. Texas offering only: minimum purchase \$25,000.
11-8-72	A. G. Edwards & Sons, Inc. One North Jefferson Ave. St. Louis, Missouri	25,100 shs com/s @ \$12.00 ea.
11-10-72	Ellman's, Inc. 2489 Cheshire Bridge Road Atlanta, Georgia	15,000 shs com/s, \$1.00 par value, @ \$16.00 ea.
11-12-72	Employees Savings Plan of Stone & Webster, Inc. 90 Broad Street New York, New York	Participations in the Employees Savings Plan to be sold to eligible employees @ net asset value.
11-13-72	Everest Fund, Inc. 35 Congress St. Boston, Massachusetts	Shs com/s @ net asset value.
11-27-72	Explorer Fund, Inc. 3001 Philadelphia Pike Claymont, Delaware	Shs com/s @ net asset value.

11-21-72	Familian Corporation 7651 Woodman Ave. Van Nuys, California	25,000 shs com/s, \$1.50 par value, @ \$15.00 ea.
11-15-72	Farr Company 2301 Rosecrans Ave. El Segundo, California	20,650 shs com/s, \$1.00 par value, @ \$14.50 ea.
11-15-72	Federated Regional Research Investments, Inc. 421 Seventh Avenue Pittsburgh, Pennsylvania	8,000 shs \$1.00 par value com/s @ \$15.00 ea.
9-8-72	Financial Dynamics Fund, Inc. P. O. Box 2040 Denver, Colorado	Shs com/s @ net asset value.
11-20-72	Financial Industrial Fund, Inc. P. O. Box 2040 Denver, Colorado	Shs com/s @ net asset value.
11-15-72	First Investors Fund, Inc. 120 Wall Street New York, New York	Shs com/s @ net asset value.
11-16-72	The Frame House Gallery, Inc. 110 East Market Street Louisville, Kentucky	10,000 shs com/s (no par value) @ \$15.00 ea.
11-20-72	Fundamental Investors, Inc. Westminster at Parker Elizabeth, New Jersey	Shs com/s @ net asset value.
11-14-72	General Hobbies Corporation 621 East Cayuga Street Philadelphia, Pennsylvania	30,000 shs com/s, \$.01 par value, @ \$1.00 ea.
11-21-72	General Housewares Corporation 299 Park Ave. New York, New York	100,000 shs com/s, \$.33 1/3 par value, @ \$8.75 ea.
11-14-72	Great American Corporation 2655 Plank Road Baton Rouge, Louisiana	10,000 shs com/s, \$2.50 par value, @ \$22.00 ea.
6-27-72	Groff Industries, Inc. 9507 N. Trask Avenue Tampa, Florida	15,000 shs \$.50 par value com/s @ \$8.00 ea.
11-10-72	Grumman Corporation Bethpage Long Island, New York	Participations in the Employee Investment Plan to be sold to eligible employees @ net asset value.
11-21-72	Handy Dan Home Improvement Centers, Inc. 6915 East Slauson Ave. City of Commerce, Calif.	50,000 shs com/s, \$1.00 par value, @ \$16.50 ea.
11-28-72	Hawkeye Bancorporation Sixth Floor, Stephens Bldg. 7th & locust Des Moines, Iowa	22,000 shs \$3.00 par value com/s registered @ \$10.50 ea.; 6,150 of these shs are offered in exchange for 100 shs \$100 par value common stock of Citizens National Bank of Boone, Boone, Iowa, and 15,850 shs are to be offered for cash by the underwriters.
11-14-72	Hornblower & Weeks - Hemphill, Noyes, Incorporated 8 Hanover Street New York, New York	4,000 shs Voting Com/s (\$.10 value) @ .50 ea., 1,500 shs Conv. Pref. Stock (\$.10 par value) @ \$10 ea. and 3,000 shs

		\$.60 Redeemable Pref. Stock (\$.10 par value) @ \$10 ea.
11-8-72	Hydroponic Growers, Inc. Executive Plaza 4635 Southwest Freeway Houston, Texas	80,000 shs \$.10 par value com/s @ \$3.00 ea.
10-31-72	Inforex, Inc. 21 North Avenue Burlington, Massachusetts	8,000 shs \$.25 par value com/s @ \$18.50 ea.
11-3-72	Instrumentation Laboratory, Inc. 113 Hartwell Ave. Lexington, Massachusetts	15,000 shs \$1.00 par value com/s @ \$22.50 ea.
11-15-72	International Video Corp. 675 Almanor Avenue Sunnyvale, California	26,000 shs com/s, \$.15 par value, @ \$15.00 ea.
11-10-72	Investors Mutual, Inc. Investors Bldg. Minneapolis, Minnesota	Shs com/s @ net asset value.
11-10-72	Investors Stock Fund, Inc. 1000 Roanoke Bldg. Minneapolis, Minnesota	Shs com/s @ net asset value.
11-14-72	Jensen Industries 1946 East 46th Street Los Angeles, California	25,000 shs com/s, \$.666 par value, @ \$8.50 ea.
11-8-72	Juniper Petroleum Corporation 1080 Capitol Life Center Denver, Colorado	3,500 shs com/s, \$.10 par value, of Juniper Petroleum Corporation in exchange for Participation Units in certain Oil and Gas Exploration Programs.
11-3-72	Keen Industries Limited 6012 - 82nd Avenue Alberta, Canada	25,000 shs com/s, no par value, @ \$10.125 ea.
11-25-72	Keystone Apollo Fund, Inc. 99 High Street Boston, Massachusetts	Shs com/s @ net asset value.
11-21-72	Keystone Custodian Funds, Inc. 99 High Street Boston, Massachusetts	Certificates of Participation in Series B-1, B-2, B-4, K-1, K-2, S-1, S-2, S-3, and S-4 to be sold @ net asset value.
11-9-72	Kustom Electronics, Inc. 1010 West Chestnut Chanute, Kansas	20,000 shs \$.10 par value com/s @ \$11.75 ea.
11-8-72	La-z-boy Chair Company 1284 North Telegraph Road Monroe, Michigan	10,325 common shares (par value \$1.00 ea.) @ \$35.00 ea.
11-30-72	Lafayette Funds, Inc. 1600 Fairfield Avenue Suite 400 Shreveport, Louisiana	\$100,000 of Limited Partnership Interests in Lafayette Funds Drilling Program 72-2, minimum investment \$5,000.
11-19-72	Lane Wood, Inc. 2020 Davis Building Dallas, Texas	Units of Participation in the Savings Plan to be sold to eli- gible employees @ net asset value.
11-3-72	Leasco Financial Services Corporation 280 Park Avenue New York, New York	\$500,000 face value of 8 1/2% Sinking Fund Debs. due 1992, to be sold @ 100%.

11-13-72	Lexton-Ancira Incorporated 4901 Main Street Kansas City, Missouri	1,000 Units of Limited Partnership Interests in Lexton-Ancira Real Estate Fund, Ltd., 1972-B @ \$500 ea. with a minimum purchase of 10 Units in Texas. This offering will terminate on December 31, 1972.
10-28-72	Lighthouse Fund B, Inc. 400 Congress Street Portland, Maine	Shs com/s @ net asset value.
11-10-72	Lincoln National Direct Placement Fund, Inc. 111 West Washington St. Chicago, Illinois	60,000 shs \$1.00 par value com/s @ \$25.00 ea.
11-15-72	Macrodata Corporation 20440 Corisco Street Chatsworth, California	12,000 shs \$1.00 par value com/s @ \$12.00 ea.
11-2-72	Massachusetts Investors Trust 200 Berkeley Street Boston, Massachusetts	Ben. Ints. @ net asset value.
10-30-72	Jas. H. Matthews & Co. 6117 Broad Street Mall Pittsburgh, Pennsylvania	Shs \$1.00 par value com/s to be sold to eligible employees @ book value.
11-9-72	Meadowbrook, Inc. 15670 W. Ten Mile Road Southfield, Michigan	15,000 shs no par value com/s @ \$9.375 ea.
11-16-72	Microdata Corporation 644 East Young Street Santa Ana, California	50,000 shs no par value com/s @ \$7.625 ea.
11-2-72	Midlantic Banks, Inc. 744 Broad Street Newark, New Jersey	1,000 shs \$10 par value com/s @ \$49.52 ea., the shs underlying Com/s Purchase Wts. issued June 30, 1972.
10-25-72	Modular Ambulance Corporation 3333 East Kiest Blvd. Dallas, Texas	75,000 shs com/s, \$.10 par value, @ \$4.50 ea.
11-2-72	The Municipal Bond Fund, Series 5 140 Broadway New York, New York	1,000 Units, ea. consisting of a fractional undivided interest in the principal and net income of the Fund @ net asset value. Price not to exceed \$1,027.95 ea. plus accrued interest.
11-14-72	Municipal Investment Trust Fund, Ninth Monthly Payment Series One Liberty Plaza 165 Broadway New York, New York	5,000 Units, ea. consisting of a fractional undivided interest in the prin. and net income of the Fund @ net asset value. Price not to exceed \$1,050 ea. plus accrued interest.
11-29-72	NCNB Corporation 200 South Tryon Street Charlotte, North Carolina	5,000 shs \$2.50 par value com/s @ \$35.875 ea.
10-31-72	National Industries Fund, Inc. 1880 Century Park E. Los Angeles, California	Shs com/s @ net asset value.
11-2-72	National Medical Care, Inc. 77 Pond Avenue Brookline, Massachusetts	10,000 shs \$.20 par value com/s @ \$17.25 ea.

11-27-72	Nel Equity Fund, Inc. 501 Boylston Street Boston, Massachusetts	Shs com/s @ net asset value.
11-27-72	Nel Growth Fund, Inc. 501 Boylston Street Boston, Massachusetts	Shs com/s @ net asset value.
8-9-72	Neuwirth Income Development Corporation Middletown Bank Bldg. Middletown, New Jersey	Shs com/s @ net asset value.
11-7-72	Nuveen Tax-Exempt Bond Fund Series 45 209 South LaSalle St. Chicago, Illinois	15,000 Units, ea. consisting of a fractional undivided int. in the prin. and net income of the Fund @ net asset value. Price not to exceed \$105 ea. plus accrued interest.
11-15-72	Offshore Logistics, Inc. Offshore Building 129 Demanade Blvd. Lafayette, Louisiana	75,000 shs com/s (no par value) @ \$17.75 ea.
11-2-72	Patrick Oil and Gas Corporation 744 West Michigan Avenue Jackson, Michigan	5 Units of Limited Partnership Interest in 1972 Oil and Gas Program Series, Partnership 1972-4, @ \$25,000 ea., minimum subscription 1/5th Unit (\$5,000). Registration effective until December 15, 1972.
11-20-72	Patrick Oil and Gas Corporation 744 West Michigan Avenue Jackson, Michigan	10 Units of Limited Partnership Interest in Oil and Gas Income Program Series A @ \$2,500 ea. minimum subscription in Texas \$5,000.
11-22-72	Pease & Elliman Realty Trust 31 Milk Street Boston, Massachusetts	60,000 Units, ea. consisting of 1 shr Ben. Int., \$1.00 par value and 1 Wt. to purchase 1 shr Ben. Int. @ \$20.00 ea.; 60,000 shrs underlying the Wts. are registered @ \$18.50 ea.
11-9-72	Peerless Chain Company 1416 East Eighth Street Winona, Minnesota	10,000 shs \$.25 par value com/s @ \$12.75 ea.
4-14-72	J. C. Penney Company, Inc. Savings and Profit-Sharing Plan 1301 Avenue of the Americas New York, New York	Participations in the Savings and Profit-Sharing Plan to be sold to eligible employees @ net asset value.
11-9-72	Perma-Bilt Industries 19106 South Normandie Ave. Torrance, California	20,000 shs \$.10 par value com/s @ \$8.00 ea.
11-22-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	\$500,000 Limited Partnership Interests in Petro-Lewis Oil Income Program 73-1, minimum investment \$5,000.
11-22-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	\$500,000 of Limited Partnership Interests in Petro-Lewis Oil Income Program 72-10, minimum investment \$5,000.
11-9-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	\$300,000 in Limited Partnership Interests in Drilling Partner- ship 1972-4, Single Payment Plan Only, Minimum subscription \$5,000.

11-13-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	100 Units of Limited Partnership Interest in Petro-Lewis Oil Income Program 72-9 @ \$1,000 ea. minimum investment \$5,000.
11-10-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	100 Units of Limited Partnership Interest in Petro-Lewis Oil Income Program 72-8 @ \$1,000 ea. minimum investment \$5,000.
10-31-72	Petro-Lewis Funds, Inc. Colorado State Bank Bldg. Suite 1400 Denver, Colorado	\$100,000 Limited Partnership Interests in Petro-Lewis Natural Gas Income Program (72-1). Minimum investment \$5,000.
11-8-72	Petro-Lewis Funds, Inc. 1600 Broadway Denver, Colorado	Limited Partnership Interests in Drilling Partnership 1972-5, Single Payment Plan Only, minimum subscription \$5,000.
11-13-72	Petro-Search Exploration Corporation 825 Petroleum Club Bldg. Denver, Colorado	12 Units of Limited Partnership Interest in Petro-Search Exploration and Development Program, Series C (Plan B, Partnership 72-5) @ \$6,000 ea. Special Subscription. Registration effective until December 1, 1972.
11-10-72	Pioneer Fund, Inc. 28 State Street Boston, Massachusetts	Shs com/s @ net asset value.
11-10-72	Provident Fund for Income, Inc. 3 Penn Center Plaza Philadelphia, Pennsylvania	Shs com/s @ net asset value.
11-15-72	The Putnam Growth Fund 265 Franklin Street Boston, Massachusetts	Shs com/s @ net asset value.
11-28-72	Quote Me, Inc. 1407 Broadway New York, New York	25,000 shs com/s, \$.10 par value, @ \$9.25 ea.
11-9-72	The Reit Income Fund Incorporated 225 Franklin Street Boston, Massachusetts	2,011 shs \$.01 par value Cumulative Preference Stock @ \$50 ea. Commissions to be paid for the sale of these securities shall not exceed \$3.50 per share.
11-13-72	Resource Ventures Development Fund One New York Plaza New York City, New York	10 Units of Limited Partnership Interest in Plaza Three Development Fund, @ \$5,000 ea., minimum investment \$10,000.
11-2-72	Rixson-Firemark, Inc. 9100 West Belmont Ave. Franklin Park, Illinois	15,000 shs \$.10 par value com/s @ \$8.50 ea.
11-21-72	A. Schulman, Inc. 3550 West Market Street Akron, Ohio	25,000 shs \$1.00 par value com/s @ \$12.50 ea.

11-22-72	Servicemaster Industries, Inc. (Employees Stock Purchase Plan) 2300 Warrenville Road Downers Grove, Illinois	Shs \$1.00 par value com/s to be sold to eligible employees @ fair market value on the date of purchase.
11-17-72	Shop & Go, Inc. State Highway 574 Mango, Florida	25,000 shs \$.01 par value com/s @ \$12 ea.
11-16-72	Sigmor Corporation 3643 East Commerce Street San Antonio, Texas	250,000 shs com/s, \$1.00 par value, @ \$17 ea.
11-25-72	Spectra Fund, Inc. 147 Milk Street Boston, Massachusetts	Shs com/s @ net asset value.
11-16-72	Speidel Newspapers, Inc. 411 West Second Street Reno, Nevada	25,000 shs \$1.00 par value com/s @ \$18 ea.
11-28-72	Strother Drug Company 9221 Timberlake Road Lynchburg, Virginia	14,000 shs com/s, \$2.00 par value, @ \$10.125 ea.
11-21-72	Tenneco Offshore Company, Inc. Tenneco Building Houston, Texas	67,000 Units, ea. consisting of \$100 prin. amt. of 6% Con. Debs. due 1980 and 5 shs \$1.00 par value com/s @ \$136.00 ea.
11-16-72	Texas Industries, Inc. 8100 Carpenter Freeway Dallas, Texas	10,500 Wts. to purchase a total of 10,500 shs com/s, \$1.00 par value. Wts. are exercisable @ \$32.75.
11-15-72	Third National Corporation 201 Fourth Avenue North Nashville, Tennessee	\$500,000 prin. amt. of 7 1/2% Debs. due 2002 @ 99.25%.
11-21-72	Tidewater Group, Inc. 217 East Main Street Norfolk, Virginia	44 shs com/s (\$1.00 par value) with attached Wts. to purchase 2 additional shs @ \$5.00 ea. through June 30, 1973, and \$7.50 ea. thereafter through December 31, 1972, when they expire; @ \$5.00 ea. and 88 shs com/s underlying the Wts. @ \$7.50 ea.; to be exchanged for outstanding shs cap/s of Atlantic National Life Insurance Company.
11-9-72	Transamerica Income Shares, Inc. 1150 South Olive Street Los Angeles, California	100,000 shs \$1.00 par value com/s @ \$25 ea.
11-9-72	Twentieth Century Investors, Inc. 605 West 47th Street Kansas City, Missouri	Shs Twentieth Century Income Investors and Twentieth Century Growth Investors @ net asset value.
11-15-72	Underwood, Neuhaus & Co., Incorporated 724 Travis Street Houston, Texas	8,200 shs Class A Voting Stock (\$1.00 par value) and 4,800 shs Class B Non-Voting Stock (\$1.00 par value) @ \$19.01 ea.
11-15-72	Underwood Neuhaus Corporation 724 Travis Street Houston, Texas	8,200 shs Class A Voting Stock (\$1.00 par value) and 4,800 shs Class B Non-Voting Stock (\$1.00 par value) @ \$1.52 ea.

10-28-72	Union Capital Fund, Inc. 65 Broadway New York, New York	Shs com/s @ net asset value.
11-18-72	United Continental Growth Investment Programs One Crown Center P. O. Box 1343 Kansas City, Missouri	Monthly Investment Programs and Selective Investment Programs for the accumulation of shs of United Continental Growth Fund, Inc. @ face amt.
11-18-72	United Continental Income Investment Programs One Crown Center P. O. Box 1343 Kansas City, Missouri	Monthly Investment Programs and Selective Investment Programs for the accumulation of United Continental Income Fund, Inc. to be sold @ face amt.
11-18-72	United Vanguard Investment Programs One Crown Center P. O. Box 1343 Kansas City, Missouri	Monthly Investment Programs and Selective Investment Programs for the accumulation of shs of United Vanguard Fund to be sold @ face amt.
11-14-72	Universal Mobile Services 2020 Southwest Freeway Houston, Texas	44,855 shs \$.10 par value com/s @ \$10 ea, plus 150,000 shs \$.10 par value com/s.
11-2-72	Universal-Rundle Corporation 217 North Mill St. New Castle, Pennsylvania	55,000 shs \$1.00 par value com/s @ \$15.00 ea.
11-30-72	Urban Improvement Fund Limited 6505 Wilshire Blvd. Los Angeles, California	500 Units of Limited Partner- ship Interest @ \$1,000 ea. Minimum purchase 25 Units.
11-14-72	The Vaughan-Jacklin Corporation 5300 Katrine Avenue Downers Grove, Illinois	20,000 shs \$.10 par value com/s @ \$12.75 ea.
11-14-72	Vico Corporation 4144 Lindell Blvd. St. Louis, Missouri	80,000 shs \$1.00 par value com/s @ \$7.75 ea.
11-17-72	Wheatheart Cattle Company 315 South Main Street Perryton, Texas	\$400,000 of Pre-Organization Subscriptions in Wheatheart Cattle Feeding Fund No. 7.
11-28-72	Wheatheart Cattle Company 315 South Main Street Perryton, Texas	\$100,000 of Pre-Organization Subscriptions in Wheatheart Cattle Feeding Fund No. 8 @ \$1,000 ea. with a minimum in- vestment of 5 Units.
11-16-72	H. J. Wilson Co., Inc. 5825 Florida Blvd. Baton Rouge, Louisiana	40,000 shs com/s, no par value, @ \$26.75 ea.
11-8-72	Wolfe Pecanlands, Inc. 150 South Loop Stephenville, Texas	110 Units of 1972 Lake Proctor Hybrid Pecan Orchard Program, ea. Unit consisting of a desig- nated tract of land and a Tree Growing and Orchard Man- agement Contract with Wolfe Pecanland, Inc. The aggregate sales price of all Units is \$1,848,858.00.

REGISTRATION APPLICATIONS WITHDRAWN OR ABANDONED

11-2-72	Advent Corporation 195 Albany Street Cambridge, Massachusetts	20,000 shs com/s @ 11.25 ea.
11-1-72	Airways Enterprises, Inc. 575 Madison Ave. New York, N. Y.	1,000 Units consisting of 1 \$150.00 Conv. Sub. Debs. @ \$220.00 ea.
11-20-72	American Business and Commer- cial Life Insurance Company 1400 Praetorian Bldg. Dallas, Texas	100,000 shs com/s (\$1.00 par value) @ \$3.00 ea.
11-24-72	Avtek Corporation 434 Industrial Bank Bldg., Providence, Rhode Island	50,000 shs com/s @ \$19.00 ea.
11-27-72	Bank Shares Incorporated 777 Marquette Avenue Minneapolis, Minnesota	15,000 shs com/s (\$.20 par value) @ \$15.00 ea.
11-2-72	Bluebonnet Nursing Home of Granger, Inc. Granger, Texas	200 shs. com/s @ \$1,000.00
11-9-72	Burnham American Properties 1555 Sixth Avenue San Diego, California	5,000 Units of Limited Partner- ship @ \$100.00 ea.
11-13-72	1972 CNA-Larwin Realty Funds 310 South Michigan Avenue Chicago, Illinois	100 Preorganization Subscrip- tions to Limited Partnership Units @ \$1,000 ea.
11-13-72	Carlyle Real Estate Limited Partnership - 72 875 North Michigan Avenue Chicago, Illinois	1,000 shs Limited Partnership Interests @ \$1,000.
11-16-72	Commercial-Income Properties Fund Limited 6505 Wilshire Blvd. Los Angeles, California	1,000 Units of Limited Partner- ship Interests @ \$500 ea.
11-2-72	Concept, Inc. 6003 126th Avenue North Clearwater, Florida	5,000 shs com/s (\$.50 par value) @ \$10 ea.
11-13-72	Dougherty Brothers Company Pine and Tuckahoe Roads Buena, New Jersey	10,000 shs com/s (\$.25 par value) @ \$13 ea.
11-1-72	Foremost Jewelers of America, Inc. U. S. Hwy. 80 East, Box 37 Van Horn, Texas	10,000 shs com/s @ \$5 ea.
11-10-72	Gelmart Industries, Inc. 20-20 129th Street College Point, New York	30,000 shs com/s @ \$19.00.
11-22-72	Investors Tax Sheltered Real Estate, Inc. 120 N.E. 9th Street Miami, Florida	200 Units of Limited Partner- ship Interest @ \$500 ea.
11-3-72	Koss Corporation 4129 North Park Washington Road Milwaukee, Wisconsin	20,000 shs com/s @ \$12.50.

11-13-72	Levin Computer Corporation 224 East 49th Street N. Y., N. Y.	250 Limited Partnership Units @ \$1,000.
11-10-72	The Mentor Corporation 17171 Bothell Way, N.E. Seattle, Washington	20,000 shs com/s (\$.10 par value) @ \$5.00 ea.
11-2-72	New American Housing Part- nerships (Series I) 551 Fifth Avenue New York, New York	200 Limited Partnership In- terests @ \$5,000.
11-9-72	Pacific Properties Ltd. 1615 Bonanza Street Walnut Creek, California	100 Limited Partnership Units @ \$1,000 ea.
10-26-72	Quest Oil & Gas Funds, Ltd. No. 71-1 830 Continental Oil Bldg. Denver, Colorado	60 Preformation partnership interests in Oil & Gas Drill- ing Program @ \$5,000.
11-13-72	Real Estate Data, Inc. 2398 N.W. 119th Street Miami, Florida	20,000 shs com/s (\$.25 par value) @ \$10 ea.
11-15-72	Resource Ventures Development Fund One New York Plaza New York, New York	10 Preorganizational sub- scriptions for and limited partnership interests in Resource Ventures Develop- ment Fund @ \$10,000.00 ea.
11-22-72	Royal Scotsman Inns Corporation North Executive Plaza 2320 E. North Street Greenville, South Carolina	25,000 shs com/s (\$1.00 par value) @ \$10 ea.
11-9-72	Seldin Development & Manage- ment Company 11929 Elm Street Omaha, Nebraska	1,562 shs com/s (\$.10 par value) @ \$16 ea.
11-22-72	Solid State Scientific, Inc. Montgomeryville Industrial Center Montgomeryville, Pennsylvania	30,000 shs com/s (\$.40 par value) @ \$12.50 ea.
11-3-72	Southern Scottish Inns, Inc. 2100 St. Charles Avenue New Orleans, Louisiana	10,000 shs com/s @ \$8.00.
11-2-72	Talent Fund, Ltd. 1308 Elm Street Dallas, Texas	500 Units of Limited Partner- ship Interests @ \$5,000.
11-2-72	Tres Computer Systems, Inc. 4255 LBJ Freeway Dallas, Texas	50,000 shs com/s @ \$3.00.
11-22-72	Trivex, Inc. 2201 North Classell Street Orange, California	20,000 shs com/s (1.00 par value) @ \$9.00 ea.
11-2-72	Unisystems, Inc. 155 East 55th Street N. Y., N. Y.	16,000 shs com/s @ \$3.00 ea.
11-2-72	Yucca Land & Cattle Corporation 2208 N. Prince Street P. O. Drawer 40 Clovis, New Mexico	50 Units in Limited Partner- ship @ \$1,000 ea.

Securities Registrations from September 1, 1972, through November 30, 1972

Month of November, 1972

	Number <u>Registrations</u>	<u>Amount</u>	<u>Prior Total Accumulated</u>	<u>Total</u>
<u>Original Applications of Corporate Securities</u>				
Texas Companies	19	\$ 27,692,485(1)	\$ 59,701,585	\$ 87,394,070
Foreign Companies	82	52,595,355(2)	104,202,101	156,797,456
	<u>101</u>	<u>80,287,840</u>	<u>163,903,686</u>	<u>244,191,526</u>
<u>Renewal Registrations</u>				
Texas Companies	3	1,592,155(3)	3,425,765	5,017,920
Foreign Companies	32	40,176,268(4)	74,850,250	115,026,518
	<u>35</u>	<u>41,768,423</u>	<u>78,276,015</u>	<u>120,044,438</u>
TOTALS	<u><u>136</u></u>	<u><u>122,056,263</u></u>	<u><u>242,179,701</u></u>	<u><u>364,235,964(5)</u></u>

Includes: Investment Companies and Employee Benefit Plans Amounting to:

- (1) \$ 150,000
- (2) 28,623,556
- (3) 231,505
- (4) 40,176,268
- (5) 196,571,517

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