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THE ATTORNEY GENERAL

OF TEXAS

AUSTIN, TEXAS 78711

CRAWFORD C. MARTIN ATTORNEY GENERAL

June 8, 1971

Honorable D. Brooks Cofer, Jr. District Attorney Opinion No. M-882 Brazos County Box 3520 Re: Article 42.12, Section 3c, Bryan, Texas 77801 Vernon's Code of Criminal Procedure.

Dear Mr. Cofer:

Your opinion request poses the following question in regard to Article 42.12, Vernon's Code of Criminal Procedure.

"Does the defendant have the burden of proof to establish his eligibility for probation when he has pled guilty and the jury has been waived in the proper manner but he has not made a written formal application for probation?"

The applicable portions of Article 42.12 read as follows:

"Sec. 3. The judges of the courts of the State of Texas having original jurisdiction of criminal actions, when it shall appear to the satisfaction of the court that the ends of justice and the best interests of the public as well as the defendant will be subserved thereby, shall have the power, after conviction or a plea of guilty for any crime or offense, where the maximum punishment assessed against the defendant does not exceed ten years imprisonment, to suspend the imposition of the sentence and may place the defendant on probation or impose a fine applicable to the offense committed and also place the defendant on probation as hereinafter provided. Any such person placed on

probation, whether in a trial by jury or before the court, shall be under the supervision of such court.

"Sec. 3a. Where there is a conviction in any court of this State and the punishment assessed by the jury shall not exceed ten years, the jury may recommend probation upon written sworn motion made therefor by the defendant, filed before the trial begins. When the trial is to a jury, and the defendant has no counsel, the court shall inform the defendant of his right to make such motion, and the court shall appoint counsel to prepare and present same, if desired by the defendant. In no case shall probation be recommended by the jury except when the sworn motion and proof shall show, and the jury shall find in their verdict that the defendant has never before been convicted of a felony in this or any other State. This law is not to be construed as preventing the jury from passing on the guilt of the defendant, but he may enter a plea of not guilty. In all eligible cases, probation shall be granted by the court if the jury recommends it in their verdict.

"If probation is granted by the jury the court may impose only those conditions which are set forth in Section 6 hereof.

"Sec. 3b. . . .

"Sec. 3c. Nothing herein shall limit the power of the court to grant a probation of sentence regardless of the recommendation of the jury or prior conviction of the defendant."

Where trial is before a jury, the defendant clearly must make a sworn application for probation and must sustain the burden of showing that he has never been convicted of a felony before the jury can recommend a probated sentence under Section 3a. <u>Herring v. State</u>, 440 S.W.2d 649 (Tex.Crim., 1969). Honorable D. Brooks Cofer, Jr., Page 3 (M-882)

No requirement for a sworn motion and proof of eligibility for probation is found in Section 3, which authorizes the trial judge to grant probation. Section 3c further empowers the trial judge to grant probation regardless of the recommendation of the jury or the existence of a prior conviction of the defendant. The only requirements placed on the judge's decision are that the sentence must not exceed ten years and that the judge must be satisfied that the ends of justice and the best interests of the public and the defendant will be served by a probated sentence. The trial court has absolute discretion to decide whether to grant probation, and no authority exists by which a defendant can require the granting of probation. Redd v. State, 438 S.W.2d 565 (Tex.Crim., 1969); Martin v. State, 452 S.W.2d 481 (Tex.Crim., 1970). The exercise of the trial court's discretion is not appealable. Kerry v. State, 452 S.W.2d 480 (Tex.Crim., 1970).

This office is therefore of the opinion that a defendant whose sentence is to be determined by the trial judge is not under a burden of proof to establish eligibility for probation, although the trial judge may in his discretion require such proof as he deems appropriate. Obviously a defendant may desire to produce evidence to show that the ends of justice and the best interests of the public, as well as himself, will be served by a probated sentence and should have no ground for complaint if he fails to do so and does not receive probation. At the same time, the clear meaning of cases such as the <u>Herring</u> decision is that an application for probation places no burden upon the state to refute the defendant's eligibility for probation.

SUMMARY

The trial judge has the discretion to grant probation when the judge is satisfied that the ends of justice and the best interests of the public and the defendant will be served by a probated sentence, and the defendant is not under a burden of proof to show eligibility for probation when the sentence is to be assessed by the judge, although the trial judge in his discretion may require such proof as he deems appropriate. Art. 42.12, V.C.C.P. Honorable D. Brooks Cofer, Jr., Page 4 (M-882)

Yours very truly,

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Attorney General of Texas

Prepared by Roland Daniel Green, III Assistant Attorney General

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