



Lawrence F. Alwin, CPA  
State Auditor

# Implementation of Sunset Advisory Commission Management Actions at 15 State Agencies

A Review of

October 12, 2004

Members of the Legislative Audit Committee:

Fifteen state agencies have fully or partially implemented 51 of 58 non-statutory recommendations (management actions) included in the *Sunset Advisory Commission Report to the 78th Legislature*. Seven management actions were not implemented, 18 were partially implemented, and 33 were fully implemented. Texas Government Code, Section 325.012(b), authorizes the State Auditor's Office to report on whether agencies have implemented all management actions that the Sunset Advisory Commission (Sunset) recommends.

The following agencies did not implement one or more of their management actions:

- Texas Ethics Commission – 3 management actions
- Texas Workforce Commission – 1 management action
- Texas State Board of Dental Examiners – 1 management action
- Board of Professional Engineers – 1 management action
- Board of Professional Land Surveying – 1 management action

The reasons agencies gave for not implementing management actions included that they: (1) disagreed with the management action; (2) had not developed rules necessary to implement the management action; (3) had insufficient staff and information system storage capacity; and (4) had not developed new systems to implement the management action.

Ten agencies partially implemented 18 management actions. Some of the reasons these agencies gave for only partially implementing management actions included that they: (1) disagreed with certain aspects of the management action; (2) believed current market conditions did not make implementation economically viable for recipients; (3) were still conducting research and analysis; and (4) had not developed new systems to implement the management action.

The table in the attachment to this letter summarizes the implementation status of the management actions at these 15 agencies.

We have provided details regarding each agency's efforts to implement management actions in a separate letter to Sunset.

## Objective, Scope, and Methodology

Our objective was to determine the implementation status of non-statutory recommendations (management actions) included in the Sunset Advisory Commission's (Sunset) report to the 78th Legislature.

Our scope included 15 agencies that were directed to undertake management actions in Sunset's report. These agencies were identified in consultation with Sunset staff.

We evaluated the information obtained against criteria provided by Sunset staff to determine the implementation status of management actions.

Although the information in this report has been subjected to some tests and confirmations, it has not been subjected to all of the tests and confirmations that would be performed in an audit.

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We appreciate the assistance and cooperation of Sunset and agency staff during this review. If you have any questions, please contact Nick Villalpando, Audit Manager, at (512) 936-9500.

Sincerely,

Lawrence F. Alwin, CPA  
State Auditor

Attachment

cc: Mr. Joey Longley, Director, Sunset Advisory Commission  
Chairmen, members, and/or executive management of the following agencies:  
Board of Architectural Examiners  
Board of Law Examiners  
Board of Plumbing Examiners  
Board of Professional Engineers  
Board of Professional Land Surveying  
Board of Public Accountancy  
Board of Tax Professional Examiners  
Court Reporters Certification Board  
Higher Education Coordinating Board  
State Office of Administrative Hearings  
Texas Building and Procurement Commission  
Texas Council on Purchasing from People with Disabilities  
Texas Ethics Commission  
Texas State Affordable Housing Corporation  
Texas State Board of Dental Examiners  
Texas Workforce Commission

# Attachment

This table summarizes the implementation status of management actions at the 15 agencies we reviewed.

Implementation Status for All Management Actions				
Agency	Fully Implemented	Partially Implemented	Not Implemented	Total
Board of Architectural Examiners	8	7	0	15
Board of Law Examiners	0	1	0	1
Board of Plumbing Examiners	4	0	0	4
Board of Professional Engineers	1	1	1	3
Board of Professional Land Surveying	2	1	1	4
Board of Public Accountancy	0	1	0	1
Board of Tax Professional Examiners	3	0	0	3
Court Reporters Certification Board	2	0	0	2
Higher Education Coordinating Board	1	1	0	2
State Office of Administrative Hearings	2	0	0	2
Texas Council on Purchasing from People with Disabilities/Texas Building and Procurement Commission <sup>a</sup>	0	3	0	3
Texas Ethics Commission	0	1	3	4
Texas State Affordable Housing Corporation	1	1	0	2
Texas State Board of Dental Examiners	7	0	1	8
Texas Workforce Commission	2	1	1	4
<b>Totals</b>	<b>33</b>	<b>18</b>	<b>7</b>	<b>58</b>

<sup>a</sup> The Texas Building and Procurement Commission was required to implement one of the management actions for the Council on Purchasing from People with Disabilities.