



# *Annual Financial Report*

**for the Year Ended August 31, 2010**

**Texas Department of Licensing  
and Regulation**

**William H. Kuntz, Jr.  
Executive Director**





## TEXAS DEPARTMENT OF LICENSING AND REGULATION

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November 17, 2010

Honorable Rick Perry, Governor  
Honorable Susan Combs, Texas Comptroller  
John O'Brian, Director, Legislative Budget Board  
John Keel, CPA, State Auditor

Lady and Gentlemen:

We are pleased to submit the annual financial report of the Texas Department of Licensing & Regulation for the year ended August 31, 2010, in compliance with Texas Government Code Annotated, Section 2101.011, and in accordance with the requirements established by the Comptroller of Public Accounts.

Due to the statewide requirements embedded in Governmental Accounting Standards Board (GASB) Statement No. 34, *Basic Financial Statements – and Management's Discussion and Analysis – for State and Local Governments*, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report will be considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report (CAFR)*; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Jerry Daniels, Director of Financial Services, at (512) 463-3100.

Sincerely,

A handwritten signature in black ink, appearing to read "William H. Kuntz".

William H. Kuntz  
Executive Director

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*Frank S. Denton, Chair – Conroe, Texas*

*LuAnn Morgan – Midland, Texas*

*Mike Arismendez – Shallowater, Texas*

*Lewis Benavides – Oak Point, Texas*

*Fred Moses – Plano, Texas*

*Lilian Norman-Keeney – Taylor Lake Village, Texas*

*Deborah A. Yurco – Austin, Texas*



## TABLE OF CONTENTS

### LETTER OF TRANSMITTAL

### COMBINED FINANCIAL STATEMENTS

1. Exhibit I – Combined Balance Sheet/Statement of Net Assets – Governmental Funds	1
2. Exhibit II – Combined Statement of Revenues, Expenditures and Changes in Fund Balances/Statement of Activities – Governmental Funds	5
3. Exhibit VI – Combined Statement of Net Assets – Fiduciary Funds	9
4. Exhibit VII – Combined Statement of Changes in Fiduciary Net Assets	10

<b>NOTES TO THE FINANCIAL STATEMENTS</b>	11
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### COMBINING STATEMENTS

1. Exhibit A-1 – Combining Balance Sheet – All General and Consolidated Funds	19
2. Exhibit I-1 – Combining Statement of Fiduciary Net Assets – Private-Purpose Trust Funds	21
3. Exhibit I-2 – Combining Statement of Changes in Fiduciary Net Assets – Private-Purpose Trust Funds	22
4. Exhibit J-1 – Combining Statement of Changes in Assets and Liabilities – Agency Funds	23

<b>USAS DAFR 8581 – Statement of Net Assets – Balance Sheet Format (GWFS)</b>	25
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<b>SIRS – Government-Wide Financial Statements (SOA) Statement of Activities By GAAP Fund, Fund, &amp; Object (GOVT)</b>	38
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### SCHEDULES

1. Schedule 6 – Summary of Revenues Generated by Program or Activity	48
2. Schedule 7 – Detail Statement of Revenues Generated by Program or Activity	49

### ADDENDA

1. Addendum I – Organizational and General Comments	55
2. Addendum II – 2010 Organizational Chart	59

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit II - Combined Statement of Revenues, Expenditures and**  
**Changes in Fund Balances/Statement of Activities - Governmental Funds**  
**For the Fiscal Year Ended August 31, 2010**

	General Funds	Governmental Funds Total
<b>REVENUES</b>		
Legislative Appropriations		
Original Appropriations (GR)	\$ 22,305,478.00	\$ 22,305,478.00
Additional Appropriations (GR)	5,120,465.68	5,120,465.68
Federal Revenue (PR - Operating or Capital)	-	-
License, Fees & Permits (PR)	1,039,378.17	1,039,378.17
Sales of Goods and Services (PR)	558,188.75	558,188.75
Other (GR)	58,323.50	\$ 58,323.50
Total Revenues	\$ 29,081,834.10	\$ 29,081,834.10
<b>EXPENDITURES</b>		
Salaries and Wages	\$ 18,053,686.76	\$ 18,053,686.76
Payroll Related Costs	5,059,083.49	5,059,083.49
Professional Fees and Services	464,853.13	464,853.13
Travel	868,213.43	868,213.43
Materials and Supplies	701,762.32	701,762.32
Communication and Utilities	479,929.26	479,929.26
Repairs and Maintenance	321,575.46	321,575.46
Rentals and Leases	615,882.86	615,882.86
Printing and Reproduction	143,878.73	143,878.73
Claims and Judgements	19,022.24	19,022.24
Intergovernmental Payments	-	-
Other Expenditures	1,381,616.56	1,381,616.56
Debt Service-Principal-Capital Leases	-	-
Capital Outlay	58,118.34	58,118.34
Depreciation Expense	-	-
Other Capital Financing Sources/Uses	-	-
Total Expenditures/Expenses	\$ 28,167,622.58	\$ 28,167,622.58
Excess (Deficiency) of Revenues over Expenditures	\$ 914,211.52	\$ 914,211.52
<b>OTHER FINANCING SOURCES (USES)</b>		
Net Change in Inventories	\$ -	\$ -
Transfers Out	(2,392.14)	(2,392.14)
Legislative Transfers In	104,019.59	104,019.59
Legislative Transfers Out	-	-
Total Other Financing Sources (Uses)	\$ 101,627.45	\$ 101,627.45
Net Change in Fund Balances/Net Assets	\$ 1,015,838.97	\$ 1,015,838.97

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Net Assets
\$ -	\$ -	\$ 200.00
-	-	216,988.33
-	-	4,462,383.32
-	-	-
-	-	65,457.19
-	-	4,745,028.84
862,848.57	-	862,848.57
(776,297.93)	-	(776,297.93)
86,760.94	-	86,760.94
(32,813.44)	-	(32,813.44)
194,131.57	-	194,131.57
(97,065.60)	-	(97,065.60)
30,041.73	-	30,041.73
(30,041.73)	-	(30,041.73)
237,564.11	-	237,564.11
\$ 237,564.11	\$ -	\$ 4,982,592.95
\$ -	\$ -	\$ 485,497.84
-	-	1,968,928.83
-	-	-
-	1,150,470.42	1,150,470.42
-	-	-
-	1,150,470.42	3,604,897.09
-	771,733.83	771,733.83
-	-	-
-	771,733.83	771,733.83
-	1,922,204.25	4,376,630.92

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit I - Combined Balance Sheet/Statement of Net Assets - Governmental Funds**  
**August 31, 2010**

	<b>Governmental Fund Types</b>	
	<b>General Funds</b>	<b>Governmental Funds</b>
	<b>(Ex A-1)</b>	<b>Total</b>
<b>Fund Financial Statement</b>		
Fund Balances (Deficits):		
Reserved for:		
Encumbrances	-	-
Inventories	65,457.19	65,457.19
Imprest	200.00	200.00
Undesignated	2,229,007.98	2,229,007.98
Total Fund Balances	2,294,665.17	2,294,665.17
 Total Liabilities and Fund Balances	 \$ 4,749,091.84	 \$ 4,749,091.84

Government-Wide Statement of Net Assets  
 Net Assets  
   Invested in Capital Assets, net of Related Debt  
   Unrestricted  
 Total Net Assets



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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Net Assets
-	-	-
-	-	65,457.19
-	-	200.00
-	-	2,229,007.98
-	-	2,294,665.17
\$ -	\$ 1,922,204.25	\$ 6,671,296.09
\$ 237,564.11	\$ -	\$ 237,564.11
-	(1,922,204.25)	(1,922,204.25)
\$ 237,564.11	\$ (1,922,204.25)	\$ 610,025.03

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit II - Combined Statement of Revenues, Expenditures and**  
**Changes in Fund Balances/Statement of Activities - Governmental Funds**  
**For the Fiscal Year Ended August 31, 2010**

	<b>General Funds</b>	<b>Governmental Funds Total</b>
<b>REVENUES</b>		
Legislative Appropriations		
Original Appropriations (GR)	\$ 20,916,577.00	\$ 20,916,577.00
Additional Appropriations (GR)	5,449,815.79	5,449,815.79
Federal Revenue (PR - Operating or Capital)	-	-
License, Fees & Permits (PR)	1,463,952.89	1,463,952.89
Sales of Goods and Services (PR)	1,039,545.98	1,039,545.98
Other (GR)	51,178.50	\$ 51,178.50
<b>Total Revenues</b>	<b>\$ 28,921,070.16</b>	<b>\$ 28,921,070.16</b>
<b>EXPENDITURES</b>		
Salaries and Wages	\$ 17,322,206.74	\$ 17,322,206.74
Payroll Related Costs	4,529,314.02	4,529,314.02
Professional Fees and Services	391,926.60	391,926.60
Travel	858,693.23	858,693.23
Materials and Supplies	682,946.81	682,946.81
Communication and Utilities	538,781.82	538,781.82
Repairs and Maintenance	274,615.81	274,615.81
Rentals and Leases	583,423.52	583,423.52
Printing and Reproduction	213,425.80	213,425.80
Claims and Judgements	25,000.00	25,000.00
Intergovernmental Payments	-	-
Other Expenditures	2,283,660.37	2,283,660.37
Debt Service-Principal-Capital Leases	-	-
Capital Outlay	274,171.93	274,171.93
Depreciation Expense	-	-
<b>Total Expenditures/Expenses</b>	<b>\$ 27,978,166.65</b>	<b>\$ 27,978,166.65</b>
<b>Excess (Deficiency) of Revenues over Expenditures</b>	<b>\$ 942,903.51</b>	<b>\$ 942,903.51</b>
<b>OTHER FINANCING SOURCES (USES)</b>		
Net Change in Inventories	\$ -	\$ -
Transfers Out	(50,000.00)	(50,000.00)
Legislative Transfers In	-	-
Legislative Transfers Out	-	-
<b>Total Other Financing Sources (Uses)</b>	<b>\$ (50,000.00)</b>	<b>\$ (50,000.00)</b>
<b>Net Change in Fund Balances/Net Assets</b>	<b>\$ 892,903.51</b>	<b>\$ 892,903.51</b>

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<u>Capital Assets Adjustments</u>	<u>Long-Term Liabilities Adjustments</u>	<u>Statement of Activities</u>
\$ -	\$ -	\$ 22,305,478.00 5,120,465.68 - 1,039,378.17 558,188.75 58,323.50
<u>\$ -</u>	<u>\$ -</u>	<u>\$ 29,081,834.10</u>
	\$ 108,237.83	\$ 18,161,924.59 5,059,083.49 464,853.13 868,213.43 620,190.33 479,929.26 321,575.46 615,882.86 143,878.73 19,022.24 - 1,381,616.56 - -
\$ (81,571.99)		620,190.33 479,929.26 321,575.46 615,882.86 143,878.73 19,022.24 - 1,381,616.56 - -
(58,118.34) 115,241.82 15,375.26		15,375.26
<u>\$ (9,073.25)</u>	<u>\$ 108,237.83</u>	<u>\$ 28,151,545.34</u>
<u>\$ 9,073.25</u>	<u>\$ (108,237.83)</u>	<u>\$ 930,288.76</u>
\$ (81,571.99)	\$ -	\$ (81,571.99) (2,392.14) 104,019.59 -
-	-	-
-	-	-
<u>\$ (81,571.99)</u>	<u>\$ -</u>	<u>\$ 20,055.46</u>
<u>\$ (72,498.74)</u>	<u>\$ (108,237.83)</u>	<u>\$ 950,344.22</u>

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit II - Combined Statement of Revenues, Expenditures and**  
**Changes in Fund Balances/Statement of Activities - Governmental Funds**  
**For the Fiscal Year Ended August 31, 2010**

	General Funds	Governmental Funds Total
<b>Fund Financial Statement - Fund Balances</b>		
Fund Balances - September 1, 2009	\$ 1,421,163.97	\$ 1,421,163.97
Restatements	-	-
Appropriations Lapsed	(142,337.77)	(142,337.77)
Fund Balances, August 31, 2010	\$ 2,294,665.17	\$ 2,294,665.17

**Government-Wide Statement of Net Assets**

Net Assets/Net Change in Net Assets

Net Assets, Beginning

Net Assets as of August 31, 2010

Agency Total

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<b>Capital Assets Adjustments</b>	<b>Long-Term Liabilities Adjustments</b>	<b>Statement of Activities</b>
		\$ 1,421,163.97
		-
		(142,337.77)
		\$ 2,294,665.17
\$ (72,498.74)	\$ (108,237.83)	\$ (180,736.57)
\$ 310,062.85	\$ (1,813,966.42)	\$ (1,503,903.57)
\$ 237,564.11	\$ (1,922,204.25)	\$ (1,684,640.14)
		\$ 610,025.03

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit VI - Combined Statement of Net Assets - Fiduciary Funds**  
**August 31, 2010**

	Private-Purpose Trust Funds (Exhibit I-1)	Agency Funds (Exhibit J-1)	Totals
<b>ASSETS</b>			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 700,915.10	\$ 765,754.34	\$ 1,466,669.44
Total Assets	<u>\$ 700,915.10</u>	<u>\$ 765,754.34</u>	<u>\$ 1,466,669.44</u>
<b>LIABILITIES</b>			
Current Liabilities			
Funds Held For Others	\$ -	\$ 765,754.34	\$ 765,754.34
Total Liabilities	<u>\$ -</u>	<u>\$ 765,754.34</u>	<u>\$ 765,754.34</u>
<b>NET ASSETS</b>			
Held in Trust For			
Individuals, Organizations, and Other Governments			
Expendable	\$ 474,312.60	\$ -	\$ 474,312.60
Non-Expendable	226,602.50	-	226,602.50
Total Net Assets	<u>\$ 700,915.10</u>	<u>\$ -</u>	<u>\$ 700,915.10</u>

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit VII - Combined Statement of Changes in Fiduciary Net Assets**  
**For the Fiscal Year Ended August 31, 2010**

	<b>Private-Purpose Trust Funds (Exhibit I-2)</b>	<b>Totals</b>
<b>Additions</b>		
Investment Income		
From Investing Activities:		
Interest and Investment Income	\$ 6,809.70	\$ 6,809.70
Total Investing Income (Loss)	\$ 6,809.70	\$ 6,809.70
Net Income from Investing Activities	\$ 6,809.70	\$ 6,809.70
 Total Net Investment Income (Loss)	 \$ 6,809.70	 \$ 6,809.70
 Other Additions		
Other Revenue	\$ 126,354.68	\$ 126,354.68
Total Other Additions	\$ 126,354.68	\$ 126,354.68
 Total Additions	 \$ 133,164.38	 \$ 133,164.38
<b>Deductions</b>		
Salaries and Wages	\$ 25,000.00	\$ 25,000.00
Payroll Related Costs	4,414.77	4,414.77
Settlement of Claims	-	-
Other Expense	\$ 42,158.98	\$ 42,158.98
Total Deductions	\$ 71,573.75	\$ 71,573.75
 Net Increase (Decrease)	 \$ 61,590.63	 \$ 61,590.63
 Net Assets - September 1, 2009	 \$ 639,324.47	 \$ 639,324.47
 Net Assets - August 31, 2010	 \$ 700,915.10	 \$ 700,915.10

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### TEXAS DEPARTMENT OF LICENSING AND REGULATION (452) NOTES TO THE FINANCIAL STATEMENTS

#### NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

##### Entity

The Texas Department of Licensing and Regulation is an agency of the State of Texas and its financial records comply with state statutes and regulations. This includes compliance with the State Comptroller of Public Accounts' *Reporting Requirements for Annual Financial Reports of State Agencies and Universities*.

The Texas Department of Licensing and Regulation was created by the 71st Legislature in House Bill 863 and operates under the authority of Texas Occupations Code, Chapter 51. The mission of the Department is to maintain public trust by ensuring the public's safety and promoting a fair and competitive business environment for our regulated industries.

Due to the statewide requirements embedded in GASB Statement No. 34, *Basic Financial Statements -and Management's Discussion and Analysis -for State and Local Governments*, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report will be considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report*; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

##### Blended Component Units

No component units have been identified which should have been blended into an appropriated fund.

##### Fund Structure

The accompanying financial statements are presented on the basis of funds, each of which is considered a separate accounting entity.

##### Governmental Fund Types and Government-wide Adjustment Fund Types

General Revenue Funds The general revenue fund (fund 0001) is used to account for all financial resources of the state except those accounted for in another fund.

Capital Assets Adjustment Fund Type The capital asset adjustment fund (fund 9998) is used to convert governmental fund types' capital assets from modified accrual to full accrual.

Long-Term Liabilities Adjustment Fund Type The long-term liabilities adjustment fund (fund 9997) is used to convert governmental fund types' debt from modified accrual to full accrual.

##### Fiduciary Fund Types

Fiduciary funds account for assets held by the state in a trustee capacity or as an agent for individuals, private organizations, other governmental units, and/or other funds. When assets are held under the terms of a formal trust agreement, either a pension trust fund or a private purpose trust fund is used.



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### Agency Funds

Agency funds are used to account for assets the government holds on behalf of others in a purely custodial capacity. Agency funds involve only the receipt, temporary investment, and remittance of fiduciary resources to individuals, private organizations, or other governments.

### Private-Purpose Trust Funds

Private-Purpose Trust Funds are used to account for all other trust arrangements whose principal and interest benefit individuals, private organizations, or other governments.

### Basis of Accounting

The basis of accounting determines when revenues and expenditures or expenses are recognized in the accounts reported in the financial statements. The accounting and financial reporting treatment applied to a fund is determined by its measurement focus.

Governmental fund types that build the fund financial statements are accounted for using the modified accrual method basis of accounting. Under the modified accrual, revenues are recognized in the period in which they become both measurable and available to finance operations of the fiscal year or liquidate liabilities existing at fiscal year-end. The State of Texas considers receivables collected within sixty days after year-end to be available and recognizes them as revenues of the current year for fund financial statements prepared on the modified accrual basis. Expenditures and other uses of financial resources are recognized when the related liability is incurred.

Governmental adjustment fund types that will build the government-wide financial statements are accounted for using the full accrual method of accounting. This includes capital assets, accumulated depreciation, unpaid employee compensable leave, the unmatured debt service (principal and interest) on general long-term liabilities, long-term capital leases, long-term claims and judgments and full accrual revenues and expenses. The activity is recognized in these fund types.

Private-purpose trust funds are accounted for on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recognized when earned and expenses are recognized at the time liabilities are incurred.

### **Budget and Budgetary Accounting**

The budget is prepared biennially and represents appropriations authorized by the legislature and approved by the governor (the General Appropriations Act).

Unencumbered appropriations are generally subject to lapse 60 days after the end of the fiscal year for which they were appropriated.

### **Assets, Liabilities, and Fund Balances/Net Assets**

#### **ASSETS**

##### Cash and Cash Equivalents

Short-term highly liquid investments with an original maturity of three months or less are considered cash equivalents.

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### Restricted Assets

Restricted assets include monies or other resources restricted by legal or contractual requirements. These assets include proceeds of enterprise fund general obligation and revenue bonds and revenues set aside for statutory or contractual requirements.

### Inventories

Inventories include both merchandise inventories on hand for sale and consumable inventories. Inventories are valued at cost, generally utilizing the first-in, first-out method. The consumption method of accounting is used to account for inventories that appear in the governmental fund types. The cost of these items is expensed when the the items are consumed.

### Capital Assets

Assets with an initial, individual cost of more than \$5,000 and an estimated useful life in excess of one year are capitalized. These assets are capitalized at cost or, if not purchased, at appraised fair value as of the date of acquisition. Purchases of assets by governmental funds are reported as expenditures. Depreciation is reported on all "exhaustible" assets. Assets are depreciated over the estimated useful life of the asset using the straight-line method.

## LIABILITIES

### Accounts Payable

Accounts payable represents the liability for the value of assets or services received at the balance sheet date for which payment is pending.

### Employees' Compensable Leave Balances

Employees' Compensable Leave Balances represent the liability that became "due" upon the occurrence of relevant events such as resignations, retirements, and uses of leave balances by covered employees. Liabilities are reported separately as either current or noncurrent in the statement of net assets. These obligations are normally paid from the same funding source from which each employee's salary or wage compensation was paid.

## FUND BALANCES/NET ASSETS

The difference between fund assets and liabilities is "net assets" on the government-wide, proprietary and fiduciary fund statements, and the "fund balance" is the difference between fund assets and liabilities on the governmental fund statements.

### Reservations of Fund Balance

Fund balances for governmental funds are classified as either reserved or unreserved in the fund financial statements. Reservations are legally restricted to a specific future use or not available for expenditure.

### Reserved for Encumbrances

This represents commitments of the value of contracts awarded or assets ordered prior to year-end but not received as of that date. Encumbrances are not included with expenditures or liabilities. They represent current resources designated for specific expenditures in subsequent operating periods.

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### Unreserved/Undesignated

This represents the unappropriated balance at year-end.

### Invested in Capital Assets, Net of Related Debt

Invested in capital assets, net of related debt consists of capital assets, net of accumulated depreciation and reduced by outstanding balances for bond, notes and other debt that are attributed to the acquisition, construction, or improvement of those assets.

### Restricted Net Assets

Restricted Net Assets result when constraints placed on net asset use are either externally imposed by creditors, grantors, contributors, and the like, or imposed by law through constitutional provisions or enabling legislation.

### Unrestricted Net Assets

Unrestricted net assets consist of net assets that do not meet the definition of the two preceding categories. Unrestricted net assets often have constraints on resources, which are imposed by management but can be removed or modified.

## INTERFUND TRANSACTIONS AND BALANCES

The agency has the following types of transactions among funds:

- 1) Transfers: Legally required transfers that are reported when incurred as "transfers in" by the recipient fund and as "transfers out" by the disbursing fund.
- 2) Reimbursements: Reimbursements are repayments from funds responsible for expenditures or expenses to funds that made the actual payment. Reimbursements of expenditures made by one fund for another are recorded as expenditures in the reimbursing fund and as a reduction of expenditures in the reimbursed fund. Reimbursements are not displayed in the financial statements.

The composition of the agency's interfund activities and balances are presented in Note 12.

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**Note 2: CAPITAL ASSETS**

A summary of changes in Capital Assets for the year ended August 31, 2010 is presented below:

	PRIMARY GOVERNMENT						Balance 08/31/10
	Balance		Reclassifications	Reclassifications			
	09/01/09	Adjustments	Inc-Int'agy Trans	Dec-Int'agy Trans	Additions	Deletions	
<b>GOVERNMENTAL ACTIVITIES</b>							
<b>Depreciable Assets</b>							
Buildings and Building Improvements	194,131.57	-	-	-	-	-	194,131.57
Furniture and Equipment	1,087,361.46	(191,919.89)	12,859.65	-	-	(45,452.65)	862,848.57
Vehicle, Boats and Aircraft	57,285.20	-	-	(28,642.60)	58,118.34	-	86,760.94
<b>Total Depreciable Assets</b>	<b>1,338,778.23</b>	<b>(191,919.89)</b>	<b>12,859.65</b>	<b>(28,642.60)</b>	<b>58,118.34</b>	<b>(45,452.65)</b>	<b>1,143,741.08</b>
Less Accumulated Depreciation for:							
Buildings and Building Improvements	(38,826.24)	-	-	-	(58,239.36)	-	(97,065.60)
Furniture and Equipment	(943,515.86)	183,999.89	(12,859.65)	-	(42,040.76)	38,118.45	(776,297.93)
Vehicles, Boats and Aircraft	(46,373.28)	-	-	24,891.54	(11,331.70)	-	(32,813.44)
<b>Total Accumulated Depreciation</b>	<b>(1,028,715.38)</b>	<b>183,999.89</b>	<b>(12,859.65)</b>	<b>24,891.54</b>	<b>(111,611.82)</b>	<b>38,118.45</b>	<b>(906,176.97)</b>
<b>Depreciable Assets, Net</b>	<b>310,062.85</b>	<b>(7,920.00)</b>	<b>0</b>	<b>(3,751.06)</b>	<b>(53,493.48)</b>	<b>(7,334.20)</b>	<b>237,564.11</b>
<b>Amortizable Assets - Intangible</b>							
Computer Software		191,919.89				(161,878.16)	30,041.73
<b>Total Amortizable Assets - Intangible</b>	<b>0</b>	<b>191,919.89</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(161,878.16)</b>	<b>30,041.73</b>
Less Accumulated Amortization for:							
Computer Software	-	(183,999.89)	-	-	(3,630.00)	157,588.16	(30,041.73)
<b>Total Accumulated Amortization</b>	<b>0</b>	<b>(183,999.89)</b>	<b>0</b>	<b>0</b>	<b>(3,630.00)</b>	<b>157,588.16</b>	<b>(30,041.73)</b>
<b>Amortizable Assets - Intangible, Net</b>	<b>0</b>	<b>7,920.00</b>	<b>0</b>	<b>0</b>	<b>(3,630.00)</b>	<b>(4,290.00)</b>	<b>0</b>
<b>Governmental Activities Capital Assets, Net</b>	<b>310,062.85</b>	<b>0</b>	<b>0</b>	<b>(3,751.06)</b>	<b>(57,123.48)</b>	<b>(11,624.20)</b>	<b>237,564.11</b>

**NOTE 3: DEPOSITS, INVESTMENTS AND REPURCHASE AGREEMENTS**

Not applicable

**NOTE 4: SHORT-TERM LIABILITIES**

Not applicable

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**NOTE 5: LONG TERM LIABILITIES**

Changes In Long-Term Liabilities During the year ended August 31, 2010, the following changes occurred in liabilities.

Governmental Activities	Balance 9/1/2009	Additions	Deductions	Balance 8/31/2010	Amount Due Within 1 Year
Compensable Leave	1,813,966.42	1,631,104.58	(1,522,866.75)	1,922,204.25	1,150,470.42
Total Gov't Activities	1,813,966.42	1,631,104.58	(1,522,866.75)	1,922,204.25	1,150,470.42

Notes and Loans Payable: The agency did not have any notes or loans payable as-of August 31, 2010.

Employees' Compensable Leave If a state employee has had continuous employment with the state for at least six months, the state employee is entitled to be paid for all unused vacation time accrued in the event of the employee's resignation, dismissal, or separation from state employment. Expenditures for accumulated annual leave balances are recognized in the period paid or taken in governmental fund types. For these fund types, the liability for unpaid benefits is recorded in the statement of net assets. No liability is recorded for non-vesting accumulating rights to receive sick pay benefits. This obligation is usually paid from the same funding source(s) from which the employee's salary or wage compensation was paid.

**NOTE 6: BONDED INDEBTEDNESS**

Not applicable

**NOTE 7: CAPITAL LEASES**

Not applicable

**NOTE 8: OPERATING LEASES**

Not applicable

**NOTE 9: RETIREMENT PLANS**

Not applicable

**NOTE 10: DEFERRED COMPENSATION**

Not applicable

**NOTE 11: POSTEMPLOYMENT HEALTH CARE AND LIFE INSURANCE BENEFITS**

Not applicable

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**NOTE 12: INTERFUND ACTIVITIES AND TRANSACTIONS**

The Texas Department of Licensing and Regulation experienced routine transfers with other state agencies, which were consistent with the activities of the fund making the transfer. Repayment of interagency balances will occur within one year from the date of the financial statement.

Individual balances and activity at August 31, 2010 follows:

<b>Due From/Due to</b>	<b>Due From Other Agencies</b>	<b>Due To Other Agencies</b>	<b>Source</b>
General Revenue, Dedicated (01)			
Appd Fund 0099, D23 Fund 0990			
Agency 405, Fund 0099	4,063.00		Transfer
<b>Total Due From/To Other Agencies</b>	<b>4,063.00</b>		

<b>Operating Transfers</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Purpose</b>
General Revenue, Dedicated (01)			
Appd Fund 0099, D23 Fund 0990			
Agency 405, Fund 0099	104,019.59		SB 1005, 81st Leg, RS
General Revenue (01)			
Appd Fund 0001, D23 Fund 0001			
Agency 902, Fund 0001		2,392.14	Unused Rider Funds
<b>Total Transfers</b>	<b>104,019.59</b>	<b>2,392.14</b>	

**NOTE 13: CONTINUANCE SUBJECT TO REVIEW**

Under the Texas Sunset Act, the Texas Department of Licensing and Regulation will be abolished effective September 1, 2015, unless continued in existence by the 83rd Legislature as provided by the Act. If abolished, the agency may continue until September 1, 2016, to close out its operations.

**NOTE 14: ADJUSTMENTS TO FUND BALANCES AND NET ASSETS**

Not applicable

**NOTE 15: CONTINGENT LIABILITIES**

Not applicable

**NOTE 16: SUBSEQUENT EVENTS**

Not applicable

**NOTE 17: RISK MANAGEMENT**

Not applicable

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**NOTE 18: MANAGEMENT DISCUSSION AND ANALYSIS**

Not applicable

**NOTE 19: THE FINANCIAL REPORTING ENTITY**

Not applicable

**NOTE 20: STEWARDSHIP, COMPLIANCE AND ACCOUNTABILITY**

Not applicable

**NOTE 21: N/A**

Not applicable to the AFR reporting requirement process

**NOTE 22: DONOR RESTRICTED ENDOWMENTS**

Not applicable

**NOTE 23: EXTRAORDINARY AND SPECIAL ITEMS**

Not applicable

**NOTE 24: DISAGGREGATION OF RECEIVABLE AND PAYABLE BALANCES**

Not applicable

**NOTE 25: TERMINATION BENEFITS**

Not applicable

**NOTE 26: SEGMENT INFORMATION**

Not applicable

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit A-1 - Combining Balance Sheet - All General and Consolidated Funds**  
**August 31, 2010**

	<b>General</b>	Operators and Chauffeurs License	Beauty School Tuition Protection	Barber School Tuition Protection
	<b>Fund 1</b>	<b>Fund 1</b>	<b>Fund 1</b>	<b>Fund 1</b>
	<b>(0001)</b>	<b>(0990)</b>	<b>(0108)</b>	<b>(5081)</b>
	<b>U/F (0001)</b>	<b>U/F (0099)</b>	<b>U/F (0108)</b>	<b>U/F (5081)</b>
<b>ASSETS</b>				
Current Assets:				
Cash				
Cash On Hand	\$ 200.00	\$ -	\$ -	\$ -
Cash In State Treasury	-	-	191,833.05	25,155.28
Legislative Appropriations	4,462,383.32	-	-	-
Due from Other Funds	-	4,063.00	-	-
Consumable Inventories	65,457.19	-	-	-
Total Current Assets	\$ 4,528,040.51	\$ 4,063.00	\$ 191,833.05	\$ 25,155.28
 Total Assets	 \$ 4,528,040.51	 \$ 4,063.00	 \$ 191,833.05	 \$ 25,155.28
 <b>LIABILITIES AND FUND BALANCES</b>				
Liabilities				
Current Liabilities:				
Payables from:				
Accounts	485,497.84	-	-	-
Payroll	1,964,865.83	4,063.00	-	-
Funds Held for Others	-	-	-	-
Total Current Liabilities	\$ 2,450,363.67	\$ 4,063.00	\$ -	\$ -
 Total Liabilities	 \$ 2,450,363.67	 \$ 4,063.00	 \$ -	 \$ -
 Fund Balances (Deficits):				
Reserved for:				
Encumbrances	\$ -	\$ -	\$ -	\$ -
Inventories	65,457.19	-	-	-
Imprest	200.00	-	-	-
Undesignated	2,012,019.65	-	191,833.05	25,155.28
Total Fund Balances	\$ 2,077,676.84	\$ -	\$ 191,833.05	\$ 25,155.28
 Total Liabilities and Fund Balances	 \$ 4,528,040.51	 \$ 4,063.00	 \$ 191,833.05	 \$ 25,155.28

UNAUDITED

**Total**  
**(Exh. I)**

\$ 200.00  
216,988.33  
4,462,383.32  
4,063.00  
65,457.19  
\$ 4,749,091.84

\$ 4,749,091.84

485,497.84  
1,968,928.83

-  
\$ 2,454,426.67

\$ 2,454,426.67

\$ -  
65,457.19  
200.00  
2,229,007.98  
\$ 2,294,665.17

\$ 4,749,091.84

**UNAUDITED**

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit I-1 - Combining Statement of Fiduciary Net Assets - Private-Purpose Trust Funds**  
**August 31, 2009**

	<b>Private- Purpose Trust Fund 1 (0846) U/F (0846)</b>	<b>Private- Purpose Trust Fund 2 (0898) U/F (0898)</b>	<b>Totals (Ex VI)</b>
<b>ASSETS</b>			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 226,602.50	\$ 474,312.60	\$ 700,915.10
Total Current Assets	<u>\$ 226,602.50</u>	<u>\$ 474,312.60</u>	<u>\$ 700,915.10</u>
Total Assets	<u><u>\$ 226,602.50</u></u>	<u><u>\$ 474,312.60</u></u>	<u><u>\$ 700,915.10</u></u>
<b>LIABILITIES</b>			
Current Liabilities			
Funds Held for Others	\$ -	\$ -	\$ -
Total Current Liabilities	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total Liabilities	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>
<b>NET ASSETS</b>			
Held in Trust For:			
Individuals, Organizations, and Other Governments			
Expendable	\$ -	\$ 474,312.60	\$ 474,312.60
Non-Expendable	<u>\$ 226,602.50</u>	<u>\$ -</u>	<u>\$ 226,602.50</u>
Net Assets	<u><u>\$ 226,602.50</u></u>	<u><u>\$ 474,312.60</u></u>	<u><u>\$ 700,915.10</u></u>

UNAUDITED

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**Exhibit I-2 - Combining Statement of Changes in Fiduciary Net Assets - Private-Purpose Trust Funds**  
**For the Fiscal Year Ended August 31, 2010**

	Private- Purpose Trust Fund 1 (0846) U/F (0846)	Private- Purpose Trust Fund 2 (0898) U/F (0898)	Totals (Ex VII)
<b>Additions</b>			
Investment Income			
From Investing Activities:			
Interest and Investment Income	\$ -	\$ 6,809.70	\$ 6,809.70
Total Investing Income (Loss)	\$ -	\$ 6,809.70	\$ 6,809.70
Net Income from Investing Activities	\$ -	\$ 6,809.70	\$ 6,809.70
 Total Net Investment Income (Loss)	 \$ -	 \$ 6,809.70	 \$ 6,809.70
 Other Additions			
Other Revenue	\$ 100,000.00	\$ 26,354.68	\$ 126,354.68
Total Other Additions	\$ 100,000.00	\$ 26,354.68	\$ 126,354.68
 Total Additions	 \$ 100,000.00	 \$ 33,164.38	 \$ 133,164.38
<b>Deductions</b>			
Salaries and Wages	\$ -	\$ 25,000.00	\$ 25,000.00
Payroll Related Costs	-	4,414.77	4,414.77
Settlement of Claims	-	-	-
Other Expense	-	42,158.98	42,158.98
Total Deductions	\$ -	\$ 71,573.75	\$ 71,573.75
 Net Increase (Decrease)	 \$ 100,000.00	 \$ (38,409.37)	 \$ 61,590.63
 Net Assets - September 1, 2009	 \$ 126,602.50	 \$ 512,721.97	 \$ 639,324.47
 Net Assets - August 31, 2010	 \$ 226,602.50	 \$ 474,312.60	 \$ 700,915.10

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit J-1 - Combining Statement of Changes in Assets and Liabilities - Agency Funds

August 31, 2010

	Beginning Balance 9/1/2009	Additions	Deductions	Ending Balance 8/31/2010
<b>Agency Fund #1 (0807) U/F (0807)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ 5,916.23	\$ 78,066.82	\$ 77,465.54	\$ 6,517.51
Total Assets	<u>\$ 5,916.23</u>	<u>\$ 78,066.82</u>	<u>\$ 77,465.54</u>	<u>\$ 6,517.51</u>
<b>LIABILITIES</b>				
Funds Held for Others	5,916.23	78,066.82	77,465.54	6,517.51
Total Liabilities	<u>\$ 5,916.23</u>	<u>\$ 78,066.82</u>	<u>\$ 77,465.54</u>	<u>\$ 6,517.51</u>
<b>Agency Fund #2 (0882) U/F (0882)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ -	\$ 10,624.62	\$ 10,624.62	\$ -
Total Assets	<u>\$ -</u>	<u>\$ 10,624.62</u>	<u>\$ 10,624.62</u>	<u>\$ -</u>
<b>LIABILITIES</b>				
Funds Held for Others	-	10,624.62	10,624.62	-
Total Liabilities	<u>\$ -</u>	<u>\$ 10,624.62</u>	<u>\$ 10,624.62</u>	<u>\$ -</u>
<b>Agency Fund #3 (0900) U/F (0900)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ 764,304.15	\$ 6,449,202.04	\$ 6,454,794.38	\$ 758,711.81
Total Assets	<u>\$ 764,304.15</u>	<u>\$ 6,449,202.04</u>	<u>\$ 6,454,794.38</u>	<u>\$ 758,711.81</u>
<b>LIABILITIES</b>				
Funds Held for Others	764,304.15	\$ 6,491,610.72	6,497,203.06	\$ 758,711.81
Total Liabilities	<u>\$ 764,304.15</u>	<u>\$ 6,491,610.72</u>	<u>\$ 6,497,203.06</u>	<u>\$ 758,711.81</u>
<b>Agency Fund #4 (0901) U/F (0901)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ 475.02	\$ 1,575.02	\$ 1,525.02	\$ 525.02
Total Assets	<u>\$ 475.02</u>	<u>\$ 1,575.02</u>	<u>\$ 1,525.02</u>	<u>\$ 525.02</u>
<b>LIABILITIES</b>				
Funds Held for Others	\$ 475.02	\$ 2,150.00	2,100.00	\$ 525.02
Total Liabilities	<u>\$ 475.02</u>	<u>\$ 2,150.00</u>	<u>\$ 2,100.00</u>	<u>\$ 525.02</u>
<b>Agency Fund #5 (0942) U/F (0942)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ -	\$ 612,857.53	\$ 612,857.53	\$ -
Total Assets	<u>\$ -</u>	<u>\$ 612,857.53</u>	<u>\$ 612,857.53</u>	<u>\$ -</u>
<b>LIABILITIES</b>				
Funds Held for Others	\$ -	\$ 306,411.96	\$ 306,411.96	\$ -
Total Liabilities	<u>\$ -</u>	<u>\$ 306,411.96</u>	<u>\$ 306,411.96</u>	<u>\$ -</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit J-1 - Combining Statement of Changes in Assets and Liabilities - Agency Funds

August 31, 2010

	Beginning Balance 9/1/2009	Additions	Deductions	Ending Balance 8/31/2010
<b>Agency Fund #6 (0980) U/F (0980)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ -	\$ 3,931.64	\$ 3,931.64	\$ -
Total Assets	<u>\$ -</u>	<u>\$ 3,931.64</u>	<u>\$ 3,931.64</u>	<u>\$ -</u>
<b>LIABILITIES</b>				
Funds Held for Others	\$ -	\$ 3,931.64	\$ 3,931.64	\$ -
Total Liabilities	<u>\$ -</u>	<u>\$ 3,931.64</u>	<u>\$ 3,931.64</u>	<u>\$ -</u>
<b>Agency Fund #7 (1000) U/F (1000)</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ -	\$ 37,007,441.20	\$ 37,007,441.20	\$ -
Total Assets	<u>\$ -</u>	<u>\$ 37,007,441.20</u>	<u>\$ 37,007,441.20</u>	<u>\$ -</u>
<b>LIABILITIES</b>				
Funds Held for Others	-	\$ 37,074,442.48	37,074,442.48	\$ -
Total Liabilities	<u>\$ -</u>	<u>\$ 37,074,442.48</u>	<u>\$ 37,074,442.48</u>	<u>\$ -</u>
<b>Totals - All Agency Funds</b>				
<b>ASSETS</b>				
Cash in State Treasury	\$ 770,695.40	\$ 44,163,698.87	\$ 44,168,639.93	\$ 765,754.34
Total Assets	<u>\$ 770,695.40</u>	<u>\$ 44,163,698.87</u>	<u>\$ 44,168,639.93</u>	<u>\$ 765,754.34</u>
<b>LIABILITIES</b>				
Funds Held for Others	\$ 770,695.40	\$ 43,967,238.24	\$ 43,972,179.30	\$ 765,754.34
Total Liabilities	<u>\$ 770,695.40</u>	<u>\$ 43,967,238.24</u>	<u>\$ 43,972,179.30</u>	<u>\$ 765,754.34</u>

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(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)  
 (AGL) (GRT) (PRJ) (SS1) (SS2)

STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10.

PERCENT OF YEAR ELAPSED: 100%  
 TXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10.

AGY	GL	B/C	COMP	TITLE	AGY	GL	CURRENT YEAR	PRIOR YEAR
IND	IND	IND	IND	IND	IND	IND	YEAR	YEAR
01	001	N	0010	CASH ON HAND			200.00	200.00
01	002	N	0020	PETTY CASH ON HAND			200.00	200.00
GL	CLS	001	CA	CASH ON HAND			200.00	200.00
01	004	N	0045	CASH IN STATE TREASURY			176,247,406.73	149,726,106.14
N	0047			SHARED CASH			.00	.00
N	0048			LEGISLATIVE CASH			176,247,406.73	149,726,106.14
GL	CLS	004	CA	CASH IN STATE TREASURY			.00	.00
01	020	N	9000	LEGISLATIVE APPROPRIATIONS			4,462,383.32	4,196,821.07
GL	CLS	020	CA	LEGISLATIVE APPROPRIATIONS			4,462,383.32	4,196,821.07
01	052	N	0231	ACCTS. RECEIVABLE - UNBILLED			.00	.00
GL	CLS	052	CA	ACCOUNTS RECEIVABLES, NET			.00	.00
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC			.00	.00
GL	CLS	065	CA	INTERFUND RECEIVABLE			.00	.00
01	070	N	0283	DUE FROM OTHER FUNDS	45209000		.00	.00
N	0283			DUE FROM OTHER FUNDS	45210000		.00	.00
N	0283			DUE FROM OTHER FUNDS			.00	.00
GL	CLS	070	CA	DUE FROM OTHER FUNDS			.00	.00
01	072	N	0284	DUE FROM OTHER AGENCIES	36000010		.00	.00
N	0284			DUE FROM OTHER AGENCIES	55100010		.00	.00
N	0284			DUE FROM OTHER AGENCIES	58246800		.00	.00
GL	CLS	072	CA	DUE FROM OTHER AGENCIES			.00	.00
01	080	N	0285	CONSUM. INVENTORIES (MAT. AND SUPPLI			65,457.19	147,029.18
GL	CLS	080	CA	CONSUMABLE INVENTORIES			65,457.19	147,029.18





TEXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

PERCENT OF YEAR ELAPSED: 100%  
 \*\*\*\*\*  
 GAAP FUND GROUP 01 GOVERNMENTAL  
 GAAP FUND TYPE 01 GENERAL  
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL  
 \*\*\*\*\*  
 GL CL B/C COMP  
 CT CLS IND GL TITLE  
 \*\*\*\*\*  
 AGY GL CURRENT YEAR PRIOR YEAR  
 \*\*\*\*\*  
 21 230 N 1025 CL EMPLOYEE'S COMPENSABLE LEAVE .00 .00 .00 .00  
 GL CLS 230 CL EMPLOYEE'S COMPENSABLE LEAVE .00 .00 .00 .00  
 21 300 N 1149 FUNDS HELD FOR OTHERS .00 .00 .00 .00  
 GL CLS 300 CL FUNDS HELD FOR OTHERS .00 .00 .00 .00  
 \* GLA CAT 21 CURRENT LIABILITIES 2,450,363.67- 3,149,477.48-  
 \*\* TOTAL LIABILITIES 2,450,363.67- 3,149,477.48-  
 51 360 N 2050 FD BAL-RESERVED FOR ENCUMBRANCES .00 .00 .00 .00  
 GL CLS 360 FD BAL RESERVED FOR ENCUMBRANCES .00 .00 .00 .00  
 51 362 N 2075 FD BAL-RESERVED FOR CONSUM. INVENT. 65,457.19- 147,029.18-  
 N 2080 FD BAL-RESERVED FOR MERCHAN. INVENT. .00 .00 .00 .00  
 GL CLS 362 FD BAL RESERVED FOR INVENTORIES 65,457.19- 147,029.18-  
 51 364 N 2065 FD BAL-RESERVED FOR IMPREST ACCOUNTS 200.00- 200.00-  
 GL CLS 364 FD BAL RESERVED FOR IMPREST ACCT. 200.00- 200.00-  
 51 620 N \*\*\* 2240-POST CLS FFS FB UNRES UNDESIG 2,012,019.65- 928,605.64-  
 N 9999 FFS SYSTEM CLEARING - GL LEVEL ONLY .00 .00 .00 .00  
 GL CLS 620 FUND BALANCE - UNRESERVED/UNDESIGNATED 2,012,019.65- 928,605.64-  
 51 630 N 2055 FB - UNENCUM APPROP - SUBJECT TO LAP .00 .00 .00 .00  
 N 2060 FB-RES FOR UNENCUM APPR-FUTURE OPERA .00 .00 .00 .00  
 N 2250 FUND BAL--UNRES-RES'D SELF-INSURED PL .00 .00 .00 .00  
 GL CLS 630 OBSOLETE FB ACCTS UNDER GASB 34 .00 .00 .00 .00  
 51 800 N 9001 ENCUMBRANCES .00 .00 .00 .00  
 N 9003 ENCUMBRANCES (REPORTING AGENCIES) .00 .00 .00 .00  
 N 9005 FUND BALANCE - RESERVE FOR ENCUMBRAN 118,737.95- 118,737.95-  
 GL CLS 800 BUDGETARY .00 .00 .00 .00

PROD SYSTEM  
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DAFR8581 452 JD2 01 13    PROD RJE R452    2(ORG) ( ) ( ) 3(FND) ( ) ( ) 3(GLA) ( ) ( )    USAS  
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(AGY)452    (ORG)    (PRG)    (NAC)    (APP)    (FND)    (COB)    (AOB)    (GLA)  
 (AGL)    (GRT)    (PRJ)    (SS1)    (SS2)

STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

PERCENT OF YEAR ELAPSED: 100%    TEXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

\*\*\*\*\*  
 GAAP FUND GROUP    01    GOVERNMENTAL  
 GAAP FUND TYPE    01    GENERAL  
 GAAP FUND    0108    PRI BEAUTY CULT SCH FD (0108)-GENERAL  
 \*\*\*\*\*  
 GL GL B/C COMP  
 CT CLS IND GL    TITLE  
 \*\*\*\*\*

	AGY	GL	CURRENT YEAR	PRIOR YEAR
01 004 N 0045 CASH IN STATE TREASURY			191,833.05	201,255.21
GL CLS 004 CA CASH IN STATE TREASURY			191,833.05	201,255.21
* GLA CAT 01 CURRENT ASSETS			191,833.05	201,255.21
** TOTAL ASSETS AND OTHER DEBITS			191,833.05	201,255.21
21 200 N 1009 VOUCHERS PAYABLE			.00	.00
GL CLS 200 CL ACCOUNTS PAYABLE			.00	.00
* GLA CAT 21 CURRENT LIABILITIES			.00	.00
** TOTAL LIABILITIES			.00	.00
51 620 N **** 2240-POST CLS FFS FB UNRES UNDESIG			191,833.05-	201,255.21-
GL CLS 620 FUND BALANCE - UNRESERVED/UNDESIGNATED			191,833.05-	201,255.21-
* GLA CAT 51 FUND BALANCE (DEFICITS)			191,833.05-	201,255.21-
** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES			191,833.05-	201,255.21-
** TOTAL LIABILITIES AND FUND BALANCE/EQUITY			191,833.05-	201,255.21-
* GAAP FUND    0108 PRI BEAUTY CULT SCH FD (0108) -GENERAL			.00	.00



DAFR9581 452 JD2 01 13 PROD RJE R452 2(ORG) ( ) ( ) 3(FND) ( ) ( ) 3(GLA) ( ) ( ) USAS  
 CYCLE: 11/15/10 23:48 4774 RUN DATE: 11/16/10 TIME: 04:58 37 CFY: 11 CFM: 03 LCY: 10 LCM: 00 FICHE: 452 10 01 01

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)  
 (AGL) (GRT) (PRJ) (SS1) (SS2)

STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

PERCENT OF YEAR ELAPSED: 100%  
 TXAS DEPT OF LICENSING & REGULATION (452)  
 PRODUCTION SYSTEM PAGE 9

AGY	GL	IND	GL	TITLE	CURRENT YEAR	PRIOR YEAR
01	004	N	0045	CASH IN STATE TREASURY	25,155.28	25,335.99
	GL	CLS	004	CA CASH IN STATE TREASURY	25,155.28	25,335.99
*	GLA	CAT	01	CURRENT ASSETS	25,155.28	25,335.99
**	TOTAL ASSETS AND OTHER DEBITS				25,155.28	25,335.99
21	200	N	1009	VOUCHERS PAYABLE	.00	.00
	GL	CLS	200	CL ACCOUNTS PAYABLE	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	.00	.00
**	TOTAL LIABILITIES				.00	.00
51	620	N	****	2240-POST CLS PFS FB UNRES UNDESIG	25,155.28	25,335.99
	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED	25,155.28	25,335.99
*	GLA	CAT	51	FUND BALANCE (DEFICITS)	25,155.28	25,335.99
**	TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES				25,155.28	25,335.99
**	TOTAL LIABILITIES AND FUND BALANCE/EQUITY				25,155.28	25,335.99
*	GAAP	FUND	5081	GR ACCT - BARBER SCHOOL TUITION PROTECTI	.00	.00
*	GAAP	FUND TYPE	01	GENERAL	.00	.00





TEXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT(GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

PERCENT OF YEAR ELAPSED: 100%  
 \*\*\*\*\*  
 PRODUCTION SYSTEM \*\*\*\*\*  
 PAGE 11

01 GOVERNMENTAL  
 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS  
 9998 GEN FIXED ASSETS ACCT GROUP  
 \*\*\*\*\*  
 GL GL B/C COMP  
 CT CLS IND GL TITLE  
 \*\*\*\*\*

	AGY	GL	CURRENT YEAR	PRIOR YEAR
* GLA CAT 06 NON-CURRENT ASSETS			237,564.11	310,062.85
** TOTAL ASSETS AND OTHER DEBITS			237,564.11	310,062.85
45 410 Y **** 3505-POST CLS BC CAP ASSETS/DEBT			237,564.11	310,062.85
GL CLS 410 INVESTED IN CAP ASSETS,NET RELATED DEBT			237,564.11	310,062.85
45 430 Y 9992 BC SYSTEM CLEARING			.00	.00
GL CLS 430 UNRESTRICTED NET ASSETS			.00	.00
* GLA CAT 45 NET ASSETS			237,564.11	310,062.85
51 620 N **** 2240-POST CLS FFS FB UNRES UNDESIG			.00	.00
N 9999 FFS SYSTEM CLEARING - GL LEVEL ONLY			.00	.00
GL CLS 620 FUND BALANCE - UNRESERVED/UNDESIGNATED			.00	.00
51 630 N 2030 INVESTMENT IN GENERAL FIXED ASSETS			.00	.00
GL CLS 630 OBSOLETE FB ACCTS UNDER GASH 34			.00	.00
* GLA CAT 51 FUND BALANCE (DEFICITS)			.00	.00
** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES			237,564.11	310,062.85
** TOTAL LIABILITIES AND FUND BALANCE/EQUITY			237,564.11	310,062.85
* GAAP FUND 9998 GEN FIXED ASSETS ACCT GROUP			.00	.00
* GAAP FUND TYPE 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS			.00	.00

DAFR8581 452 JD2 01 13 PROD RJE R452 2(ORG) ( ) ( ) 3(FND) ( ) 3(GLA) ( ) ( ) ( ) USAS  
 CYCLE: 11/15/10 23:48 4774 RUN DATE: 11/16/10 TIME: 04:58 37 CFY: 11 CFM: 03 LCY: 10 LCM: 00 FICHE: 452 10 01 12  
 (AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)  
 (AGL) (GRT) (PRJ) (SS1) (SS2) (SS1)

TEXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10  
 PERCENT OF YEAR ELAPSED: 100%  
 \*\*\*\*\*  
 GAAP FUND GROUP 01 GOVERNMENTAL  
 GAAP FUND TYPE 12 LONG-TERM LIAB CONVERSION ADJUSTMT  
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION  
 \*\*\*\*\*

GL GL B/C COMP  
 CT CLS IND GL TITLE  
 \*\*\*\*\*  
 AGY  
 GL  
 CURRENT YEAR  
 PRIOR YEAR  
 \*\*\*\*\*

11	190	N	0410	AMTS TO BE PROVI	FY-OTHER OBLIGATION	.00	.00		
	GL CLS	190		RETIREMENT OF OTHER	GENERAL LONG-TERM DEBT	.00	.00		
	*	GLA CAT	11	OTHER DEBITS		.00	.00		
	**	TOTAL ASSETS AND OTHER	DEBITS			.00	.00		
21	230	N	1025	CL EMPLOYEE'S	COMPENSABLE LEAVE	.00	.00		
	Y	1525	BC CL	EMPLOYER'S	COMPENSABLE LEAVE	1,150,470.42-	1,105,466.39-		
	GL CLS	230	CL	EMPLOYEE'S	COMPENSABLE LEAVE	1,150,470.42-	1,105,466.39-		
21	260	Y	1625	BC CL	CAPITAL LEASE OBLIGATIONS	.00	.00		
	GL CLS	260	CL	CAPITAL LEASE	OBLIGATIONS	.00	.00		
	*	GLA CAT	21	CURRENT LIABILITIES		1,150,470.42-	1,105,466.39-		
26	301	Y	1700	BC NC	EMPLOYEE'S COMPENSABLE LEAVE	771,733.83-	708,500.03-		
	GL CLS	301	NC	EMPLOYEE'S	COMPENSABLE LEAVE	771,733.83-	708,500.03-		
26	304	Y	1715	BC NC	CAPITAL LEASES OBLIGATIONS	.00	.00		
	GL CLS	304	NC	CAPITAL LEASE	OBLIGATIONS	.00	.00		
	*	GLA CAT	26	NON-CURRENT	LIABILITIES	771,733.83-	708,500.03-		
	**	TOTAL LIABILITIES				1,922,204.25-	1,813,966.42-		
45	410	Y	3505	BC	CAPITAL ASSETS, NET OF RELATED DE	.00	.00		
	GL CLS	410	INVESTED IN	CAP ASSETS,NET	RELATED DEBT	.00	.00		
45	430	Y	****	3950-POST	CLS BC UNREST NET ASSETS	1,922,204.25	1,813,966.42		
	Y	9992	BC	SYSTEM	CLEARING	.00	.00		

PROD SYSTEM  
 \*\*\*\*\*PAGE 12

DAFR881 452 JD2 01 13 PROD RJE R452 2 (ORG) ( ) ( ) 3 (FND) ( ) ( ) 3 (CLA) ( ) ( ) USAS  
 CYCLE: 11/15/10 23:48 4774 RUN DATE: 11/16/10 TIME: 04:58 37 CFY: 11 CFM: 03 LCY: 10 LCM: 00 FICHE: 452 10 01 12

TEXAS DEPT OF LICENSING & REGULATION (452)  
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)  
 REPORT PERIOD= ADJUSTMENT FY= 10

PERCENT OF YEAR ELAPSED: 100%  
 \*\*\*\*\*  
 \*\*\*\*\* PAGE 13

GAAP FUND GROUP 01 GOVERNMENTAL  
 GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT  
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION  
 \*\*\*\*\*  
 GL GL B/C COMP  
 CT CLS IND GL TITLE  
 \*\*\*\*\*

GL CLS 430 UNRESTRICTED NET ASSETS 1,922,204.25 1,813,966.42  
 \* GLA CAT 45 NET ASSETS 1,922,204.25 1,813,966.42

51 620 N \*\*\*\* 2240-POST CLS FFS FB UNRES UNDESIG .00 .00  
 N 9999 FFS SYSTEM CLEARING - GL LEVEL ONLY .00 .00

GL CLS 620 FUND BALANCE - UNRESERVED/UNDESIGNATED .00 .00  
 \* GLA CAT 51 FUND BALANCE (DEFICITS) .00 .00

\*\* TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES 1,922,204.25 1,813,966.42  
 \*\* TOTAL LIABILITIES AND FUND BALANCE/EQUITY .00 .00

\* GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION .00 .00

\* GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT .00 .00

\* GAAP FUND GROUP 01 GOVERNMENTAL .00 .00

\* AGENCY 452 .00 .00

CURRENT YEAR PRIOR  
 YEAR YEAR

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# FMQuery: USAS Govt Wide Financial Statements (SOA)

## Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr=Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	60	3005	CHG/SVC-LICENSES, FEES & PERMITS	3035	N	-92,194.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3164	N	-112,373.26
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3366	N	-12,150.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3562	N	-3,108.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3719	N	-149,194.76
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3727	N	-175,000.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3879	N	-92,286.65
				3025	CHG/SVC-PROFESSIONAL FEES	3175	N	-403,071.50
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3752	N	-547,306.75
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3765	N	-10,882.00
					<b>Prog Rev - Charges For Services</b>			<b>-1,597,566.92</b>
			66	3400	SALARIES AND WAGES	7001	N	135,000.00
				3400	SALARIES AND WAGES	7002	N	17,100,172.46
				3400	SALARIES AND WAGES	7003	N	47,839.40
				3400	SALARIES AND WAGES	7017	N	800.00
				3400	SALARIES AND WAGES	7021	N	32,582.51
				3400	SALARIES AND WAGES	7022	N	401,380.00
				3400	SALARIES AND WAGES	7023	N	54,488.99
				3400	SALARIES AND WAGES	7047	N	108,800.00

**FMQuery: USAS Govt Wide Financial Statements (SOA)  
Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
Trial Balance Format (Dr=Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Src Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3400	SALARIES AND WAGES	7050	N	75,641.57
				3405	PAYROLL RELATED COSTS	7032	N	1,156,946.50
				3405	PAYROLL RELATED COSTS	7041	N	2,550,730.91
				3405	PAYROLL RELATED COSTS	7043	N	1,324,216.97
				3405	PAYROLL RELATED COSTS	7984	N	27,189.11
				3415	PROFESSIONAL FEES AND SERVICES	7240	N	80,720.00
				3415	PROFESSIONAL FEES AND SERVICES	7242	N	4,000.00
				3415	PROFESSIONAL FEES AND SERVICES	7243	N	14,798.57
				3415	PROFESSIONAL FEES AND SERVICES	7245	N	30,602.50
				3415	PROFESSIONAL FEES AND SERVICES	7253	N	10,156.13
				3415	PROFESSIONAL FEES AND SERVICES	7256	N	269.04
				3415	PROFESSIONAL FEES AND SERVICES	7285	N	324,306.89
				3420	TRAVEL	7101	N	74,709.36
				3420	TRAVEL	7102	N	511,834.90
				3420	TRAVEL	7105	N	32,619.10
				3420	TRAVEL	7106	N	230,799.40
				3420	TRAVEL	7110	N	8,634.58
				3420	TRAVEL	7111	N	6,096.95
				3420	TRAVEL	7112	N	379.95
				3420	TRAVEL	7115	N	343.72

**FMQuery: USAS Govt Wide Financial Statements (SOA)**  
**Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
 FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
 Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srcce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3420	TRAVEL	7116	N	2,768.64
				3420	TRAVEL	7135	N	7.00
				3425	MATERIALS AND SUPPLIES	7291	N	293,324.19
				3425	MATERIALS AND SUPPLIES	7300	N	164,845.44
				3425	MATERIALS AND SUPPLIES	7303	N	294.32
				3425	MATERIALS AND SUPPLIES	7304	N	5,432.41
				3425	MATERIALS AND SUPPLIES	7312	N	496.01
				3425	MATERIALS AND SUPPLIES	7328	N	744.96
				3425	MATERIALS AND SUPPLIES	7330	N	323.80
				3425	MATERIALS AND SUPPLIES	7334	N	71,859.06
				3425	MATERIALS AND SUPPLIES	7335	N	6,635.76
				3425	MATERIALS AND SUPPLIES	7374	N	1,141.64
				3425	MATERIALS AND SUPPLIES	7377	N	4,612.67
				3425	MATERIALS AND SUPPLIES	7378	N	15,754.18
				3425	MATERIALS AND SUPPLIES	7380	N	121,065.22
				3425	MATERIALS AND SUPPLIES	7382	N	4,949.27
				3425	MATERIALS AND SUPPLIES	7510	N	7,273.38
				3425	MATERIALS AND SUPPLIES	7517	N	2,950.19
				3430	COMMUNICATION AND UTILITIES	7276	N	144,813.78
				3430	COMMUNICATION AND UTILITIES	7501	N	170.00



**FMQuery: USAS Govt Wide Financial Statements (SOA)**  
**Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
 FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
 Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Sree Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3430	COMMUNICATION AND UTILITIES	7503	N	1,642.10
				3430	COMMUNICATION AND UTILITIES	7504	N	3,110.52
				3430	COMMUNICATION AND UTILITIES	7516	N	69,644.98
				3430	COMMUNICATION AND UTILITIES	7518	N	70,471.79
				3430	COMMUNICATION AND UTILITIES	7526	N	1,908.87
				3430	COMMUNICATION AND UTILITIES	7961	N	111,493.43
				3430	COMMUNICATION AND UTILITIES	7962	N	75,898.29
				3435	REPAIRS AND MAINTENANCE	7262	N	158,881.12
				3435	REPAIRS AND MAINTENANCE	7266	N	3,713.77
				3435	REPAIRS AND MAINTENANCE	7267	N	21,329.48
				3435	REPAIRS AND MAINTENANCE	7354	N	94,356.25
				3435	REPAIRS AND MAINTENANCE	7367	N	39,433.71
				3435	REPAIRS AND MAINTENANCE	7368	N	3,861.13
				3440	RENTALS AND LEASES	7406	N	72,742.29
				3440	RENTALS AND LEASES	7462	N	346,224.96
				3440	RENTALS AND LEASES	7470	N	195,027.34
				3445	PRINTING AND REPRODUCTION	7218	N	67,182.62
				3445	PRINTING AND REPRODUCTION	7273	N	76,647.36
				3450	CLAIMS AND JUDGMENTS	7226	N	8,622.91
				3510	INTEREST EXPENSE - OTHER	7806	N	622.23

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Susan Combs  
 Texas Comptroller of Public Accounts  
 This report was generated on 11/16/10  
 Page 4 of 10

**FMQuery: USAS Govt Wide Financial Statements (SOA)  
Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
Trial Balance Format (Dr=Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Src Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3590	OTHER EXPENSES	7201	N	10,387.00
				3590	OTHER EXPENSES	7202	N	750.00
				3590	OTHER EXPENSES	7203	N	96,847.95
				3590	OTHER EXPENSES	7210	N	6,886.66
				3590	OTHER EXPENSES	7211	N	11,513.54
				3590	OTHER EXPENSES	7219	N	549,567.15
				3590	OTHER EXPENSES	7223	N	10,776.77
				3590	OTHER EXPENSES	7274	N	11,135.95
				3590	OTHER EXPENSES	7281	N	4,414.34
				3590	OTHER EXPENSES	7286	N	23,854.81
				3590	OTHER EXPENSES	7295	N	23,090.73
				3590	OTHER EXPENSES	7299	N	588,528.15
				3590	OTHER EXPENSES	7947	N	38,017.15
					<b>Expenses</b>			<b>27,994,106.78</b>
			68	3700	GR-ORIGINAL APPROPRIATIONS	9400	N	-24,348,613.00
				3700	GR-ORIGINAL APPROPRIATIONS	9401	N	844,834.00
				3700	GR-ORIGINAL APPROPRIATIONS	9415	N	1,198,301.00
				3705	GR-ADDITIONAL APPROPRIATIONS	9403	N	-145,304.00
				3705	GR-ADDITIONAL APPROPRIATIONS	9404	N	145,304.00
				3705	GR-ADDITIONAL APPROPRIATIONS	9420	N	-1,323,863.54

**FMQuery: USAS Govt Wide Financial Statements (SOA)  
Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Cony	Amount
01	0001	0001	68	3705	GR-ADDITIONAL APPROPRIATIONS	9425	N	-2,550,730.91
				3705	GR-ADDITIONAL APPROPRIATIONS	9435	N	-1,156,635.11
				3705	GR-ADDITIONAL APPROPRIATIONS	9440	N	-75,641.57
				3705	GR-ADDITIONAL APPROPRIATIONS	9486	N	-13,594.55
				3710	GR-UNEXPENDED BALANCE FORWARD	9406	N	956,000.00
				3710	GR-UNEXPENDED BALANCE FORWARD	9407	N	-956,000.00
				3720	GR-LEGISLATIVE FINANCING USES	9546	N	130,546.86
				3720	GR-LEGISLATIVE FINANCING USES	9548	N	-130,546.86
				3730	GR-LAPSES	9580	N	142,337.77
				3810	GR-OTHER GENERAL REVENUES	3802	N	-56,623.50
				3870	GR-CAPITAL OUTLAY	7371	N	58,118.34
					<b>General Revenues</b>			<b>-27,282,111.07</b>
			78	3980	TRANSFERS OUT	7973	N	2,392.14
					<b>Transfers</b>			<b>2,392.14</b>
			79	BBal	Beginning Balance			-1,194,572.77
					<b>Beginning Balance</b>			<b>-1,194,572.77</b>
					<b>Fund 0001 Beginning Balance</b>			<b>-1,194,572.77</b>
					<b>Beginning Balance as Restated</b>			<b>-1,194,572.77</b>
					<b>Net Activity</b>			<b>-883,179.07</b>
					<b>Fund 0001 Ending Balance</b>			<b>-2,077,751.84</b>

**FMQuery: USAS Govt Wide Financial Statements (SOA)**  
**Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
 FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
 Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Src Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	9001	68	3810	GR-OTHER GENERAL REVENUES	3789	N	75.00
<b>General Revenues</b>								
<b>Fund 9001 Beginning Balance</b>								
<b>Beginning Balance as Restated</b>								
<b>Net Activity</b>								
<b>Fund 9001 Ending Balance</b>								
	0099	0990	66	3400	SALARIES AND WAGES	7002	N	95,500.96
				3400	SALARIES AND WAGES	7022	N	520.00
				3400	SALARIES AND WAGES	7023	N	960.87
				3420	TRAVEL	7105	N	19.83
				3425	MATERIALS AND SUPPLIES	7328	N	9.82
				3425	MATERIALS AND SUPPLIES	7382	N	50.00
				3430	COMMUNICATION AND UTILITIES	7962	N	775.50
				3440	RENTALS AND LEASES	7406	N	588.27
				3440	RENTALS AND LEASES	7470	N	1,300.00
				3445	PRINTING AND REPRODUCTION	7273	N	48.75
				3510	INTEREST EXPENSE - OTHER	7806	N	0.03
				3590	OTHER EXPENSES	7947	N	94.96
				3590	OTHER EXPENSES	7953	N	4,150.60
<b>Expenses</b>								<b>104,019.59</b>

# FMQuery: USAS Govt Wide Financial Statements (SOA)

## Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation  
 FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
 Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Sice Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0099	0990	78	3970	TRANSFERS IN	3973	N	-104,019.59
					<b>Transfers</b>			<b>-104,019.59</b>
					<b>Fund 0990 Beginning Balance</b>			<b>0.00</b>
					<b>Beginning Balance as Restated</b>			<b>0.00</b>
					<b>Net Activity</b>			<b>0.00</b>
					<b>Fund 0990 Ending Balance</b>			<b>0.00</b>
	0108	0108	66	3450	CLAIMS AND JUDGMENTS	7237	N	10,399.33
					<b>Expenses</b>			<b>11,182.16</b>
					<b>Other Expenses</b>			<b>782.83</b>
					<b>General Revenues</b>			<b>-1,760.00</b>
					<b>Beginning Balance</b>			<b>-201,255.21</b>
					<b>Fund 0108 Beginning Balance</b>			<b>-201,255.21</b>
					<b>Beginning Balance as Restated</b>			<b>-201,255.21</b>
					<b>Net Activity</b>			<b>9,422.16</b>
					<b>Fund 0108 Ending Balance</b>			<b>-191,833.05</b>
	5081	5081	66	3590	OTHER EXPENSES	7953	N	195.71
					<b>Expenses</b>			<b>195.71</b>
					<b>General Revenues</b>			<b>-15.00</b>
					<b>Beginning Balance</b>			<b>-191,833.05</b>
					<b>Fund 0108 Ending Balance</b>			<b>-191,833.05</b>

**FMQuery: USAS Govt Wide Financial Statements (SOA)**  
**Statement of Activities By GAAP Fund, Fund, and Object (GOVT)**

Agency 452 - Texas Department of Licensing and Regulation  
 FY 2010, Adjusted (Month 13) Balances, BC = Both N & Y  
 Trial Balance Format (Dr=Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Sree Obj	GWFS GSO Title	Comptl Obj	Basis Conv	Amount
01	5081	5081	79	BBal	Beginning Balance			-15.00
					<b>General Revenues</b>			
					Beginning Balance			-25,335.99
					Fund 5081 Beginning Balance			-25,335.99
					Beginning Balance as Restated			-25,335.99
					Net Activity			180.71
					Fund 5081 Ending Balance			-25,155.28
11	9998	0099	66	3495	DEPRECIATION EXPENSE	7936	Y	58,239.36
				3495	DEPRECIATION EXPENSE	7939	Y	53,372.46
				3500	AMORTIZATION EXPENSE	7878	Y	3,630.00
					<b>Expenses</b>			<b>115,241.82</b>
				3805	GR-GAIN/(LOSS) ON SALE OF CAPITAL ASSETS	3834	Y	11,624.20
				3870	GR-CAPITAL OUTLAY	7371	Y	-58,118.34
					<b>General Revenues</b>			<b>-46,494.14</b>
				3808	DECR NET ASSET-INTAGY TRNS CAP ASSET-GOV	7858	Y	3,751.06
					<b>Transfers</b>			<b>3,751.06</b>
				BBal	Beginning Balance			-310,062.85
					Beginning Balance			-310,062.85
					Fund 0099 Beginning Balance			-310,062.85



**TEXAS DEPARTMENT OF LICENSING AND REGULATION**  
**Schedule 6 - Summary of Revenues Generated by Agency Program or Activity**  
**Month Ended August 31, 2010 and Year Ended August 31, 2009**

Agency Program or Activity	Reappropriated Revenues	Unappropriated Revenues	Y-T-D 2010	Percent of 2009 Revenue	Informational 2009
Air Conditioning and Refrigeration Contractors	\$ 94,214 <sup>(1)</sup>	\$ 1,759,283	\$ 1,853,497	100.58%	\$ 1,842,884
Architectural Barriers	107,348 <sup>(1)</sup>	4,124,819	4,232,167	81.75%	5,176,686
Auctioneers	8,563 <sup>(1)</sup>	129,635	138,198	105.27%	131,285
Auctioneer Education & Recovery Fund (Fund 0898)	34,014	-	34,014	103.84%	32,755
Auto Parts Recycler	-	120,090	120,090	N/A	-
Barber	90,209	1,192,376	1,282,585	111.53%	1,149,940
Boiler Inspections	112,373	2,193,170	2,305,543	91.44%	2,521,380
Combative Sports	-	1,114,898	1,114,898	189.80%	587,399
Cosmetology	501,104	10,818,980	11,320,083	99.31%	11,399,061
Discount Health	-	6,250	6,250	21.01%	29,750
Electricians	226,262 <sup>(1)</sup>	4,737,790	4,964,051	103.95%	4,775,588
Elevators, Escalators and Related Equipment	69,180 <sup>(1)</sup>	1,261,015	1,330,195	119.47%	1,113,390
Employers of Certain Temporary Common Workers	-	20,925	20,925	84.21%	24,850
For-Profit Legal Service Contract Providers	18,279 <sup>(1)</sup>	914,753	933,032	120.89%	771,817
Industrialized Housing and Buildings	-	437,268	437,268	96.33%	453,937
Licensed Court Interpreters	3,108 <sup>(1)</sup>	45,372	48,480	61.17%	79,250
Personnel Employment Services	-	14,875	14,875	102.06%	14,575
Polygraph Examiners	-	119,300	119,300	N/A	-
Property Tax Consultants	2,865	440,636	443,501	98.71%	449,275
Property Tax Professional	-	259,058	259,058	N/A	-
Rental Agreement Loss Damage Waivers	-	18,450	18,450	120.59%	15,300
Service Contract Providers	-	302,350	302,350	179.68%	168,275
Staff Leasing Services	-	247,358	247,358	114.81%	215,450
Talent Agencies	-	38,513	38,513	108.72%	35,425
Tow Truck	98,295 <sup>(1)</sup>	4,146,731	4,245,027	114.77%	3,698,720
Vehicle Protection Product Warrantors	-	35,775	35,775	78.11%	45,800
Vehicle Booting	84	3,766	3,850	N/A	-
Vehicle Storage Facilities	17,864 <sup>(1)</sup>	1,084,101	1,101,965	112.73%	977,550
Water Well Drillers and Pump Installers	12,150	567,038	579,188	98.39%	588,666
Weather Modification	-	5,500	5,500	28.39%	19,375
Enforcement General Counsel	(1,326)	-	(1,326)	-47.21%	2,809
Information Resources Division Copies	10,882	-	10,882	58.40%	18,634
Return Checks	112,150	-	112,150	101.20%	110,816
Other Miscellaneous Governmental Revenue	-	2,800	2,800	73.20%	3,825
State Sales Tax	-	65,821	65,821	61.03%	107,855
City Tax	-	32,221	32,221	57.18%	56,355
MTA Tax	-	5,211	5,211	57.43%	9,073
	-	5,211	5,211	57.43%	9,073
<b>TOTAL</b>	<b>\$ 1,517,617</b>	<b>\$ 36,271,339</b>	<b>\$ 37,788,956</b>	<b>103.14%</b>	<b>\$ 36,636,822</b>

<sup>(1)</sup> Texas Online Pass-through fees.



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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Comparative Statement of Revenue Generated by Agency Program or Activity  
 Month Ended August 31, 2010 and Year Ended August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
<b>Air Conditioning and Refrigeration Contractors</b>						
Examination Fee	\$ -	\$ 41,310.00	\$ 41,310.00	\$ -	\$ 214,389.70	\$ 214,389.70
A/C Exam Reschedule	-	-	-	-	-	-
License Fee	-	1,426,872.50	1,426,872.50	-	1,319,735.80	1,319,735.80
A/C Provider Fee	-	22,300.00	22,300.00	-	21,375.00	21,375.00
Penalty	-	263,125.83	263,125.83	-	175,068.96	175,068.96
Certificate of Registration	-	5,675.00	5,675.00	-	5,625.00	5,625.00
TexasOnline Subscription Fee	94,214.00	-	94,214.00	106,690.00	-	106,690.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Air Conditioning and Refrigeration Contractors</b>	<b>\$ 94,214.00</b>	<b>\$ 1,759,283.33</b>	<b>\$ 1,853,497.33</b>	<b>\$ 106,690.00</b>	<b>\$ 1,736,194.46</b>	<b>\$ 1,842,884.46</b>
<b>Architectural Barriers</b>						
Inspection Filing Fee-TDLR	\$ -	\$ 840.00	\$ 840.00	\$ -	\$ 1,875.00	\$ 1,875.00
Inspection	-	508,305.50	508,305.50	-	552,533.73	552,533.73
Inspection Filing Fee-ICP	-	800.00	800.00	-	15,525.00	15,525.00
Plan Review	-	184,677.00	184,677.00	-	208,675.00	208,675.00
Project Filing Fee-TDLR	-	2,905,260.94	2,905,260.94	445,000.00	3,046,022.28	3,491,022.28
Variance	-	92,750.00	92,750.00	-	122,250.00	122,250.00
Penalty	-	248,375.00	248,375.00	-	500,700.00	500,700.00
Variance Appeal	-	19,200.00	19,200.00	-	21,225.00	21,225.00
Contract Provider Project	-	225.00	225.00	-	28,325.00	28,325.00
RAS Registration	-	119,775.00	119,775.00	-	121,900.00	121,900.00
RAS Examination	-	1,000.00	1,000.00	-	7,900.00	7,900.00
RAS CE Provider Fees	-	2,900.00	2,900.00	-	1,650.00	1,650.00
Special Clearance	-	40,710.58	40,710.58	-	10,434.45	10,434.45
Convenience Fee- AB	66,304.06	-	66,304.06	58,652.72	-	58,652.72
Sale of Publications	1,470.00	-	1,470.00	1,947.50	-	1,947.50
Third Party Reimbursement	676.00	-	676.00	-	-	-
Third Party Reimbursement-TAA Tuition	38,897.50	-	38,897.50	32,070.00	-	32,070.00
<b>Total, Architectural Barriers</b>	<b>\$ 107,347.56</b>	<b>\$ 4,124,819.02</b>	<b>\$ 4,232,166.58</b>	<b>\$ 537,670.22</b>	<b>\$ 4,639,015.46</b>	<b>\$ 5,176,685.68</b>
<b>Auctioneers</b>						
Auctioneer License Fee	\$ -	\$ 101,017.00	\$ 101,017.00	\$ -	\$ 98,600.00	\$ 98,600.00
Associate Auctioneer License Fee	-	3,423.00	3,423.00	-	3,185.00	3,185.00
Examination Fee	-	1,950.00	1,950.00	-	7,950.00	7,950.00
Auctioneer Late Fee	-	-	-	-	-	-
Auctioneer Penalty	-	14,695.00	14,695.00	-	5,000.00	5,000.00
Auctioneer CE Provider Fee	-	9,000.00	9,000.00	-	5,825.00	5,825.00
Auctioneer Education and Recovery Fund (AERF)	13,550.00	-	13,550.00	12,375.00	-	12,375.00
Auctioneer Education and Recovery Fund Interest	6,809.70	-	6,809.70	13,580.36	-	13,580.36
TexasOnline Subscription Fee	8,563.00	-	8,563.00	10,725.00	-	10,725.00
Third Party Reimbursement-AERF	13,654.68	(450.00)	13,204.68	6,800.00	-	6,800.00
<b>Total, Auctioneers</b>	<b>\$ 42,577.38</b>	<b>\$ 129,635.00</b>	<b>\$ 172,212.38</b>	<b>\$ 43,480.36</b>	<b>\$ 120,560.00</b>	<b>\$ 164,040.36</b>
<b>Auto Parts Recycler</b>						
Auto Parts Recycler Fee	\$ -	\$ 120,090.00	120,090.00	\$ -	\$ -	-
<b>Total Auto Parts Recycler</b>	<b>\$ -</b>	<b>\$ 120,090.00</b>	<b>\$ 120,090.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>
<b>Barber</b>						
Barber License Fees	\$ -	\$ 969,193.10	\$ 969,193.10	\$ -	\$ 939,750.30	\$ 939,750.30
Barber CE Provider Fee	-	-	-	-	-	-
Convenience Fees	64.77	-	64.77	120.56	-	120.56
Fines & Penalties	-	223,167.63	223,167.63	-	109,179.20	109,179.20
Barber Publication	95,144.24	-	95,144.24	100,395.13	-	100,395.13
Third Party Reimbursement-Barber Tuition Acct Fee	(5,000.00)	15.00	(4,985.00)	495.00	-	495.00
<b>Total, Barber</b>	<b>\$ 90,209.01</b>	<b>\$ 1,192,375.73</b>	<b>\$ 1,282,584.74</b>	<b>\$ 101,010.69</b>	<b>\$ 1,048,929.50</b>	<b>\$ 1,149,940.19</b>

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity  
 Month Ended August 31, 2010 and Year Ended August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
<b>Boiler</b>						
Boiler Inspection Fees	\$ -	\$ 1,944,530.00	\$ 1,944,530.00	\$ -	\$ 2,247,590.00	\$ 2,247,590.00
Penalty	-	62,480.00	62,480.00	-	20,000.00	20,000.00
Special Inspection Fees	112,373.26	182,400.00	294,773.26	112,200.00	137,400.00	249,600.00
Commission Exam Fee	-	275.00	275.00	-	825.00	825.00
Commission Fee	-	3,485.00	3,485.00	-	3,365.00	3,365.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Boiler Inspections</b>	<b>\$ 112,373.26</b>	<b>\$ 2,193,170.00</b>	<b>\$ 2,305,543.26</b>	<b>\$ 112,200.00</b>	<b>\$ 2,409,180.00</b>	<b>\$ 2,521,380.00</b>
<b>Combative Sports</b>						
Boxing Gross Receipts Tax	\$ -	\$ 892,418.76	\$ 892,418.76	\$ -	\$ 401,835.60	\$ 401,835.60
Combative Sports Per Event Fee	-	26,112.36	26,112.36	-	16,941.25	16,941.25
Boxing Promoters License	-	44,900.00	44,900.00	-	51,250.00	51,250.00
Boxing License Fee	-	17,530.00	17,530.00	-	14,927.00	14,927.00
Manager License Fee	-	8,400.00	8,400.00	-	6,400.00	6,400.00
Matchmaker License Fee	-	3,325.00	3,325.00	-	3,850.00	3,850.00
Combative Sports Federal ID Card	-	9,240.00	9,240.00	-	8,520.00	8,520.00
Judge and Referee License Fee	-	23,450.00	23,450.00	-	16,000.00	16,000.00
Seconds License Fee	-	56,105.00	56,105.00	-	43,083.00	43,083.00
Timekeeper License Fee	-	1,720.00	1,720.00	-	1,480.00	1,480.00
Ringside Physician Registration Fee	-	2,237.50	2,237.50	-	2,512.50	2,512.50
Combative Sports-Non-Refundable	-	-	-	-	-	-
Combative Sports Event Coordinator	-	1,700.00	1,700.00	-	1,600.00	1,600.00
Boxing Penalty	-	27,759.85	27,759.85	-	19,000.00	19,000.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Combative Sports</b>	<b>\$ -</b>	<b>\$ 1,114,898.47</b>	<b>\$ 1,114,898.47</b>	<b>\$ -</b>	<b>\$ 587,399.35</b>	<b>\$ 587,399.35</b>
<b>Cosmetology</b>						
Cosmetology License Fees	\$ -	\$ 8,784,241.44	\$ 8,784,241.44	\$ -	\$ 8,423,941.67	\$ 8,423,941.67
Cosmetology School Inspection	-	1,000.00	1,000.00	-	1,200.00	1,200.00
Cosmetology CE Provider Fee	-	382,185.00	382,185.00	-	548,288.00	548,288.00
Cosmetology Transcripts	37,045.00	-	37,045.00	31,479.00	-	31,479.00
Cosmetology Publication	450,692.51	-	450,692.51	918,521.85	-	918,521.85
Cosmetology Fine & Penalties	-	1,660,192.42	1,660,192.42	-	1,421,251.70	1,421,251.70
Convenience Fees	2,966.78	-	2,966.78	16,758.62	-	16,758.62
Third Party Reimbursement	-	-	-	-	-	-
Third Party Reimbursement-Cosmetology Tutition Acct	10,399.33	(8,639.33)	1,760.00	37,620.00	-	37,620.00
<b>Total, Cosmetology</b>	<b>\$ 501,103.62</b>	<b>\$ 10,818,979.53</b>	<b>\$ 11,320,083.15</b>	<b>\$ 1,004,379.47</b>	<b>\$ 10,394,681.37</b>	<b>\$ 11,399,060.84</b>
<b>Discount Health</b>						
Discount Health Fees	\$ -	\$ 6,250.00	\$ 6,250.00	\$ -	\$ 22,250.00	\$ 22,250.00
Discount Health Penalties	-	-	-	-	7,500.00	7,500.00
<b>Total, Discount Health</b>	<b>\$ -</b>	<b>\$ 6,250.00</b>	<b>\$ 6,250.00</b>	<b>\$ -</b>	<b>\$ 29,750.00</b>	<b>\$ 29,750.00</b>
<b>Electricians</b>						
Electrician License Fees	\$ -	\$ 4,478,879.50	\$ 4,478,879.50	\$ -	\$ 4,329,557.50	\$ 4,329,557.50
Electrician CE Fees	-	47,550.00	47,550.00	-	46,200.00	46,200.00
Electrician Penalty Fees	-	211,360.40	211,360.40	-	166,808.03	166,808.03
TexasOnline Subscription Fee	226,261.50	-	226,261.50	233,022.00	-	233,022.00
<b>Total, Electricians</b>	<b>\$ 226,261.50</b>	<b>\$ 4,737,789.90</b>	<b>\$ 4,964,051.40</b>	<b>\$ 233,022.00</b>	<b>\$ 4,542,565.53</b>	<b>\$ 4,775,587.53</b>

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity  
 Month Ended August 31, 2010 and Year Ended August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
<b>Elevators, Escalators and Related Equipment</b>						
Elevator Inspector Registration	\$ -	\$ 1,450.00	\$ 1,450.00	\$ -	\$ 950.00	\$ 950.00
Elevator Contractor Registration	-	4,830.00	4,830.00	-	4,600.00	4,600.00
Elevator Duplicate Fee	-	1,300.00	1,300.00	-	2,050.00	2,050.00
Elevator Filing Fee	52,130.00	681,935.00	734,065.00	-	742,075.00	742,075.00
Elevator Penalty	-	19,895.00	19,895.00	-	36,010.00	36,010.00
Elevator License and Renewal	-	21,895.00	21,895.00	-	19,490.00	19,490.00
Elevator New Technology Variance Technology	-	7,500.00	7,500.00	-	2,500.00	2,500.00
Elevator Waiver/Delay	-	34,855.00	34,855.00	-	55,010.00	55,010.00
Late Fee	-	487,355.00	487,355.00	-	234,405.00	234,405.00
Third Party Reimbursement	-	-	-	-	-	-
Third Party Reimbursement-Seal Tool	-	-	-	-	-	-
Third Party Reimbursement-Elevator Kit	17,050.00	-	17,050.00	16,300.00	-	16,300.00
<b>Total, Elevators, Escalators and Related Equipment</b>	<b>\$ 69,180.00</b>	<b>\$ 1,261,015.00</b>	<b>\$ 1,330,195.00</b>	<b>\$ 16,300.00</b>	<b>\$ 1,097,090.00</b>	<b>\$ 1,113,390.00</b>
<b>Employers of Certain Temporary Common Workers</b>						
License Fee	\$ -	\$ 20,925.00	\$ 20,925.00	\$ -	\$ 24,850.00	\$ 24,850.00
3rd Party Reimbursement	-	-	-	-	-	-
Penalty	-	-	-	-	-	-
<b>Total, Employers of Certain Temporary Common Workers</b>	<b>\$ -</b>	<b>\$ 20,925.00</b>	<b>\$ 20,925.00</b>	<b>\$ -</b>	<b>\$ 24,850.00</b>	<b>\$ 24,850.00</b>
<b>Industrialized Housing and Buildings</b>						
Manufacturer's Registration Fee	\$ -	\$ 85,700.00	\$ 85,700.00	\$ -	\$ 73,450.00	\$ 73,450.00
Third Party Inspection Agency	-	1,050.00	1,050.00	-	1,125.00	1,125.00
Builder Registration Fee	-	116,638.00	116,638.00	-	123,062.50	123,062.50
Design Review Agency's Registration Fee	-	2,700.00	2,700.00	-	2,100.00	2,100.00
Third Party Inspector	-	5,050.00	5,050.00	-	3,950.00	3,950.00
Special Inspection	-	1,920.00	1,920.00	-	2,632.00	2,632.00
Third Party Inspector Monitor	-	610.00	610.00	-	-	-
Decals/Insignia	-	137,903.32	137,903.32	-	177,819.75	177,819.75
Certification Inspection	-	21,023.42	21,023.42	-	27,547.81	27,547.81
Penalty	-	61,973.50	61,973.50	-	39,025.00	39,025.00
Installation Permits	-	2,700.00	2,700.00	-	3,225.00	3,225.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Industrialized Housing and Buildings</b>	<b>\$ -</b>	<b>\$ 437,268.24</b>	<b>\$ 437,268.24</b>	<b>\$ -</b>	<b>\$ 453,937.06</b>	<b>\$ 453,937.06</b>
<b>Legal Service Contracts</b>						
LSC License and Renewal	\$ -	\$ 485,809.00	\$ 485,809.00	\$ -	\$ 423,059.00	\$ 423,059.00
LSC Differential	-	378,943.96	378,943.96	-	335,921.62	335,921.62
LSC Penalty	-	-	-	-	(50.00)	(50.00)
LSC Trust Deposit	-	50,000.00	50,000.00	-	-	-
TexasOnline Subscription Fee	18,279.00	-	18,279.00	12,886.00	-	12,886.00
<b>Total, Legal Service Contracts</b>	<b>\$ 18,279.00</b>	<b>\$ 914,752.96</b>	<b>\$ 933,031.96</b>	<b>\$ 12,886.00</b>	<b>\$ 758,930.62</b>	<b>\$ 771,816.62</b>
<b>Licensed Court Interpreters</b>						
Application	\$ -	\$ 37,222.00	\$ 37,222.00	\$ -	\$ 33,595.00	\$ 33,595.00
Examination	-	2,300.00	2,300.00	-	36,400.00	36,400.00
LCI CE Provider Fee	-	5,350.00	5,350.00	-	6,100.00	6,100.00
Penalty	-	500.00	500.00	-	-	-
TexasOnline Subscription Fee	3,108.00	-	3,108.00	3,155.00	-	3,155.00
<b>Total, Licensed Court Interpreters</b>	<b>\$ 3,108.00</b>	<b>\$ 45,372.00</b>	<b>\$ 48,480.00</b>	<b>\$ 3,155.00</b>	<b>\$ 76,095.00</b>	<b>\$ 79,250.00</b>

## UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity  
 Month Ended August 31, 2010 and Year Ended August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
<b>Personnel Employment Services</b>						
Employment Agency License	\$ -	\$ 14,875.00	\$ 14,875.00	\$ -	\$ 14,575.00	\$ 14,575.00
Employment Agency Penalty	-	-	-	-	-	-
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Personnel Employment Services</b>	<b>\$ -</b>	<b>\$ 14,875.00</b>	<b>\$ 14,875.00</b>	<b>\$ -</b>	<b>\$ 14,575.00</b>	<b>\$ 14,575.00</b>
<b>Property Tax Professional License</b>						
Property Tax Professional License Fee	\$ -	\$ 259,057.50	259,057.50	\$ -	\$ -	\$ -
<b>Total, Property Tax Professional License</b>	<b>\$ -</b>	<b>\$ 259,057.50</b>	<b>\$ 259,057.50</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Property Tax Consultants</b>						
Private CE Provider Fee	\$ -	\$ 5,475.00	\$ 5,475.00	\$ -	\$ 6,600.00	\$ 6,600.00
Private Provider Course	-	-	-	-	-	-
Examination	-	900.00	900.00	-	7,050.00	7,050.00
License	-	127,655.50	127,655.50	-	120,799.50	120,799.50
Professional Fee	-	316,200.00	316,200.00	-	300,175.00	300,175.00
Penalty	-	(9,594.52)	(9,594.52)	-	11,500.00	11,500.00
TexasOnline Subscription Fee	2,865.00	-	2,865.00	3,150.00	-	3,150.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Property Tax Consultants</b>	<b>\$ 2,865.00</b>	<b>\$ 440,635.98</b>	<b>\$ 443,500.98</b>	<b>\$ 3,150.00</b>	<b>\$ 446,124.50</b>	<b>\$ 449,274.50</b>
<b>Polgraph Examiners</b>						
Polygraph Exam	\$ -	\$ 119,300.00	\$ 119,300.00	\$ -	\$ -	\$ -
<b>Total, Polygraph Examiners</b>	<b>\$ -</b>	<b>\$ 119,300.00</b>	<b>\$ 119,300.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Rental Purchase Agreements</b>						
Loss Damage Waiver Review	\$ -	\$ 18,450.00	\$ 18,450.00	\$ -	\$ 15,300.00	\$ 15,300.00
<b>Total, Rental Purchase Agreements</b>	<b>\$ -</b>	<b>\$ 18,450.00</b>	<b>\$ 18,450.00</b>	<b>\$ -</b>	<b>\$ 15,300.00</b>	<b>\$ 15,300.00</b>
<b>Service Contract Providers</b>						
Registration	\$ -	\$ 212,350.00	\$ 212,350.00	\$ -	\$ 164,000.00	\$ 164,000.00
Penalty	-	40,000.00	40,000.00	-	4,275.00	4,275.00
SCP Truct Account	-	50,000.00	50,000.00	-	-	-
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Service Contract Providers</b>	<b>\$ -</b>	<b>\$ 302,350.00</b>	<b>\$ 302,350.00</b>	<b>\$ -</b>	<b>\$ 168,275.00</b>	<b>\$ 168,275.00</b>
<b>Staff Leasing Services</b>						
Application Fee	\$ -	\$ 45,150.00	\$ 45,150.00	\$ -	\$ 49,800.00	\$ 49,800.00
License Fee	-	190,458.42	190,458.42	-	161,900.00	161,900.00
Penalty	-	11,750.00	11,750.00	-	3,750.00	3,750.00
Third Party Reimbursement	-	-	-	-	-	-
Third Party Reimbursement-Fingerprints	-	-	-	-	-	-
<b>Total, Staff Leasing Services</b>	<b>\$ -</b>	<b>\$ 247,358.42</b>	<b>\$ 247,358.42</b>	<b>\$ -</b>	<b>\$ 215,450.00</b>	<b>\$ 215,450.00</b>
<b>Talent Agencies</b>						
Talent Agents License Fee	\$ -	\$ 35,300.00	\$ 35,300.00	\$ -	\$ 34,425.00	\$ 34,425.00
Penalty	-	3,213.10	3,213.10	-	1,000.00	1,000.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Talent Agencies</b>	<b>\$ -</b>	<b>\$ 38,513.10</b>	<b>\$ 38,513.10</b>	<b>\$ -</b>	<b>\$ 35,425.00</b>	<b>\$ 35,425.00</b>
<b>Tow Truck</b>						
Tow Truck Companies	\$ 339.00	\$ 3,778,230.96	\$ 3,778,569.96	\$ -	\$ 3,536,157.81	\$ 3,536,157.81
Tow Truck Proof of Insurance	-	-	-	-	100.00	100.00
Penalty	-	291,170.51	291,170.51	-	68,435.00	68,435.00
Tow Truck Credit Card Convenience Fee	22,951.04	-	22,951.04	20,746.69	-	20,746.69
Tow Truck CE Provider Fee	-	16,320.00	16,320.00	-	4,700.00	4,700.00
Tow -VSF Dual Employee Fee	351.00	60,999.00	61,350.00	-	-	-
TexasOnline Subscription Fee	74,654.00	11.00	74,665.00	68,586.00	(6.00)	68,580.00
<b>Total, Tow Truck</b>	<b>\$ 98,295.04</b>	<b>\$ 4,146,731.47</b>	<b>\$ 4,245,026.51</b>	<b>\$ 89,332.69</b>	<b>\$ 3,609,386.81</b>	<b>\$ 3,698,719.50</b>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity  
 Year Ended August 31, 2010 with Comparative Totals for August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
<b>Vehicle Protection Product Warrantors</b>						
Registration Fee	\$ -	\$ 34,650.00	\$ 34,650.00	\$ -	\$ 30,800.00	\$ 30,800.00
Fines & Penalties	-	1,125.00	1,125.00	-	15,000.00	15,000.00
<b>Total, Vehicle Protection Products</b>	<b>\$ -</b>	<b>\$ 35,775.00</b>	<b>\$ 35,775.00</b>	<b>\$ -</b>	<b>\$ 45,800.00</b>	<b>\$ 45,800.00</b>
<b>Vehicle Storage Facilities</b>						
Registration Fee	\$ -	\$ 909,606.50	\$ 909,606.50	\$ -	\$ 923,186.00	\$ 923,186.00
Penalty	-	174,494.23	174,494.23	-	37,840.00	37,840.00
TexasOnline Subscription Fee	17,864.00	-	17,864.00	16,530.00	(6.00)	16,524.00
<b>Total, Vehicle Storage Facilities</b>	<b>\$ 17,864.00</b>	<b>\$ 1,084,100.73</b>	<b>\$ 1,101,964.73</b>	<b>\$ 16,530.00</b>	<b>\$ 961,020.00</b>	<b>\$ 977,550.00</b>
<b>Vehicle Booting</b>						
Vehicle Booting Fees	\$ -	\$ 3,766.00	\$ 3,766.00	\$ -	\$ -	\$ -
TexasOnline Subscription Fee	\$ 84.00	\$ -	\$ 84.00	\$ -	\$ -	\$ -
<b>Total, Vehicle Booting</b>	<b>\$ 84.00</b>	<b>\$ 3,766.00</b>	<b>\$ 3,850.00</b>			
<b>Water Well Drillers and Pump Installers</b>						
Application/Exam Fee	\$ -	\$ 19,460.00	\$ 19,460.00	\$ -	\$ 50,880.00	\$ 50,880.00
License Fee	-	38,757.55	38,757.55	-	13,365.00	13,365.00
WWE CE Provider Fees	-	6,600.00	6,600.00	-	7,450.00	7,450.00
Renewal Fee	-	460,955.00	460,955.00	-	462,272.50	462,272.50
Late Fee	-	-	-	-	-	-
Penalty	-	34,665.76	34,665.76	-	36,938.50	36,938.50
Variance	-	6,600.00	6,600.00	-	6,000.00	6,000.00
TexasOnline Subscription Fee	12,150.00	-	12,150.00	11,760.00	-	11,760.00
Third Party Reimbursement	-	-	-	-	-	-
<b>Total, Water Well Drillers and Pump Installers</b>	<b>\$ 12,150.00</b>	<b>\$ 567,038.31</b>	<b>\$ 579,188.31</b>	<b>\$ 11,760.00</b>	<b>\$ 576,906.00</b>	<b>\$ 588,666.00</b>
<b>Weather Modification</b>						
Weather Modification License	\$ -	\$ 5,200.00	\$ 5,200.00	\$ -	\$ 1,300.00	\$ 1,300.00
Weather Modification Permit	-	300.00	300.00	-	75.00	75.00
Weather Modification Interagency Agreement	-	-	-	-	-	-
Weather Modification Penalty	-	-	-	-	-	-
Rental Other	-	-	-	-	18,000.00	18,000.00
<b>Total, Weather Modification</b>	<b>\$ -</b>	<b>\$ 5,500.00</b>	<b>\$ 5,500.00</b>	<b>\$ -</b>	<b>\$ 19,375.00</b>	<b>\$ 19,375.00</b>
<b>Enforcement General Counsel</b>						
Third Party Reimbursements	\$ (1,326.10)	\$ -	\$ (1,326.10)	\$ 2,808.50	\$ -	\$ 2,808.50
<b>Totals, Administration Division</b>	<b>\$ (1,326.10)</b>	<b>\$ -</b>	<b>\$ (1,326.10)</b>	<b>\$ 2,808.50</b>	<b>\$ -</b>	<b>\$ 2,808.50</b>
<b>Information Services Division</b>						
Sale of Lists	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Public Information Requests	-	-	-	-	-	-
Interagency Services	10,882.00	-	10,882.00	18,634.00	-	18,634.00
<b>Totals, Information Services Division</b>	<b>\$ 10,882.00</b>	<b>\$ -</b>	<b>\$ 10,882.00</b>	<b>\$ 18,634.00</b>	<b>\$ -</b>	<b>\$ 18,634.00</b>
<b>Copies</b>	<b>\$ 112,149.76</b>	<b>\$ -</b>	<b>\$ 112,149.76</b>	<b>\$ 110,816.30</b>	<b>\$ -</b>	<b>\$ 110,816.30</b>
<b>Return Checks</b>	<b>\$ -</b>	<b>\$ 2,800.00</b>	<b>\$ 2,800.00</b>	<b>\$ -</b>	<b>\$ 3,825.00</b>	<b>\$ 3,825.00</b>

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity  
 Year Ended August 31, 2010 with Comparative Totals for August 31, 2009

	FY 2010 at August 31, 2010			FY 2009 at August 31, 2009		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Other Miscellaneous Governmental Revenue	\$ -	\$ 65,821.09	\$ 65,821.09	\$ -	\$ 107,855.42	\$ 107,855.42
State Sales Tax	-	32,221.02	32,221.02	-	55,685.02	55,685.02
Sales Tax	-	-	-	-	669.89	669.89
City Tax	-	5,210.66	5,210.66	-	9,072.77	9,072.77
MTA Tax	-	5,210.66	5,210.66	-	9,072.77	9,072.77
Distribution of Revenues Generated:						
Deposited into General Revenue Fund (Fund 0001)	1,483,602.65	36,271,339.12	37,754,941.77	2,397,869.87	34,212,996.53	36,610,866.40
Deposited into AERF (Fund 0898)	34,014.38	-	34,014.38	25,955.36	-	25,955.36
Deposited into Trust Fund (0846) LSC & SCP	100,000.00	-	100,000.00	-	-	-
<b>Total Revenue Generated</b>	<b>\$ 1,517,617.03</b>	<b>\$ 36,271,339.12</b>	<b>\$ 37,788,956.15</b>	<b>\$ 2,423,825.23</b>	<b>\$ 34,212,996.53</b>	<b>\$ 36,636,821.76</b>

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

The Texas Department of Licensing and Regulation’s (TDLR) enabling statute makes it the primary state agency responsible for the oversight of businesses, industries, general trades, and occupations that are regulated by the state and assigned to the department by the legislature. In fiscal year 2010 these regulatory areas included:

- Air Conditioning and Refrigeration
- Architectural Barriers
- Auctioneers
- Barbers
- Boilers
- Combative Sports (Boxing)
- Cosmetologists
- Electricians
- Elevators, Escalators, and Related Equipment
- Employers of Temporary Common Workers
- For-profit Legal Service Contracts
- Identity Recovery Service Contract Providers
- Industrialized Housing and Buildings
- Licensed Court Interpreters
- Loss Damage Waivers
- Personnel Employment Services
- Polygraph Examiners
- Property Tax Consultants
- Property Tax Professionals
- Service Contract Providers
- Staff Leasing Services
- Talent Agents
- Used Automotive Parts Recyclers
- Vehicle Protection Products
- Vehicle Storage Facilities
- Vehicle Towing and Booting
- Water Well Drillers
- Water Well Pump Installers
- Weather Modification

**Texas Commission of Licensing and Regulation**

TDLR’s governing body, the Texas Commission of Licensing and Regulation, is comprised of seven public members appointed by the Governor with the advice and consent of the Senate. Commission members serve six-year terms, meeting at least quarterly each year. The primary duties of the Commission are:

- guiding the development of agency’s strategic plan and approving the agency’s budget report and request for funding,
- providing policy direction for agency operations,
- reviewing the appropriateness of existing rules and adopting new rules as needed,
- issuing final orders in contested cases, and
- hiring, supervising, evaluating and setting the salary for the executive director.

**Commission members serving as of August 31, 2010**

<u>Name</u>	<u>Home Town</u>	<u>Term Expires</u> <u>February 1,</u>
Frank S. Denton (Chairman)	Conroe	2013
Mike Arismendez (Vice Chairman)	Shallowater	2015
LuAnn Morgan	Midland	2015
Fred N. Moses	Plano	2015
Deborah Yurco	Austin	2013
Lilian Norman-Keeney	Taylor Lake Village	2011
Lewis Benavides	Oak Point	2011

Because commissioners are prohibited by law from having a financial interest in any of TDLR’s regulated professions, members rely on the knowledge and experience of Advisory Board members from the industries we



**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

regulate. The following Advisory Board members are appointed by the Chairman with the approval of the Commission:

- Air Conditioning and Refrigeration Contractors Advisory Board
- Architectural Barriers Advisory Committee
- Auctioneer Education Advisory Board
- Advisory Board on Barbering
- Board of Boiler Rules
- Advisory Board on Cosmetology
- Electrical Safety and Licensing Advisory Board
- Elevator Advisory Board
- Licensed Court Interpreter Advisory Board
- Medical Advisory Committee
- Polygraph Examiners Advisory Committee
- Property Tax Consultants Advisory Council
- Property Tax Professional Advisory Committee
- Texas Industrialized Building Code Council – (Members of this board are appointed by the Governor)
- Towing, Storage and Booting Advisory Board
- Used Automotive Parts Recyclers Advisory Board
- Vehicle Protection Product Warrantor Advisory Board
- Water Well Drillers Advisory Council
- Weather Modification Advisory Committee

**Agency Organization in Fiscal Year 2010**

TDLR celebrated its 100<sup>th</sup> birthday and it continues perfecting its functionally aligned organizational structure while pursuing its vision of becoming the nation's premier state agency, an example for others to follow. TDLR continues to honor the public trust by regulating in a fair and consistent manner, fostering an efficient regulatory environment. In FY 2010, the agency is responsible for 29 statutes, 143 different license types with a licensed population of over 625,000.

TDLR has ten divisions organized along functional lines: Compliance, Customer Service, Education and Examination, Enforcement, Executive, Financial Services, General Counsel's Office, Human Resources, Information Systems Development, Licensing, and Network Services.

To address the ongoing increase in responsibilities and need for resources, the different functional areas within the agency were organized into two areas of responsibility. "Resource Management" includes the Financial Services, Human Resources and Network Systems and Maintenance functions. "Regulatory Affairs" encompasses the functions of Customer Service, Compliance, Education and Examination, Enforcement, Information Systems Development and Licensing. This structure allows the agency to more easily and logically consolidate the key functions of any new or transferred programs.

***The Agency is made up of the following functional areas:***

**Compliance** – The Compliance division provides technical oversight, expertise and training across each of TDLR's programs. The division serves as the point of contact for technical questions and concerns regarding compliance with regulations. Its work helps improve the quality and operations of businesses, industries and occupations throughout Texas.

**Customer Service**– The Customer Service section provides excellent customer service every day, ensuring the right information gets to the right people at the right time. The Customer Service section provides information to customers by telephone, email and in person from 8:00 am to 6:00 pm Monday through Friday.

**Education and Examination** – The Education and Examination division ensures that candidates for licensure satisfy pre-licensure education and examination requirements and that renewing licensees complete required continuing education courses. The section evaluates and approves schools and other pre-licensure education providers and courses; evaluates and approves continuing education providers, courses and their materials; provides technical support of education related matters; conducts audits of courses and providers; manages TDLR's online course completion database; and develops and maintains education website information. The examination section works with industry subject matter experts and advisory board members to review and update examination content;

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**ADDENDUM I**  
**ORGANIZATIONAL AND GENERAL COMMENTS**

assesses the effectiveness of examinations by coordinating with examination vendors; provides information to schools and instructors regarding the examination process; and ensures that only eligible applicants take an examination.

**Enforcement** – The Enforcement division is responsible for investigating and resolving complaints in all 29 statutes regulated by TDLR. Complaints are received from a variety of sources – consumers, industry municipal offices, as well as other divisions within the agency, primarily the Compliance and Licensing divisions. Complaints are also generated directly by Enforcement personnel, through proactive field operations designed to uncover evidence of unlicensed activity and other violations.

**Executive Director's Office** – The Office of the Executive Director provides the leadership and motivation for achieving the agency's strategic vision, manages the day-to-day operation of the agency, implements Commission objectives, interacts with state and legislative leadership, communicates with the public through press releases and social media tools and ensures agency compliance with statewide goals. The Executive Offices' success is guided by a respect-based management philosophy and a commitment to open communication with our employees and the customers we serve – the people of Texas.

**Financial Services** – The Financial Services division manages the agency's fiscal resources in compliance with state and federal laws. The division develops the legislative appropriation requests, monitors TDLR's annual budget and prepares all fiscal reports for the agency. The division ensures compliance with various spending limits, such as the out-of-state travel expenditure cap, and monitors purchasing and capital asset requirements.

**General Counsel** – The Office of the General Counsel oversees all legal aspects of agency operations. It employs a common sense approach by ensuring that agency rules, contracts and other regulatory activities are fair and consistent. This approach effectively protects the people of Texas, fosters an efficient regulatory environment and minimizes the risk of litigation against the state, the agency and its Commissioners and employees. Specifically, the General Counsel's office is responsible for rule review and rulemaking, responding to Open Records requests, supporting advisory boards and the Commission, and drafting contracts and legal opinions for the agency and the twenty-three statutes it administers.

**Human Resources** – The Human Resources Management Office supports TDLR by providing a healthy, positive and equitable work environment conducive to attracting, developing and retaining qualified and dedicated employees. Focusing on quality service to employees, the office provides support in recruitment and hiring; training and career development; employee benefits; developing and maintaining personnel policies and procedures to ensure compliance with state and federal law; compensation and related matters; employee relations; performance management; workforce planning; detection and prevention of employee fraud; and representation on personnel matters.

**Network Services** – The Network Services section supports the agency's vision and mission by planning, installing and maintaining the software and hardware components for the agency's network; and through TDLR's webmaster, is primarily responsible for supporting TDLR's website.

**Information Systems Development** – The Information Systems Development section creates and maintains custom licensing, permitting and internet software that provides a common platform tailored to the precise needs of each agency program.

**Licensing Division** – The Licensing division issues licenses, registrations, permits and certifications to qualified applicants. The division's application evaluation process includes criminal background checks, education and credential verification, financial security and on-the-job experience.

**Key Personnel as of August 31, 2010**

<u>Name</u>	<u>Position</u>
William H. Kuntz, Jr.	Executive Director
Brian E. Francis	Deputy Executive Director
Dianne Casey	Assistant Deputy Executive Director
Brad Bowman	General Counsel
Glen Bridge	Director of Information Systems Development
Jerry Daniels	Director of Financial Services

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

Don Dudley	Director of Education and Examination
George Ferrie	Director of Compliance
Sharon Homoya	Personnel Attorney
Christina Kaiser	Director of Enforcement
Gene Mays	Director of Customer Service
Dede McEachern	Director of Licensing
Simon Skedd	Director of Network Services

**Agency Make-up**

In fiscal year 2010, TDLR was authorized 401.7 full-time equivalent (FTE) positions. Of that number, 327.7 are located in Austin and 74 are assigned to locations throughout the state.

**TDLR Highlights and Accomplishments**

Throughout fiscal year 2010 TDLR worked to integrate the addition of new programs and the expansion of existing programs, as assigned by the 81st Legislature.

Two new programs were assigned to TDLR to regulate because their prior agencies were dissolved. The duties of former Board of Tax Professionals to regulate property tax professionals and the former Board of Polygraph Examiners to regulate polygraph examiners were assigned to TDLR.

The regulation of Used Automotive Parts Recyclers, previously performed by TxDOT, was assigned to TDLR.

A previously unregulated profession, Identity Recovery Service Contract Providers, was assigned to TDLR while a new requirement for all licensing agencies, to issue criminal background pre-determination letters to potential license applicants, was added as well.

Each of these programs have been integrated into TDLR's functional alignment system and are running well.

At the end of FY 2010, the department saw record numbers in the following areas:

- Total licensee population of 636,182
- Total complaints received of 11,999
- 128,550 inspections completed
- 335,667 phone calls answered
- 353,187 pieces of mail opened, batched and processed
- Donations to the State Employee Charitable Campaign totaling \$26,391.51
- 734 open records requests processed

# Texas Department of Licensing and Regulation

