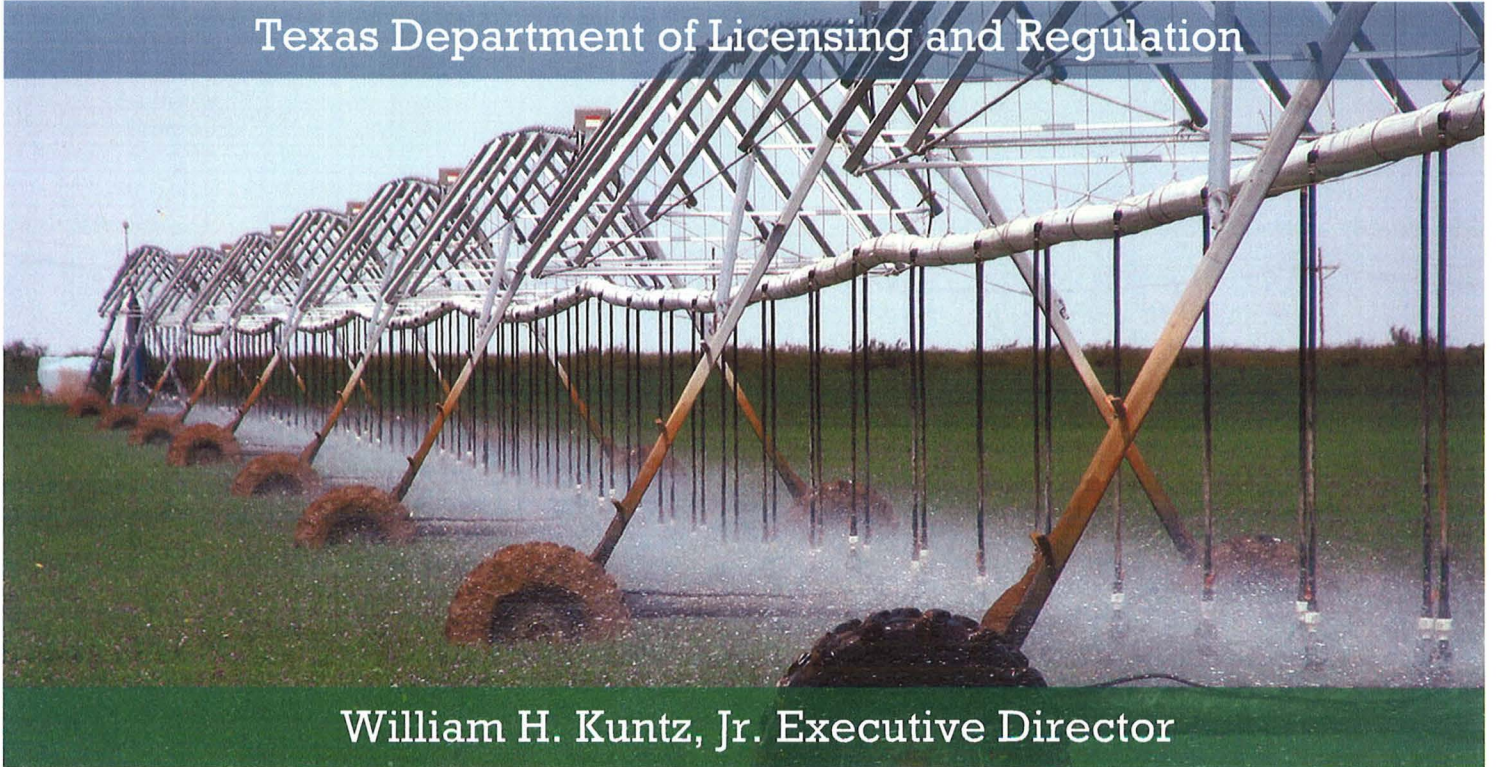


2012

Texas Department of Licensing and Regulation

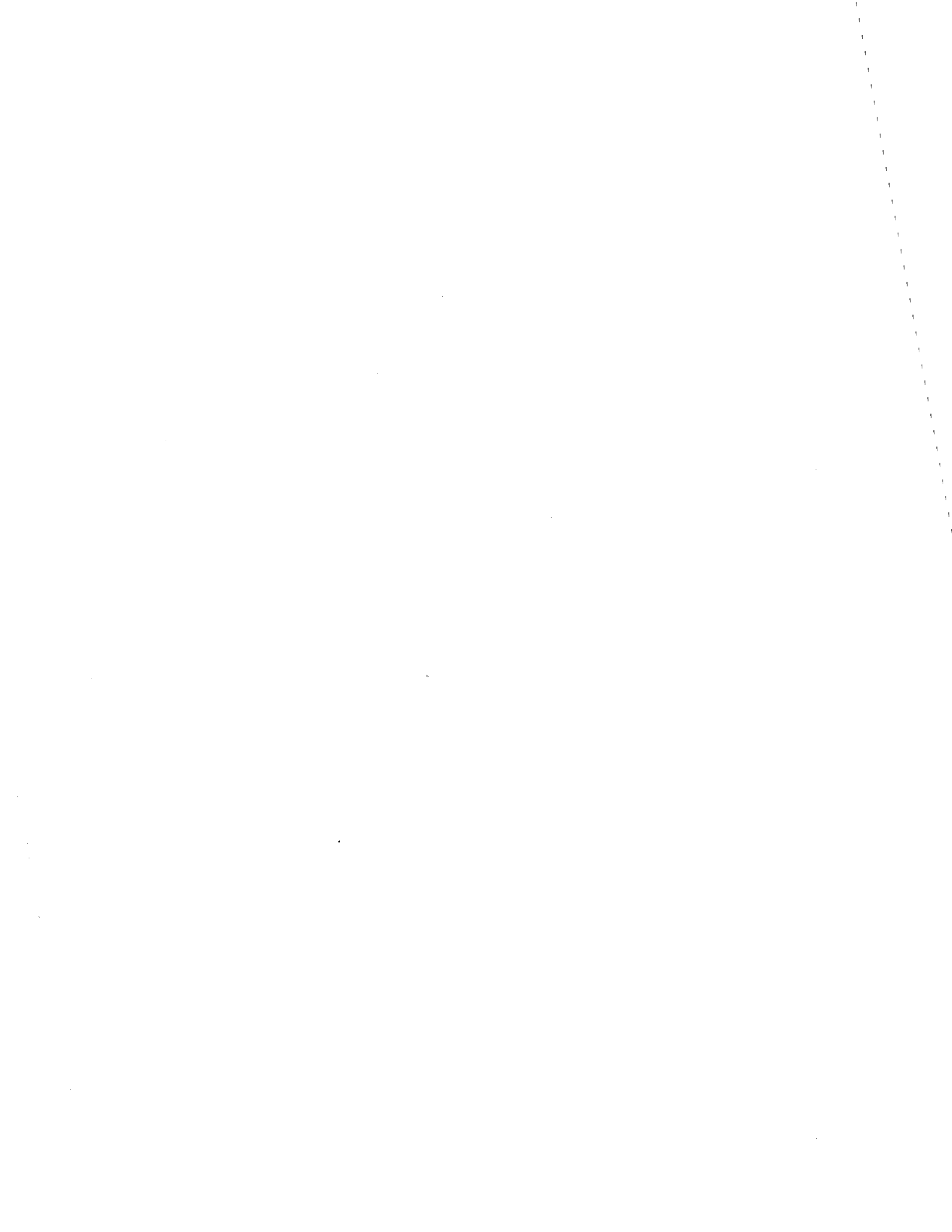


William H. Kuntz, Jr. Executive Director

Annual Financial Report

for the year ended August 31, 2012







TEXAS DEPARTMENT OF LICENSING AND REGULATION

P.O. Box 12157, Capitol Station • Austin, Texas 78711
512-463-3173 • fax 512-475-2874 • www.license.state.tx.us

November 16, 2012

Honorable Rick Perry, Governor
Honorable Susan Combs, Texas Comptroller
Ursula Parks, Acting Director, Legislative Budget Board
John Keel, CPA, State Auditor

Ladies and Gentlemen:

We are pleased to submit the annual financial report of the Texas Department of Licensing & Regulation for the year ended August 31, 2012, in compliance with Texas Government Code Annotated, Section 2101.011, and in accordance with the requirements established by the Comptroller of Public Accounts.

Due to the statewide requirements embedded in Governmental Accounting Standards Board (GASB) Statement No. 34, *Basic Financial Statements – and Management's Discussion and Analysis – for State and Local Governments*, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report will be considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report (CAFR)*; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Jerry Daniels, Director of Financial Services, at (512) 463-3100.

Sincerely,

A handwritten signature in black ink, appearing to read "William H. Kuntz".

William H. Kuntz
Executive Director

Frank S. Denton, Chair – Conroe, Texas

Mike Arismendez – Shallowater, Texas

LuAnn Morgan – Midland, Texas

Fred Moses – Plano, Texas

Lilian Norman-Keeney – Taylor Lake Village, Texas

Ravi Shah, Carrollton, Texas

Deborah A. Yurco – Austin, Texas

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit I - Combined Balance Sheet/Statement of Net Assets - Governmental Funds
August 31, 2012

	Governmental Fund Types	
	General Funds (Ex A-1)	Governmental Funds Total
ASSETS		
Current Assets:		
Cash		
Cash On Hand	\$ 200.00	\$ 200.00
Cash In State Treasury	311,167.86	311,167.86
Legislative Appropriations	3,812,933.56	3,812,933.56
Due from Other Funds	7,923.45	7,923.45
Consumable Inventories	170,303.09	170,303.09
Total Current Assets	4,302,527.96	4,302,527.96
Non-Current Assets:		
Capital Assets (Note 2):		
Depreciable:		
Furniture and Equipment	-	-
Less Accumulated Depreciation	-	-
Vehicles, Boats and Aircraft	-	-
Less Accumulated Depreciation	-	-
Buildings and Bldg Improvements	-	-
Less Accumulated Depreciation	-	-
Computer Software - Intangible	-	-
Less Accumulated Amortized	-	-
Total Non-Current Assets	-	-
Total Assets	\$ 4,302,527.96	\$ 4,302,527.96
LIABILITIES AND FUND BALANCES		
Liabilities		
Current Liabilities:		
Payables from:		
Accounts	\$ 711,487.10	\$ 711,487.10
Payroll	1,861,097.85	1,861,097.85
Funds Held for Others	-	-
Employees' Compensable Leave (Note 4)	-	-
Total Current Liabilities	2,572,584.95	2,572,584.95
Non-Current Liabilities		
Employees' Compensable Leave (Note 4)	-	-
Total Non-Current Liabilities	-	-
Total Liabilities	2,572,584.95	2,572,584.95

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Net Assets
\$ -	\$ -	\$ 200.00
-	-	311,167.86
-	-	3,812,933.56
-	-	7,923.45
-	-	170,303.09
-	-	4,302,527.96
470,164.59	-	470,164.59
(331,186.57)	-	(331,186.57)
86,760.94	-	86,760.94
(50,782.76)	-	(50,782.76)
194,131.57	-	194,131.57
(184,424.99)	-	(184,424.99)
30,041.73	-	30,041.73
(30,041.73)	-	(30,041.73)
184,662.78	-	184,662.78
\$ 184,662.78	\$ -	\$ 4,487,190.74
\$ -	\$ -	\$ 711,487.10
-	-	1,861,097.85
-	-	-
-	1,183,811.34	1,183,811.34
-	1,183,811.34	3,756,396.29
-	818,207.14	818,207.14
-	818,207.14	818,207.14
-	2,002,018.48	4,574,603.43

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit I - Combined Balance Sheet/Statement of Net Assets - Governmental Funds
August 31, 2012

	Governmental Fund Types	
	General Funds (Ex A-1)	Governmental Funds Total
Fund Financial Statement		
Fund Balances (Deficits):		
Nonspendable for:		
Inventories	170,303.09	170,303.09
Committed	221,342.96	221,342.96
Assigned	89,824.90	89,824.90
Unassigned	1,248,472.06	1,248,472.06
Total Fund Balances	1,729,943.01	1,729,943.01
 Total Liabilities and Fund Balances	\$ 4,302,527.96	\$ 4,302,527.96
 Government-Wide Statement of Net Assets		
Net Assets		
Invested in Capital Assets, net of Related Debt		
Unrestricted		
Total Net Assets		

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Net Assets
-	-	170,303.09
-	-	221,342.96
-	-	89,824.90
-	-	1,248,472.06
-	-	1,729,943.01
\$ -	\$ 2,002,018.48	\$ 6,304,546.44
\$ 184,662.78	\$ -	\$ 184,662.78
-	(2,002,018.48)	(2,002,018.48)
\$ 184,662.78	\$ (2,002,018.48)	\$ (87,412.69)

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit II - Combined Statement of Revenues, Expenditures and
Changes in Fund Balances/Statement of Activities - Governmental Funds
For the Fiscal Year Ended August 31, 2012

	General Funds	Governmental Funds Total
REVENUES		
Legislative Appropriations		
Original Appropriations (GR)	\$ 22,372,199.00	\$ 22,372,199.00
Additional Appropriations (GR)	4,461,619.49	4,461,619.49
Federal Revenue (PR - Operating or Capital)	-	-
Taxes (GR)	30,000.00	30,000.00
License, Fees & Permits (PR)	447,219.21	447,219.21
Sales of Goods and Services (PR)	798,867.01	798,867.01
Other (GR)	52,590.00	52,590.00
Total Revenues	\$ 28,162,494.71	\$ 28,162,494.71
EXPENDITURES		
Salaries and Wages	\$ 18,355,275.63	\$ 18,355,275.63
Payroll Related Costs	4,584,918.55	4,584,918.55
Professional Fees and Services	475,575.96	475,575.96
Travel	787,722.23	787,722.23
Materials and Supplies	521,943.92	521,943.92
Communication and Utilities	338,267.88	338,267.88
Repairs and Maintenance	228,469.24	228,469.24
Rentals and Leases	599,425.00	599,425.00
Printing and Reproduction	263,096.54	263,096.54
Claims and Judgements	12,564.10	12,564.10
Intergovernmental Payments	-	-
Other Expenditures	1,311,651.26	1,311,651.26
Debt Service-Principal-Capital Leases	-	-
Capital Outlay	35,550.65	35,550.65
Depreciation Expense	-	-
Other Capital Financing Sources/Uses	-	-
Total Expenditures/Expenses	\$ 27,514,460.96	\$ 27,514,460.96
Excess (Deficiency) of Revenues over Expenditures	\$ 648,033.75	\$ 648,033.75
OTHER FINANCING SOURCES (USES)		
Net Change in Inventories	\$ -	\$ -
Transfers Out	-	-
Legislative Transfers In	115,112.06	115,112.06
Legislative Transfers Out	-	-
Total Other Financing Sources (Uses)	\$ 115,112.06	\$ 115,112.06
Net Change in Fund Balances/Net Assets	\$ 763,145.81	\$ 763,145.81

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<u>Capital Assets Adjustments</u>	<u>Long-Term Liabilities Adjustments</u>	<u>Statement of Activities</u>
\$ -	\$ -	\$ 22,372,199.00 4,461,619.49 - 30,000.00 447,219.21 798,867.01 52,590.00
<u>\$ -</u>	<u>\$ -</u>	<u>\$ 28,162,494.71</u>
	\$ 44,998.51	\$ 18,400,274.14 4,584,918.55 475,575.96 787,722.23 559,172.99 338,267.88 228,469.24 599,425.00 263,096.54 12,564.10 - 1,311,651.26 - -
\$ 37,229.07		-
(35,550.65)		-
\$ 81,941.89		-
\$ -		-
<u>\$ 83,620.31</u>	<u>\$ 44,998.51</u>	<u>\$ 27,561,137.89</u>
<u>\$ (83,620.31)</u>	<u>\$ (44,998.51)</u>	<u>\$ 601,356.82</u>
\$ 37,229.07	\$ -	\$ 37,229.07
-	-	-
-	-	115,112.06
-	-	-
<u>\$ 37,229.07</u>	<u>\$ -</u>	<u>\$ 152,341.13</u>
<u>\$ (46,391.24)</u>	<u>\$ (44,998.51)</u>	<u>\$ 753,697.95</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit II - Combined Statement of Revenues, Expenditures and
Changes in Fund Balances/Statement of Activities - Governmental Funds
For the Fiscal Year Ended August 31, 2012

	<u>General Funds</u>	<u>Governmental Funds Total</u>
Fund Financial Statement - Fund Balances		
Fund Balances - September 1, 2011	\$ 2,198,140.68	\$ 2,198,140.68
Restatements	(14,797.48)	(14,797.48)
Appropriations Lapsed	(1,216,546.00)	(1,216,546.00)
Fund Balances, August 31, 2012	<u>\$ 1,729,943.01</u>	<u>\$ 1,729,943.01</u>

Government-Wide Statement of Net Assets

Net Assets/Net Change in Net Assets

Net Assets, Beginning

Net Assets as of August 31, 2012

Agency Total

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Activities
		\$ 2,198,140.68
		(14,797.48)
		(1,216,546.00)
		\$ 1,729,943.01
\$ (46,391.24)	\$ (44,998.51)	\$ (91,389.75)
\$ 231,054.02	\$ (1,957,019.97)	\$ (1,725,965.95)
\$ 184,662.78	\$ (2,002,018.48)	\$ (1,817,355.70)
		\$ (87,412.69)

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit VI - Combined Statement of Net Assets - Fiduciary Funds
August 31, 2012

	Private-Purpose Trust Funds (Exhibit I-1)	Agency Funds (Exhibit J-1)	Totals
ASSETS			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 740,838.16	\$ 5,371.00	\$ 746,209.16
Total Assets	<u>\$ 740,838.16</u>	<u>\$ 5,371.00</u>	<u>\$ 746,209.16</u>
LIABILITIES			
Current Liabilities			
Funds Held For Others	\$ -	\$ 5,371.00	\$ 5,371.00
Total Liabilities	<u>\$ -</u>	<u>\$ 5,371.00</u>	<u>\$ 5,371.00</u>
NET ASSETS			
Held in Trust For			
Individuals, Organizations, and Other Governments			
Expendable	\$ 387,873.66	\$ -	\$ 387,873.66
Non-Expendable	352,964.50	-	352,964.50
Total Net Assets	<u>\$ 740,838.16</u>	<u>\$ -</u>	<u>\$ 740,838.16</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit VII - Combined Statement of Changes in Fiduciary Net Assets
For the Fiscal Year Ended August 31, 2012

	Private-Purpose Trust Funds (Exhibit I-2)	Totals
Additions		
Investment Income		
From Investing Activities:		
Interest and Investment Income	\$ 2,485.59	\$ 2,485.59
Total Investing Income (Loss)	\$ 2,485.59	\$ 2,485.59
Net Income from Investing Activities	\$ 2,485.59	\$ 2,485.59
Total Net Investment Income (Loss)	\$ 2,485.59	\$ 2,485.59
Other Additions		
Other Revenue	\$ (19,301.07)	\$ (19,301.07)
Total Other Additions	\$ (19,301.07)	\$ (19,301.07)
Total Additions	\$ (16,815.48)	\$ (16,815.48)
Deductions		
Salaries and Wages	\$ 25,076.84	\$ 25,076.84
Payroll Related Costs	5,046.01	5,046.01
Settlement of Claims	51,182.73	51,182.73
Other Expense	\$ 8,955.01	\$ 8,955.01
Total Deductions	\$ 90,260.59	\$ 90,260.59
Net Increase (Decrease)	\$ (107,076.07)	\$ (107,076.07)
Net Assets - September 1, 2011	\$ 847,914.23	\$ 847,914.23
Net Assets - August 31, 2012	\$ 740,838.16	\$ 740,838.16

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452) NOTES
TO THE FINANCIAL STATEMENTS

NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Entity

The Texas Department of Licensing and Regulation is an agency of the State of Texas and its financial records comply with state statutes and regulations. This includes compliance with the Texas Comptroller of Public Accounts' *Reporting Requirements for Annual Financial Reports of State Agencies and Universities*.

The Texas Department of Licensing and Regulation was created by the 71st Legislature in House Bill 863 and operates under the authority of Texas Occupations Code, Chapter 51. The mission of the Department is to maintain public trust by ensuring the public's safety and promoting a fair and competitive business environment for our regulated industries.

Due to the statewide requirements embedded in GASB Statement No. 34, *Basic Financial Statements -and Management's Discussion and Analysis -for State and Local Governments*, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report is considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report*; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

Blended Component Units

The Texas Department of Licensing and Regulation does not have any blended component units.

Discretely Presented Component Units

The Texas Department of Licensing and Regulation does not have any discretely presented component units.

Fund Structure

The accompanying financial statements are presented on the basis of funds, each of which is considered a separate accounting entity.

Governmental Fund Types and Government-wide Adjustment Fund Types

General Revenue Funds The general revenue fund (fund 0001) is used to account for all financial resources of the state except those required to be accounted for in another fund.

Capital Assets Adjustment Fund Type The capital asset adjustment fund (fund 9998) is used to convert governmental fund types' capital assets from modified accrual to full accrual.

Long-Term Liabilities Adjustment Fund Type The long-term liabilities adjustment fund (fund 9997) is used to convert governmental fund types' debt from modified accrual to full accrual.

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Fiduciary Fund Types

Fiduciary funds account for assets held by the state in a trustee capacity or as an agent for individuals, private organizations, other governmental units, and/or other funds. When assets are held under the terms of a formal trust agreement, either a pension trust fund or a private purpose trust fund is used.

Agency Funds

Agency funds are used to account for assets the government holds on behalf of others in a purely custodial capacity. Agency funds involve only the receipt, temporary investment, and remittance of fiduciary resources to individuals, private organizations, or other governments.

The child support employee deductions offset account (fund 0807) temporarily holds money withheld from the salaries of state employees for child support deductions subsequently distributed through the statewide clearing house.

Departmental suspense (fund 0900) provides a temporary depository for money held in suspense pending fund disposition. Items held in the fund are cleared to the various special funds or the general revenue fund, or are returned to the payer.

Private-Purpose Trust Funds

Private-Purpose Trust Funds are used to account for all other trust arrangements whose principal and interest benefit individuals, private organizations, or other governments.

The service contract providers securities trust account (fund 0846) holds financial security deposits required to be paid by service contract providers.

The auctioneer education and recovery trust fund (fund 0898) holds funds collected as additional fees from licensed auctioneers for payment of claims against licensed auctioneers and for education of auctioneers and promotion of the profession.

Basis of Accounting

The basis of accounting determines when revenues and expenditures or expenses are recognized in the accounts reported in the financial statements. The accounting and financial reporting treatment applied to a fund is determined by its measurement focus.

Governmental fund types that build the fund financial statements are accounted for using the modified accrual method basis of accounting. Under the modified accrual, revenues are recognized in the period in which they become both measurable and available to finance operations of the fiscal year or liquidate liabilities existing at fiscal year-end.

The State of Texas considers receivables collected within sixty (60) days after year-end to be available and recognizes them as revenues of the current year for fund financial statements prepared on the modified accrual basis. Expenditures and other uses of financial resources are recognized when the related liability is incurred.

Governmental adjustment fund types that will build the government-wide financial statements are accounted for using the full accrual method of accounting. The following activities are recognized in these fund types: Capital assets, Accumulated depreciation, Unpaid employee compensable leave, The unmatured debt service (principal and interest) on general long-term liabilities, Long-term capital leases, Long-term claims and judgments, and Full accrual revenues and expenses.

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Private-purpose trust funds are accounted for on the full accrual basis of accounting. Under the full accrual basis of accounting, revenues are recognized when earned and expenses are recognized at the time liabilities are incurred.

Budget and Budgetary Accounting

The budget is prepared biennially and represents appropriations authorized by the legislature and approved by the governor (the General Appropriations Act).

Unencumbered appropriations are generally subject to lapse 60 days after the end of the fiscal year for which they were appropriated.

Assets, Liabilities, and Fund Balances/Net Assets

Assets

Cash and Cash Equivalents

Short-term highly liquid investments with an original maturity of three months or less are considered cash equivalents.

Restricted Assets

Restricted assets include monies or other resources restricted by legal or contractual requirements. These assets include proceeds of enterprise fund general obligation and revenue bonds and revenues set aside for statutory or contractual requirements.

Inventories

Inventories include both merchandise inventories on hand for sale and consumable inventories. Inventories are valued at cost, generally utilizing the first-in, first-out method. The consumption method of accounting is used to account for inventories that appear in the governmental fund types. The cost of these items is expensed when the items are consumed.

Capital Assets

Assets with an initial, individual cost of more than \$5,000 and an estimated useful life in excess of one year are capitalized. These assets are capitalized at cost or, if not purchased, at appraised fair value as of the date of acquisition. Purchases of assets by governmental funds are reported as expenditures. Depreciation is reported on all "exhaustible" assets. Assets are depreciated over the estimated useful life of the asset using the straight-line method.

Liabilities

Accounts Payable

Accounts payable represents the liability for the value of assets or services received at the balance sheet date for which payment is pending.

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Employees' Compensable Leave Balances

Employees' compensable leave balances represent the liability that becomes "due" upon the occurrence of relevant events such as resignations, retirements, and uses of leave balances by covered employees.

Liabilities are reported separately as either current or noncurrent in the statement of net assets. These obligations are normally paid from the same funding source from which each employee's salary or wage compensation was paid.

Fund Balance/Net Assets

The difference between fund assets and liabilities is "net assets" on the government-wide and fiduciary fund statements, and the "fund balance" is the difference between fund assets and liabilities on the governmental fund statements.

Fund Balance Components

Fund balances for governmental funds are classified as nonspendable, restricted, committed, assigned or unassigned in the fund financial statements.

- **Nonspendable fund balance** includes amounts not available to be spent because they are either (1) not in spendable form or (2) legally or contractually required to be maintained intact.
- **Restricted fund balance** includes those resources that have constraints placed on their use through external parties or by law through constitutional provisions.
- **Committed fund balance** can be used only for specific purposes pursuant to constraints imposed by a formal action of the Texas Legislature, the state's highest level of decision making authority.
- **Assigned fund balance** includes amounts constrained by the state's intent to be used for specific purposes, but are neither restricted nor committed. Intent is expressed by (1) the Texas Legislature or (2) a body (for example, a budget or finance committee) or official to which the governing body has delegated the authority to assign amounts to be used for specific purposes.
- **Unassigned fund balance** is the residual classification for the general fund. This classification represents fund balance that was not assigned to other funds and was not restricted, committed or assigned to specific purposes within the general fund.

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Invested in Capital Assets, Net of Related Debt

Invested in capital assets, net of related debt consists of capital assets, net of accumulated depreciation and reduced by outstanding balances for bond, notes and other debt that are attributed to the acquisition, construction, or improvement of those assets.

Restricted Net Assets

Restricted net assets result when constraints placed on net asset use are either externally imposed by creditors, grantors, contributors, and the like, or imposed by law through constitutional provisions or enabling legislation.

Unrestricted Net Assets

Unrestricted net assets consist of net assets that do not meet the definition of the two preceding categories. Unrestricted net assets often have constraints on resources, which are imposed by management but can be removed or modified.

INTERFUND ACTIVITIES AND BALANCES

The agency has the following types of transactions between funds:

- 1) Transfers: Legally required transfers that are reported when incurred as "transfers in" by the recipient fund and as "transfers out" by the disbursing fund.
- 2) Reimbursements: Reimbursements are repayments from funds responsible for expenditures or expenses to funds that made the actual payment. Reimbursements of expenditures made by one fund for another are recorded as expenditures in the reimbursing fund and as a reduction of expenditures in the reimbursed fund. Reimbursements are not displayed in the financial statements.

The composition of the agency's interfund activities and balances are presented in Note 12.

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NOTE 2: CAPITAL ASSETS

A summary of changes in Capital Assets for the year ended August 31, 2012 is presented below:

	PRIMARY GOVERNMENT						Balance 08/31/12
	Balance 09/01/11	Adjustments	Reclassifications Inc-Int'agy Trans	Reclassifications Dec-Int'agy Trans	Additions	Deletions	
GOVERNMENTAL ACTIVITIES							
Depreciable Assets							
Buildings and Building Improvements	194,131.57	-	-	-	-	-	194,131.57
Furniture and Equipment	562,588.22	-	-	-	35,550.65	(127,974.28)	470,164.59
Vehicle, Boats and Aircraft	86,760.94	-	-	-	-	-	86,760.94
Total Depreciable Assets	843,480.73	0	0	0	35,550.65	(127,974.28)	751,057.10
Less Accumulated Depreciation for:							
Buildings and Building Improvements	(155,304.96)	-	-	-	(29,120.03)	-	(184,424.99)
Furniture and Equipment	(414,641.55)	-	-	-	(44,519.30)	127,974.28	(331,186.57)
Vehicles, Boats and Aircraft	(42,480.20)	-	-	-	(8,302.56)	-	(50,782.76)
Total Accumulated Depreciation	(612,426.71)	0	0	0	(81,941.89)	127,974.28	(566,394.32)
Depreciable Assets, Net	231,054.02	0	0	0	(46,391.24)	0	184,662.78
Amortizable Assets - Intangible							
Computer Software	30,041.73						30,041.73
Total Amortizable Assets - Intangible	30,041.73	0	0	0	0	0	30,041.73
Less Accumulated Amortization for:							
Computer Software	(30,041.73)	-	-	-	-	-	(30,041.73)
Total Accumulated Amortization	(30,041.73)	0	0	0	0	0	(30,041.73)
Amortizable Assets - Intangible, Net	0	0	0	0	0	0	0
Governmental Activities Capital Assets, Net	231,054.02	0	0	0	(46,391.24)	0	184,662.78

NOTE 3: DEPOSITS, INVESTMENTS AND REPURCHASE AGREEMENTS

Not applicable

NOTE 4: SHORT-TERM LIABILITIES

Not applicable

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NOTE 5: LONG TERM LIABILITIES

Changes In Long-Term Liabilities During the year ended August 31, 2012, the following changes occurred in liabilities.

Governmental Activities	Balance 9/1/2011	Additions	Deductions	Balance 8/31/2012	Amount Due Within 1 Year
Compensable Leave	1,957,019.97	1,671,148.43	(1,626,149.92)	2,002,018.48	1,183,811.34
Total Gov't Activities	1,957,019.97	1,671,148.43	(1,626,149.92)	2,002,018.48	1,183,811.34

Notes and Loans Payable: The agency did not have any notes or loans payable as of August 31, 2012.

Employees' Compensable Leave If a state employee has had continuous employment with the state for at least six months, the state employee is entitled to be paid for all unused vacation time accrued in the event of the employee's resignation, dismissal, or separation from state employment.

Expenditures for accumulated annual leave balances are recognized in the period paid or taken in governmental fund types. For these fund types, the liability for unpaid benefits is recorded in the statement of net assets. No liability is recorded for non-vesting employees accumulating rights to receive sick pay benefits. This obligation is usually paid from the same funding source(s) from which the employee's salary or wage compensation was paid.

NOTE 6: BONDED INDEBTEDNESS

Not applicable

NOTE 7: CAPITAL LEASES

Not applicable

NOTE 8: OPERATING LEASES

Not applicable

NOTE 9: RETIREMENT PLANS

Not applicable

NOTE 10: DEFERRED COMPENSATION

Not applicable

NOTE 11: POSTEMPLOYMENT HEALTH CARE AND LIFE INSURANCE BENEFITS

Not applicable

UNAUDITED

NOTE 12: INTERFUND ACTIVITIES AND TRANSACTIONS

The Texas Department of Licensing and Regulation experienced routine transfers with other state agencies that were consistent with the activities of the fund making the transfer. Repayment of interagency balances will occur within one year from the date of the financial statement.

Individual balances and activity at August 31, 2012 follows:

Due From/Due to	Due From Other Agencies	Due To Other Agencies	Source
General Revenue, Dedicated (01)			
Appd Fund 0099, D23 Fund 0990			
Agency 405, Fund 0099	7,923.45		Transfer
Total Due From/To Other Agencies	7,923.45		
Operating Transfers	Transfers In	Transfers Out	Purpose
General Revenue, Dedicated (01)			
Appd Fund 0099, D23 Fund 0990			
Agency 405, Fund 0099	115,112.06		SB 1005, 81st Leg, RS
Total Transfers	115,112.06	-	

NOTE 13: CONTINUANCE SUBJECT TO REVIEW

Under the Texas Sunset Act, the Texas Department of Licensing and Regulation will be abolished effective September 1, 2019, unless continued in existence by the 85th Legislature as provided by the Act. If abolished, the agency may continue until September 1, 2020, to close out its operations.

NOTE 14: ADJUSTMENTS TO FUND BALANCES AND NET ASSETS

The restatement of (\$14,797.48) to the Beginning Fund Balance eliminates BRP Appropriation Adjustments previously carried forward from AY 2005 – (\$12,611.33), from AY 2006 – \$0.59, and from AY 2009 – (\$2,186.74) on the agency’s General Revenue Reconciliation.

NOTE 15: CONTINGENT LIABILITIES

Not applicable

NOTE 16: SUBSEQUENT EVENTS

Not applicable

NOTE 17: RISK MANAGEMENT

Not applicable

UNAUDITED

NOTE 18: MANAGEMENT DISCUSSION AND ANALYSIS

Not applicable

NOTE 19: THE FINANCIAL REPORTING ENTITY

Not applicable

NOTE 20: STEWARDSHIP, COMPLIANCE AND ACCOUNTABILITY

Not applicable

NOTE 21: N/A

Not applicable to the AFR reporting requirement process

NOTE 22: DONOR RESTRICTED ENDOWMENTS

Not applicable

NOTE 23: EXTRAORDINARY AND SPECIAL ITEMS

Not applicable

NOTE 24: DISAGGREGATION OF RECEIVABLE AND PAYABLE BALANCES

Not applicable

NOTE 25: TERMINATION BENEFITS

Not applicable

NOTE 26: SEGMENT INFORMATION

Not applicable

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Exhibit A-1 - Combining Balance Sheet - All General and Consolidated Funds
 August 31, 2012

	<u>General</u> <u>Fund 1</u> <u>(0001)</u> <u>U/F (0001)</u>	<u>Operators and</u> <u>Chauffeurs License</u> <u>Account</u> <u>Fund 1</u> <u>(0990)</u> <u>U/F (0099)</u>	<u>Beauty School</u> <u>Tuition Protection</u> <u>Account</u> <u>Fund 1</u> <u>(0108)</u> <u>U/F (0108)</u>	<u>Barber School</u> <u>Tuition Protection</u> <u>Account</u> <u>Fund 1</u> <u>(5081)</u> <u>U/F (5081)</u>
ASSETS				
Current Assets:				
Cash				
Cash On Hand	\$ 200.00	\$ -	\$ -	\$ -
Cash In State Treasury	-	-	195,959.55	25,383.41
Legislative Appropriations	3,812,933.56	-	-	-
Due from Other Funds	-	7,923.45	-	-
Consumable Inventories	170,303.09	-	-	-
Total Current Assets	<u>\$ 3,983,436.65</u>	<u>\$ 7,923.45</u>	<u>\$ 195,959.55</u>	<u>\$ 25,383.41</u>
Total Assets	<u>\$ 3,983,436.65</u>	<u>\$ 7,923.45</u>	<u>\$ 195,959.55</u>	<u>\$ 25,383.41</u>
LIABILITIES AND FUND BALANCES				
Liabilities				
Current Liabilities:				
Payables from:				
Accounts	711,487.10	-	-	-
Payroll	1,853,174.40	7,923.45	-	-
Funds Held for Others	-	-	-	-
Total Current Liabilities	<u>\$ 2,564,661.50</u>	<u>\$ 7,923.45</u>	<u>\$ -</u>	<u>\$ -</u>
Total Liabilities	<u>\$ 2,564,661.50</u>	<u>\$ 7,923.45</u>	<u>\$ -</u>	<u>\$ -</u>
Fund Balances (Deficits):				
Nonspendable for:				
Inventories	\$ 170,303.09	\$ -	\$ -	\$ -
Committed	-	-	195,959.55	25,383.41
Assigned	-	-	-	-
Unassigned	1,248,472.06	-	-	-
Total Fund Balances	<u>\$ 1,418,775.15</u>	<u>\$ -</u>	<u>\$ 195,959.55</u>	<u>\$ 25,383.41</u>
Total Liabilities and Fund Balances	<u>\$ 3,983,436.65</u>	<u>\$ 7,923.45</u>	<u>\$ 195,959.55</u>	<u>\$ 25,383.41</u>

UNAUDITED

Departmental Suspense Account Fund 1 (0999) U/F (0900)	Total (Exh. I)
\$ -	\$ 200.00
89,824.90	311,167.86
-	3,812,933.56
-	7,923.45
-	170,303.09
<u>\$ 89,824.90</u>	<u>\$ 4,302,527.96</u>
<u>\$ 89,824.90</u>	<u>\$ 4,302,527.96</u>
-	\$ 711,487.10
-	1,861,097.85
-	-
<u>\$ -</u>	<u>\$ 2,572,584.95</u>
<u>\$ -</u>	<u>\$ 2,572,584.95</u>
\$ -	\$ 170,303.09
-	221,342.96
89,824.90	89,824.90
-	1,248,472.06
<u>\$ 89,824.90</u>	<u>\$ 1,729,943.01</u>
<u>\$ 89,824.90</u>	<u>\$ 4,302,527.96</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit I-1 - Combining Statement of Fiduciary Net Assets - Private-Purpose Trust Funds

August 31, 2012

	Private- Purpose Trust Fund 1 (0846) U/F (0846)	Private- Purpose Trust Fund 2 (0898) U/F (0898)	Totals (Ex VI)
ASSETS			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 352,964.50	\$ 387,873.66	\$ 740,838.16
Total Current Assets	<u>\$ 352,964.50</u>	<u>\$ 387,873.66</u>	<u>\$ 740,838.16</u>
Total Assets	<u><u>\$ 352,964.50</u></u>	<u><u>\$ 387,873.66</u></u>	<u><u>\$ 740,838.16</u></u>
LIABILITIES			
Current Liabilities			
Funds Held for Others	\$ -	\$ -	\$ -
Total Current Liabilities	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total Liabilities	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>
NET ASSETS			
Held in Trust For:			
Individuals, Organizations, and Other Governments			
Expendable	\$ -	\$ 387,873.66	\$ 387,873.66
Non-Expendable	<u>\$ 352,964.50</u>	<u>\$ -</u>	<u>\$ 352,964.50</u>
Net Assets	<u><u>\$ 352,964.50</u></u>	<u><u>\$ 387,873.66</u></u>	<u><u>\$ 740,838.16</u></u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit I-2 - Combining Statement of Changes in Fiduciary Net Assets - Private-Purpose Trust Funds
For the Fiscal Year Ended August 31, 2012

	Private- Purpose Trust Fund 1 (0846) U/F (0846)	Private- Purpose Trust Fund 2 (0898) U/F (0898)	Totals (Ex VII)
Additions			
Investment Income			
From Investing Activities:			
Interest and Investment Income	\$ -	\$ 2,485.59	\$ 2,485.59
Total Investing Income (Loss)	\$ -	\$ 2,485.59	\$ 2,485.59
Net Income from Investing Activities	\$ -	\$ 2,485.59	\$ 2,485.59
 Total Net Investment Income (Loss)	 \$ -	 \$ 2,485.59	 \$ 2,485.59
 Other Additions			
Other Revenue	\$ (35,728.00)	\$ 16,426.93	\$ (19,301.07)
Total Other Additions	\$ (35,728.00)	\$ 16,426.93	\$ (19,301.07)
 Total Additions	 \$ (35,728.00)	 \$ 18,912.52	 \$ (16,815.48)
Deductions			
Salaries and Wages	\$ -	\$ 25,076.84	\$ 25,076.84
Payroll Related Costs	-	5,046.01	5,046.01
Settlement of Claims	-	51,182.73	51,182.73
Other Expense	-	8,955.01	8,955.01
Total Deductions	\$ -	\$ 90,260.59	\$ 90,260.59
 Net Increase (Decrease)	 \$ (35,728.00)	 \$ (71,348.07)	 \$ (107,076.07)
 Net Assets - September 1, 2011	 \$ 388,692.50	 \$ 459,221.73	 \$ 847,914.23
 Net Assets - August 31, 2012	 \$ 352,964.50	 \$ 387,873.66	 \$ 740,838.16

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit J-1 - Combining Statement of Changes in Assets and Liabilities - Agency Funds

August 31, 2012

	Beginning Balance 9/1/2011	Additions	Deductions	Ending Balance 8/31/2012
Agency Fund #1 (0807) U/F (0807)				
ASSETS				
Cash in State Treasury	\$ 4,745.00	\$ 69,284.19	\$ 68,658.19	\$ 5,371.00
Total Assets	<u>\$ 4,745.00</u>	<u>\$ 69,284.19</u>	<u>\$ 68,658.19</u>	<u>\$ 5,371.00</u>
LIABILITIES				
Funds Held for Others	4,745.00	64,539.19	63,913.19	5,371.00
Total Liabilities	<u>\$ 4,745.00</u>	<u>\$ 64,539.19</u>	<u>\$ 63,913.19</u>	<u>\$ 5,371.00</u>
 Totals - All Agency Funds				
ASSETS				
Cash in State Treasury	\$ 4,745.00	\$ 69,284.19	\$ 68,658.19	\$ 5,371.00
Total Assets	<u>\$ 4,745.00</u>	<u>\$ 69,284.19</u>	<u>\$ 68,658.19</u>	<u>\$ 5,371.00</u>
LIABILITIES				
Funds Held for Others	\$ 4,745.00	\$ 64,539.19	\$ 63,913.19	\$ 5,371.00
Total Liabilities	<u>\$ 4,745.00</u>	<u>\$ 64,539.19</u>	<u>\$ 63,913.19</u>	<u>\$ 5,371.00</u>

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM

*****PAGE 1

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	001	N	0010	CASH ON HAND	.00	.00
		N	0020	PETTY CASH ON HAND	200.00	200.00
* GL	CLS		001	CA CASH ON HAND	200.00	200.00
01	004	N	0045	CASH IN STATE TREASURY	228,595,415.05-	202,583,700.04-
		N	0047	SHARED CASH	.00	.00
		N	0048	LEGISLATIVE CASH	228,595,415.05	202,583,700.04
* GL	CLS		004	CA CASH IN STATE TREASURY	.00	.00
01	020	N	9000	LEGISLATIVE APPROPRIATIONS	3,812,933.56	4,222,173.56
* GL	CLS		020	CA LEGISLATIVE APPROPRIATIONS	3,812,933.56	4,222,173.56
01	052	N	0231	ACCTS. RECEIVABLE - UNBILLED	.00	.00
* GL	CLS		052	CA ACCOUNTS RECEIVABLES, NET	.00	.00
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC	.00	.00
* GL	CLS		065	CA INTERFUND RECEIVABLE	.00	.00
01	070	N	0283	DUE FROM OTHER FUNDS	.00	.00
		N	0283	DUE FROM OTHER FUNDS 45209000	.00	.00
		N	0283	DUE FROM OTHER FUNDS 45210000	.00	.00
* GL	CLS		070	CA DUE FROM OTHER FUNDS	.00	.00
01	072	N	0284	DUE FROM OTHER AGENCIES 36000010	.00	.00
		N	0284	DUE FROM OTHER AGENCIES 55100010	.00	.00
		N	0284	DUE FROM OTHER AGENCIES 58246800	.00	.00
* GL	CLS		072	CA DUE FROM OTHER AGENCIES	.00	.00
01	080	N	0285	CONSUM. INVENTORIES (MAT. AND SUPPLI	170,303.09	133,074.02
* GL	CLS		080	CA CONSUMABLE INVENTORIES	170,303.09	133,074.02

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM

*****PAGE 2

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP		AGY	CURRENT	PRIOR
CT	CLS	IND	GL	TITLE	GL	YEAR	YEAR
01	081	N	0290	MDSE INVENTORIES (STORES FOR RESALE)		.00	.00
*	GL	CLS	081	CA MERCHANDISE INVENTORIES		.00	.00
*	GLA	CAT	01	CURRENT ASSETS		3,983,436.65	4,355,447.58
11	190	N	0410	AMTS TO BE PROVI FY-OTHER OBLIGATION		.00	.00
*	GL	CLS	190	RETIREMNT OF OTHR GENERAL LONG-TERM DEBT		.00	.00
*	GLA	CAT	11	OTHER DEBITS		.00	.00
**	TOTAL ASSETS AND OTHER DEBITS					3,983,436.65	4,355,447.58
21	200	N	1009	VOUCHERS PAYABLE		14,883.57-	47,551.13-
		N	1010	ACCOUNTS PAYABLE		696,603.53-	1,036,546.71-
*	GL	CLS	200	CL ACCOUNTS PAYABLE		711,487.10-	1,084,097.84-
21	203	N	1015	PAYROLL PAYABLE		1,853,174.40-	1,836,032.37-
		N	1016	PAYROLL PAYABLE-SEMIMONTHLY		.00	.00
*	GL	CLS	203	CL PAYROLL PAYABLE		1,853,174.40-	1,836,032.37-
21	205	N	1049	CL INTERFUND PAYABLE		.00	.00
*	GL	CLS	205	CL INTERFUND PAYABLE		.00	.00
21	210	N	1053	DUE TO OTHER FUNDS	45209000	.00	.00
		N	1053	DUE TO OTHER FUNDS	45210000	.00	.00
*	GL	CLS	210	CL DUE TO OTHER FUNDS		.00	.00
21	211	N	1050	DUE TO OTHER AGENCIES		.00	.00
		N	1050	DUE TO OTHER AGENCIES	32001650	.00	.00
		N	1050	DUE TO OTHER AGENCIES	32500010	.00	.00
		N	1050	DUE TO OTHER AGENCIES	47900010	.00	.00
		N	1050	DUE TO OTHER AGENCIES	90200010	.00	.00
*	GL	CLS	211	CL DUE TO OTHER AGENCIES		.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL CT	GL CLS	B/C IND	COMP GL	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
21	230	N	1025	CL EMPLOYEE'S COMPENSABLE LEAVE		.00	.00
*	GL CLS		230	CL EMPLOYEE'S COMPENSABLE LEAVE		.00	.00
21	300	N	1149	FUNDS HELD FOR OTHERS		.00	.00
*	GL CLS		300	CL FUNDS HELD FOR OTHERS		.00	.00
*	GLA CAT		21	CURRENT LIABILITIES		2,564,661.50-	2,920,130.21-
**	TOTAL LIABILITIES					2,564,661.50-	2,920,130.21-
51	360	N	2050	FD BAL-RESERVED FOR ENCUMBRANCES		.00	.00
*	GL CLS		360	FD BAL RESERVED FOR ENCUMBRANCES		.00	.00
51	362	N	2075	FD BAL-RESERVED FOR CONSUM. INVENT.		.00	.00
		N	2080	FD BAL-RESERVED FOR MERCHAN. INVENT.		.00	.00
*	GL CLS		362	FD BAL RESERVED FOR INVENTORIES		.00	.00
51	364	N	2065	FD BAL-RESERVED FOR IMPREST ACCOUNTS		.00	.00
*	GL CLS		364	FD BAL RESERVED FOR IMPREST ACCT.		.00	.00
51	510	N	2301	FD BAL-NONSPND FOR INVENTORY		170,303.09-	133,074.02-
*	GL CLS		510	FD BAL-NONSPENDABLE		170,303.09-	133,074.02-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED		1,248,472.06-	1,302,243.35-
*	GL CLS		550	FD BAL-UNASSIGNED		1,248,472.06-	1,302,243.35-
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER		.00	.00
		N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY		.00	.00
*	GL CLS		620	FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
51	630	N	2055	FB - UNENCUM APPROP - SUBJECT TO LAP		.00	.00
		N	2060	FB-RES FOR UNENCUM APPR-FUTURE OPERA		.00	.00
		N	2250	FUND BAL-UNRES-RES'D SELF-INSURED PL		.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 4

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR	
CT	CLS	IND	GL	GL	YEAR	YEAR	
				TITLE			
* GL CLS				630	OBSOLETE FB ACCTS UNDER GASB 34	.00	.00
51	800	N	9001	ENCUMBRANCES	111,686.88	103,622.90	
		N	9003	ENCUMBRANCES (REPORTING AGENCIES)	.00	.00	
		N	9005	BUDGET RESERVATION FOR ENCUMBRANCES	111,686.88-	103,622.90-	
* GL CLS				800	BUDGETARY	.00	.00
51	950	N	9200	PAYROLL CLEARING	.00	.00	
		N	9201	PAYROLL CLEARING OFFSET	.00	.00	
		N	9202	PAYROLL SYSTEM CLEARING	.00	.00	
* GL CLS				950	SYSTEM ACCOUNTS	.00	.00
* GLA CAT				51	FUND BALANCE (DEFICITS)	1,418,775.15-	1,435,317.37-
** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES					1,418,775.15-	1,435,317.37-	
** TOTAL LIABILITIES AND FUND BALANCE/EQUITY					3,983,436.65-	4,355,447.58-	
* GAAP FUND				0001	GENERAL REVENUE (0001)-GENERAL	.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 5

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0099 OPERATOR&CHAUFFER LIC FD (0099) -GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
				TITLE		
01	004	N	0045		346,011.43-	228,851.66-
			N	0047	346,011.43	228,851.66
* GL	CLS		004	CA CASH IN STATE TREASURY	.00	.00
01	072	N	0284	DUE FROM OTHER AGENCIES	7,923.45	10,048.96
				40500990		
* GL	CLS		072	CA DUE FROM OTHER AGENCIES	7,923.45	10,048.96
* GLA	CAT		01	CURRENT ASSETS	7,923.45	10,048.96
**	TOTAL ASSETS AND OTHER DEBITS				7,923.45	10,048.96
21	200	N	1009	VOUCHERS PAYABLE	.00	.00
			N	1010	ACCOUNTS PAYABLE	488.80-
* GL	CLS		200	CL ACCOUNTS PAYABLE	.00	488.80-
21	203	N	1015	PAYROLL PAYABLE	7,923.45-	9,560.16-
* GL	CLS		203	CL PAYROLL PAYABLE	7,923.45-	9,560.16-
* GLA	CAT		21	CURRENT LIABILITIES	7,923.45-	10,048.96-
**	TOTAL LIABILITIES				7,923.45-	10,048.96-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED	.00	.00
* GL	CLS		550	FD BAL-UNASSIGNED	.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
			N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY	.00
* GL	CLS		620	FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
51	950	N	9202	PAYROLL SYSTEM CLEARING	.00	.00
* GL	CLS		950	SYSTEM ACCOUNTS	.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT(GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM

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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0099 OPERATOR&CHAUFFER LIC FD (0099) -GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR

* GLA CAT	51	FUND BALANCE (DEFICITS)			.00	.00
** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES					.00	.00
** TOTAL LIABILITIES AND FUND BALANCE/EQUITY					7,923.45-	10,048.96-
* GAAP FUND	0099	OPERATOR&CHAUFFER LIC FD (0099) -GENERAL			.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 7

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0108 PRI BEAUTY CULT SCH FD (0108)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	004	N	0045	CASH IN STATE TREASURY	195,959.55	203,723.56
*	GL	CLS	004	CA CASH IN STATE TREASURY	195,959.55	203,723.56
*	GLA	CAT	01	CURRENT ASSETS	195,959.55	203,723.56
**	TOTAL ASSETS AND OTHER DEBITS				195,959.55	203,723.56
21	200	N	1009	VOUCHERS PAYABLE	.00	.00
*	GL	CLS	200	CL ACCOUNTS PAYABLE	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	.00	.00
**	TOTAL LIABILITIES				.00	.00
51	530	N	2315	FD BAL-COMMITTED	195,959.55-	203,723.56-
*	GL	CLS	530	FD BAL-COMMITTED	195,959.55-	203,723.56-
51	550	N	****	2325-POST CLS PFS FB UNASSIGNED	.00	.00
*	GL	CLS	550	FD BAL-UNASSIGNED	.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
*	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
*	GLA	CAT	51	FUND BALANCE (DEFICITS)	195,959.55-	203,723.56-
**	TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES				195,959.55-	203,723.56-
**	TOTAL LIABILITIES AND FUND BALANCE/EQUITY				195,959.55-	203,723.56-
*	GAAP	FUND	0108	PRI BEAUTY CULT SCH FD (0108)-GENERAL	.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 8

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0900 DEPARTMENTAL SUSPENSE (0900) - GENERAL

GL CT	GL CLS	B/C IND	COMP GL	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
01	004	N	0047	SHARED CASH		89,824.90	533,525.34
*	GL CLS		004	CA CASH IN STATE TREASURY		89,824.90	533,525.34
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC		.00	.00
*	GL CLS		065	CA INTERFUND RECEIVABLE		.00	.00
*	GLA CAT		01	CURRENT ASSETS		89,824.90	533,525.34
**	TOTAL ASSETS AND OTHER DEBITS					89,824.90	533,525.34
21	205	N	1049	CL INTERFUND PAYABLE		.00	.00
*	GL CLS		205	CL INTERFUND PAYABLE		.00	.00
21	220	N	1046	DEFERRED/UNEARNED REVENUES		.00	.00
*	GL CLS		220	CL DEFERRED/UNEARNED REVENUES		.00	.00
*	GLA CAT		21	CURRENT LIABILITIES		.00	.00
**	TOTAL LIABILITIES					.00	.00
51	540	N	2320	FD BAL-ASSIGNED		89,824.90-	533,525.34-
*	GL CLS		540	FD BAL-ASSIGNED		89,824.90-	533,525.34-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED		.00	.00
*	GL CLS		550	FD BAL-UNASSIGNED		.00	.00
51	620	N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY		.00	.00
*	GL CLS		620	FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
*	GLA CAT		S1	FUND BALANCE (DEFICITS)		89,824.90-	533,525.34-

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT(GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 9

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0900 DEPARTMENTAL SUSPENSE (0900) - GENERAL

GL	GL	B/C	COMP	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR

				** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES		89,824.90-	533,525.34-
				** TOTAL LIABILITIES AND FUND BALANCE/EQUITY		89,824.90-	533,525.34-
				* GAAP FUND 0900 DEPARTMENTAL SUSPENSE (0900) - GENERAL		.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 10

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 5081 GR ACCT - BARBER SCHOOL TUITION PROTECTI

GL CT	GL CLS	B/C IND	COMP GL	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
01	004	N	0045	CASH IN STATE TREASURY		25,383.41	25,574.41
*	GL CLS		004	CA CASH IN STATE TREASURY		25,383.41	25,574.41
*	GLA CAT		01	CURRENT ASSETS		25,383.41	25,574.41
**	TOTAL ASSETS AND OTHER DEBITS					25,383.41	25,574.41
21	200	N	1009	VOUCHERS PAYABLE		.00	.00
*	GL CLS		200	CL ACCOUNTS PAYABLE		.00	.00
*	GLA CAT		21	CURRENT LIABILITIES		.00	.00
**	TOTAL LIABILITIES					.00	.00
51	530	N	2315	FD BAL-COMMITTED		25,383.41-	25,574.41-
*	GL CLS		530	FD BAL-COMMITTED		25,383.41-	25,574.41-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED		.00	.00
*	GL CLS		550	FD BAL-UNASSIGNED		.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER		.00	.00
*	GL CLS		620	FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
*	GLA CAT		51	FUND BALANCE (DEFICITS)		25,383.41-	25,574.41-
**	TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES					25,383.41-	25,574.41-
**	TOTAL LIABILITIES AND FUND BALANCE/EQUITY					25,383.41-	25,574.41-
*	GAAP FUND		5081	GR ACCT - BARBER SCHOOL TUITION PROTECTI		.00	.00
*	GAAP FUND TYPE		01	GENERAL		.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS
 GAAP FUND 9998 GEN FIXED ASSETS ACCT GROUP

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	052	Y	0539	BC ACCTS. REC	.00	.00
*	GL	CLS	052	CA ACCOUNTS RECEIVABLES, NET	.00	.00
01	072	N	0284	DUE FROM OTHER AGENCIES	40500990	.00
*	GL	CLS	072	CA DUE FROM OTHER AGENCIES	.00	.00
*	GLA	CAT	01	CURRENT ASSETS	.00	.00
06	150	N	0355	VEHICLES, BOATS AND AIRCRAFT	.00	.00
		Y	0655	BC VEHICLES, BOATS AND AIRCRAFT	86,760.94	86,760.94
		Y	0656	BC ACCUM DEPR-VEHICLES, BOATS & AIRC	50,782.76-	42,480.20-
*	GL	CLS	150	VEHICLES, BOATS AND AIRCRAFT, NET	35,978.18	44,280.74
06	151	N	0345	FURNITURE/EQUIPMENT	.00	.00
		Y	0645	BC FURNITURE/EQUIPMENT	470,164.59	562,588.22
		Y	0650	BC ACCUM DEPR-FURN & EQUIP	331,186.57-	414,641.55-
*	GL	CLS	151	FURNITURE AND EQUIPMENT, NET	138,978.02	147,946.67
06	152	Y	0625	BC BUILDINGS & BLDG IMPROVEMENTS	194,131.57	194,131.57
		Y	0630	BC ACCUM DEPR-BLDGS & BLDG IMPROV	184,424.99-	155,304.96-
*	GL	CLS	152	BUILDINGS & BLDG IMPROVEMENTS, NET	9,706.58	38,826.61
06	158	N	0383	OTHER CAPITAL ASSETS-DEPRECIABLE	.00	.00
		Y	0683	BC OTHER CAPITAL ASSETS-DEPRECIABLE	.00	.00
		Y	0684	BC ACCUM DEPR-OTHER CAPITAL ASSETS	.00	.00
*	GL	CLS	158	OTHER CAPITAL ASSETS, NET	.00	.00
06	165	Y	0693	BC COMPUTER SOFTWARE - INTANGIBLE	30,041.73	30,041.73
		Y	0696	BC-ACCUM AMORT/COMPUTER SOFTWARE-INT	30,041.73-	30,041.73-
*	GL	CLS	165	COMPUTER SOFTWARE-INTANGIBLE, NET	.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT(GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
 *****PAGE 12

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS
 GAAP FUND 9998 GEN FIXED ASSETS ACCT GROUP

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
TITLE						
* GLA CAT	06				184,662.78	231,054.02
NON-CURRENT ASSETS						
** TOTAL ASSETS AND OTHER DEBITS					184,662.78	231,054.02
45	410	Y	****	3505-POST CLS BC CAP ASSETS/DEBT	184,662.78-	231,054.02-
* GL CLS	410			INVESTED IN CAP ASSETS,NET RELATED DEBT	184,662.78-	231,054.02-
45	430	Y	9992	BC SYSTEM CLEARING	.00	.00
* GL CLS	430			UNRESTRICTED NET ASSETS	.00	.00
* GLA CAT	45			NET ASSETS	184,662.78-	231,054.02-
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
		N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY	.00	.00
* GL CLS	620			FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
51	630	N	2030	INVESTMENT IN GENERAL FIXED ASSETS	.00	.00
* GL CLS	630			OBSOLETE FB ACCTS UNDER GASB 34	.00	.00
* GLA CAT	51			FUND BALANCE (DEFICITS)	.00	.00
** TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES					184,662.78-	231,054.02-
** TOTAL LIABILITIES AND FUND BALANCE/EQUITY					184,662.78-	231,054.02-
* GAAP FUND	9998			GEN FIXED ASSETS ACCT GROUP	.00	.00
* GAAP FUND TYPE	11			CAPITAL ASSET BASIS CONVERSION ADJUSTMTS	.00	.00

(AGY)452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT(GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM
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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
11	190	N	0410	AMTS TO BE PROVI FY-OTHER OBLIGATION	.00	.00
*	GL	CLS	190	RETIREMNT OF OTHR GENERAL LONG-TERM DEBT	.00	.00
*	GLA	CAT	11	OTHER DEBITS	.00	.00
**	TOTAL ASSETS AND OTHER DEBITS				.00	.00
21	230	N	1025	CL EMPLOYEE'S COMPENSABLE LEAVE	.00	.00
	Y		1525	BC CL EMPLOYEE'S COMPENSABLE LEAVE	1,183,811.34-	1,127,793.22-
*	GL	CLS	230	CL EMPLOYEE'S COMPENSABLE LEAVE	1,183,811.34-	1,127,793.22-
21	260	Y	1625	BC CL CAPITAL LEASE OBLIGATIONS	.00	.00
*	GL	CLS	260	CL CAPITAL LEASE OBLIGATIONS	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	1,183,811.34-	1,127,793.22-
26	301	Y	1700	BC NC EMPLOYEE'S COMPENSABLE LEAVE	818,207.14-	829,226.75-
*	GL	CLS	301	NC EMPLOYEE'S COMPENSABLE LEAVE	818,207.14-	829,226.75-
26	304	Y	1715	BC NC CAPITAL LEASES OBLIGATIONS	.00	.00
*	GL	CLS	304	NC CAPITAL LEASE OBLIGATIONS	.00	.00
*	GLA	CAT	26	NON-CURRENT LIABILITIES	818,207.14-	829,226.75-
**	TOTAL LIABILITIES				2,002,018.48-	1,957,019.97-
45	410	Y	3505	BC CAPITAL ASSETS, NET OF RELATED DE	.00	.00
*	GL	CLS	410	INVESTED IN CAP ASSETS, NET RELATED DEBT	.00	.00
45	430	Y	****	3950-POST CLS BC UNREST NET ASSETS	2,002,018.48	1,957,019.97
	Y		9992	BC SYSTEM CLEARING	.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET ASSETS - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 12 PROD SYSTEM

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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION

GL CT	GL CLS	B/C IND	COMP GL	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
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*	GL CLS	430		UNRESTRICTED NET ASSETS		2,002,018.48	1,957,019.97
*	GLA CAT	45		NET ASSETS		2,002,018.48	1,957,019.97
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER		.00	.00
		N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY		.00	.00
*	GL CLS	620		FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
*	GLA CAT	51		FUND BALANCE (DEFICITS)		.00	.00
**	TOTAL OTHER CREDITS AND FUND BALANCE/EQUITY WITH CURRENT CHANGES					2,002,018.48	1,957,019.97
**	TOTAL LIABILITIES AND FUND BALANCE/EQUITY					.00	.00
*	GAAP FUND		9997	LONG-TERM LIABILITIES BASIS CONVERSION		.00	.00
*	GAAP FUND TYPE		12	LONG-TERM LIAB BASIS CONVERSION ADJUSTMT		.00	.00
*	GAAP FUND GROUP		01	GOVERNMENTAL		.00	.00
*	AGENCY			452		.00	.00

FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	60	3005	CHG/SVC-LICENSES, FEES & PERMITS	3035	N	-112,782.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3164	N	-142,150.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3366	N	-13,214.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3562	N	-1,758.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3719	N	-153,490.60
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3879	N	-129,043.95
				3025	CHG/SVC-PROFESSIONAL FEES	3175	N	-338,481.10
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3752	N	-787,985.01
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3765	N	-10,882.00
					Prog Rev - Charges For Services			-1,689,786.66
			66	3400	SALARIES AND WAGES	7001	N	145,253.59
				3400	SALARIES AND WAGES	7002	N	16,612,966.05
				3400	SALARIES AND WAGES	7003	N	88,473.71
				3400	SALARIES AND WAGES	7017	N	745,150.00
				3400	SALARIES AND WAGES	7021	N	13,887.21
				3400	SALARIES AND WAGES	7022	N	438,000.00
				3400	SALARIES AND WAGES	7023	N	83,698.33
				3400	SALARIES AND WAGES	7047	N	78,000.00
				3400	SALARIES AND WAGES	7050	N	63,607.94

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3405	PAYROLL RELATED COSTS	7032	N	1,001,462.81
				3405	PAYROLL RELATED COSTS	7033	N	1,463.68
				3405	PAYROLL RELATED COSTS	7041	N	2,058,738.71
				3405	PAYROLL RELATED COSTS	7042	N	161,420.79
				3405	PAYROLL RELATED COSTS	7043	N	1,337,810.03
				3405	PAYROLL RELATED COSTS	7984	N	14,294.18
				3415	PROFESSIONAL FEES AND SERVICES	7240	N	720.00
				3415	PROFESSIONAL FEES AND SERVICES	7242	N	31,970.00
				3415	PROFESSIONAL FEES AND SERVICES	7243	N	15,670.76
				3415	PROFESSIONAL FEES AND SERVICES	7245	N	46,917.50
				3415	PROFESSIONAL FEES AND SERVICES	7253	N	23,053.38
				3415	PROFESSIONAL FEES AND SERVICES	7285	N	346,894.32
				3420	TRAVEL	7101	N	99,787.17
				3420	TRAVEL	7102	N	392,181.62
				3420	TRAVEL	7105	N	51,817.27
				3420	TRAVEL	7106	N	220,554.71
				3420	TRAVEL	7110	N	15,161.97
				3420	TRAVEL	7111	N	4,008.80
				3420	TRAVEL	7112	N	134.20
				3420	TRAVEL	7115	N	1,229.98

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3420	TRAVEL	7116	N	5,647.14
				3420	TRAVEL	7135	N	-2,800.63
				3425	MATERIALS AND SUPPLIES	7291	N	265,492.29
				3425	MATERIALS AND SUPPLIES	7300	N	59,680.57
				3425	MATERIALS AND SUPPLIES	7303	N	29.00
				3425	MATERIALS AND SUPPLIES	7304	N	7,377.40
				3425	MATERIALS AND SUPPLIES	7310	N	373.09
				3425	MATERIALS AND SUPPLIES	7312	N	137.85
				3425	MATERIALS AND SUPPLIES	7328	N	1,471.98
				3425	MATERIALS AND SUPPLIES	7330	N	116.72
				3425	MATERIALS AND SUPPLIES	7334	N	81,220.96
				3425	MATERIALS AND SUPPLIES	7335	N	6,188.18
				3425	MATERIALS AND SUPPLIES	7374	N	-3,841.15
				3425	MATERIALS AND SUPPLIES	7377	N	10,197.13
				3425	MATERIALS AND SUPPLIES	7378	N	-33,953.12
				3425	MATERIALS AND SUPPLIES	7380	N	135,110.30
				3425	MATERIALS AND SUPPLIES	7382	N	3,972.78
				3425	MATERIALS AND SUPPLIES	7510	N	9,721.20
				3425	MATERIALS AND SUPPLIES	7517	N	-21,380.32
				3430	COMMUNICATION AND UTILITIES	7276	N	609.40

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3430	COMMUNICATION AND UTILITIES	7503	N	378.43
				3430	COMMUNICATION AND UTILITIES	7504	N	45,960.22
				3430	COMMUNICATION AND UTILITIES	7516	N	118,304.89
				3430	COMMUNICATION AND UTILITIES	7518	N	62,533.75
				3430	COMMUNICATION AND UTILITIES	7526	N	1,611.00
				3430	COMMUNICATION AND UTILITIES	7961	N	72,649.95
				3430	COMMUNICATION AND UTILITIES	7962	N	36,220.24
				3435	REPAIRS AND MAINTENANCE	7262	N	181,297.59
				3435	REPAIRS AND MAINTENANCE	7266	N	8,617.56
				3435	REPAIRS AND MAINTENANCE	7267	N	7,745.89
				3435	REPAIRS AND MAINTENANCE	7367	N	30,290.44
				3435	REPAIRS AND MAINTENANCE	7368	N	517.76
				3440	RENTALS AND LEASES	7406	N	72,730.32
				3440	RENTALS AND LEASES	7415	N	225.13
				3440	RENTALS AND LEASES	7462	N	327,636.48
				3440	RENTALS AND LEASES	7468	N	150.00
				3440	RENTALS AND LEASES	7470	N	194,003.07
				3445	PRINTING AND REPRODUCTION	7218	N	203,471.00
				3445	PRINTING AND REPRODUCTION	7273	N	59,625.54
				3450	CLAIMS AND JUDGMENTS	7226	N	3,864.10

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3450	CLAIMS AND JUDGMENTS	7237	N	1,700.00
				3510	INTEREST EXPENSE - OTHER	7806	N	379.98
				3590	OTHER EXPENSES	7201	N	7,759.00
				3590	OTHER EXPENSES	7202	N	2,000.00
				3590	OTHER EXPENSES	7203	N	38,350.51
				3590	OTHER EXPENSES	7210	N	2,287.00
				3590	OTHER EXPENSES	7211	N	18,931.65
				3590	OTHER EXPENSES	7219	N	596,716.58
				3590	OTHER EXPENSES	7223	N	1,051.60
				3590	OTHER EXPENSES	7274	N	437.28
				3590	OTHER EXPENSES	7286	N	29,447.45
				3590	OTHER EXPENSES	7295	N	96,245.81
				3590	OTHER EXPENSES	7299	N	476,365.65
				3590	OTHER EXPENSES	7340	N	2,678.98
				3590	OTHER EXPENSES	7947	N	33,958.91
					Expenses			27,355,843.24
			68	3700	GR-ORIGINAL APPROPRIATIONS	9400	N	-23,797,022.00
				3700	GR-ORIGINAL APPROPRIATIONS	9401	N	1,370,082.00
				3700	GR-ORIGINAL APPROPRIATIONS	9415	N	54,741.00
				3705	GR-ADDITIONAL APPROPRIATIONS	9403	N	12,315.11

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	68	3705	GR-ADDITIONAL APPROPRIATIONS	9404	N	-12,315.11
				3705	GR-ADDITIONAL APPROPRIATIONS	9420	N	-1,337,810.03
				3705	GR-ADDITIONAL APPROPRIATIONS	9425	N	-2,058,738.71
				3705	GR-ADDITIONAL APPROPRIATIONS	9435	N	-1,001,462.81
				3705	GR-ADDITIONAL APPROPRIATIONS	9440	N	-63,607.94
				3710	GR-UNEXPENDED BALANCE FORWARD	9406	N	-0.10
				3710	GR-UNEXPENDED BALANCE FORWARD	9407	N	0.10
				3730	GR-LAPSES	9580	N	1,216,546.00
				3765	GR-OTHER TAX REVENUE	3146	N	-30,000.00
				3810	GR-OTHER GENERAL REVENUES	3802	N	-52,590.00
				3870	GR-CAPITAL OUTLAY	7379	N	35,550.65
					General Revenues			-25,664,311.84
			79	BBal	Beginning Balance			-1,435,317.37
					Beginning Balance			-1,435,317.37
			80	3990	RESTATEMENTS	3897	N	14,797.48
					Restatements			14,797.48
					Fund 0001 Beginning Balance			-1,435,317.37
					Beginning Balance as Restated			-1,420,519.89
					Net Activity			1,744.74
					Fund 0001 Ending Balance			-1,418,775.15

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	9000	68	3810	GR-OTHER GENERAL REVENUES	3788	N	2,000.00
				3810	GR-OTHER GENERAL REVENUES	3789	N	-2,000.00
					General Revenues			0.00
					Fund 9000 Beginning Balance			0.00
					Beginning Balance as Restated			0.00
					Net Activity			0.00
					Fund 9000 Ending Balance			0.00
	0099	0990	66	3400	SALARIES AND WAGES	7002	N	82,941.00
				3400	SALARIES AND WAGES	7017	N	1,900.00
				3400	SALARIES AND WAGES	7022	N	1,080.00
				3400	SALARIES AND WAGES	7050	N	317.80
				3405	PAYROLL RELATED COSTS	7032	N	682.84
				3405	PAYROLL RELATED COSTS	7041	N	833.74
				3405	PAYROLL RELATED COSTS	7042	N	829.22
				3405	PAYROLL RELATED COSTS	7043	N	7,382.55
				3415	PROFESSIONAL FEES AND SERVICES	7240	N	4,880.00
				3415	PROFESSIONAL FEES AND SERVICES	7242	N	5,270.00
				3415	PROFESSIONAL FEES AND SERVICES	7245	N	200.00
				3425	MATERIALS AND SUPPLIES	7300	N	29.06
				3440	RENTALS AND LEASES	7470	N	4,680.00

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount		
01	0099	0990	66	3510	INTEREST EXPENSE - OTHER	7806	N	0.02		
				3590	OTHER EXPENSES	7211	N	35.00		
				3590	OTHER EXPENSES	7953	N	4,050.83		
							Expenses			115,112.06
			78	3970	TRANSFERS IN	3973	N	-115,112.06		
							Transfers			-115,112.06
							Fund 0990 Beginning Balance			0.00
							Beginning Balance as Restated			0.00
							Net Activity			0.00
							Fund 0990 Ending Balance			0.00
	0108	0108	66	3450	CLAIMS AND JUDGMENTS	7237	N	7,000.00		
3590				OTHER EXPENSES	7953	N	764.01			
						Expenses			7,764.01	
79			BBal	Beginning Balance			-203,723.56			
						Beginning Balance			-203,723.56	
						Fund 0108 Beginning Balance			-203,723.56	
						Beginning Balance as Restated			-203,723.56	
						Net Activity			7,764.01	
						Fund 0108 Ending Balance			-195,959.55	
			0900	0999	60	3005	CHG/SVC-LICENSES, FEES & PERMITS	3727	N	443,700.44

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
					Prog Rev - Charges For Services			443,700.44
01	0900	0999	79	BBal	Beginning Balance			-533,525.34
					Beginning Balance			-533,525.34
					Fund 0999 Beginning Balance			-533,525.34
					Beginning Balance as Restated			-533,525.34
					Net Activity			443,700.44
					Fund 0999 Ending Balance			-89,824.90
	5081	5081	66	3590	OTHER EXPENSES	7953	N	191.00
					Expenses			191.00
			79	BBal	Beginning Balance			-25,574.41
					Beginning Balance			-25,574.41
					Fund 5081 Beginning Balance			-25,574.41
					Beginning Balance as Restated			-25,574.41
					Net Activity			191.00
					Fund 5081 Ending Balance			-25,383.41
01								
11	9998	0099	66	3495	DEPRECIATION EXPENSE	7936	Y	29,120.03
				3495	DEPRECIATION EXPENSE	7939	Y	52,821.86
					Expenses			81,941.89
			68	3870	GR-CAPITAL OUTLAY	7373	Y	-35,550.65

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2012, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount	
								General Revenues	-35,550.65
11	9998	0099	79	BBal	Beginning Balance				-231,054.02
								Beginning Balance	-231,054.02
								Fund 0099 Beginning Balance	-231,054.02
								Beginning Balance as Restated	-231,054.02
								Net Activity	46,391.24
								Fund 0099 Ending Balance	-184,662.78
11									
12	9997	0098	66	3400	SALARIES AND WAGES	7002	Y		44,998.51
								Expenses	44,998.51
			79	BBal	Beginning Balance				1,957,019.97
								Beginning Balance	1,957,019.97
								Fund 0098 Beginning Balance	1,957,019.97
								Beginning Balance as Restated	1,957,019.97
								Net Activity	44,998.51
								Fund 0098 Ending Balance	2,002,018.48

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UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION
Schedule 6 - Summary of Revenues Generated by Agency Program or Activity
Year Ended August 31, 2012 and Year Ended August 31, 2011

Agency Program or Activity	Reappropriated Revenues	Unappropriated Revenues	Y-T-D 2012	Percent of 2011 Revenue	Informational 2011
Air Conditioning and Refrigeration					
Contractors	\$ 82,102 ⁽¹⁾	\$ 1,962,559	\$ 2,044,661	105.00%	\$ 1,947,243
Architectural Barriers	118,385 ⁽¹⁾	4,367,081	4,485,466	102.63%	4,370,443
Auctioneers	4,772 ⁽¹⁾	140,414	145,186	92.95%	156,196
Auctioneer Education & Recovery					
Fund (Fund 0898)	18,913	-	18,913	109.38%	17,291
Auto Parts Recyclers	5,336 ⁽¹⁾	234,329	239,665	155.02%	154,600
Barbering	72,030	1,280,377	1,352,407	101.16%	1,336,895
Barber Tuition Account Fee	-	-	-	0.00%	629
Boiler Inspections	142,150	2,562,199	2,704,349	96.76%	2,794,779
Combative Sports	30,010	1,001,377	1,031,387	109.60%	941,083
Cosmetology	768,741	11,711,782	12,480,522	102.63%	12,160,919
Cosmetology Tuition Account Fee	-	-	-	0.00%	12,730
Electricians	225,221 ⁽¹⁾	4,851,677	5,076,898	102.05%	4,974,923
Elevator/ Escalator Safety	18,620	1,312,071	1,330,691	113.39%	1,173,543
For-Profit Legal Services	18,522 ⁽¹⁾	606,240	624,762	82.87%	753,943
Identify Recovery Services	-	7,048	7,048	N/A	1,714
Industrialized Housing and Buildings	-	445,796	445,796	102.41%	435,324
License Breeder	-	24,200	24,200	N/A	-
Licensed Court Interpreters	1,758 ⁽¹⁾	49,714	51,472	101.15%	50,886
Loss Damage Waivers	-	18,000	18,000	96.77%	18,600
Personnel Employment Services	-	2,025	2,025	39.53%	5,122
Polygraph Examiners	-	111,275	111,275	93.78%	118,650
Property Tax Consultants	1,350 ⁽¹⁾	533,671	535,021	89.87%	595,306
Property Tax Professionals	5,770 ⁽¹⁾	210,728	216,498	88.74%	243,963
Service Contract Providers	211	156,406	156,617	45.56%	343,765
Staff Leasing Services	-	222,500	222,500	103.48%	215,025
Talent Agencies	-	6,099	6,099	31.55%	19,332
Temporary Common Worker Providers	-	19,725	19,725	135.33%	14,575
Tow Truck Operators	119,436 ⁽¹⁾	4,433,363	4,552,799	97.36%	4,676,390
Vehicle Booting	123 ⁽¹⁾	5,452	5,575	49.94%	11,163
Vehicle Protection Product					
Warrantors	-	38,650	38,650	118.74%	32,550
Vehicle Storage Facilities	21,248 ⁽¹⁾	1,117,703	1,138,952	96.57%	1,179,414
Water Well Drillers and Pump					
Installers	13,214 ⁽¹⁾	598,454	611,668	108.79%	562,232
Weather Modification	-	6,675	6,675	109.88%	6,075
Enforcement General Counsel	-	-	-	0.00%	11,961
Information Resources Division	10,882	-	10,882	100.00%	10,882
Copies	112,496	-	112,496	101.08%	111,290
Return Checks	-	6,358	6,358	126.40%	5,030
Other Miscellaneous Governmental					
Revenue	-	54,013	54,013	104.80%	51,540
State Sales Tax	-	48,040	48,040	128.77%	37,306
City Tax	-	7,736	7,736	128.31%	6,029
MTA Tax	-	7,736	7,736	128.31%	6,029
TOTAL	\$ 1,791,289	\$ 38,161,474	\$ 39,952,764	100.98%	\$ 39,565,370

⁽¹⁾ Includes Texas Online Pass-through Fees.

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Year Ended August 31, 2012 with Comparative Totals for August 31, 2011

	FY 2012 at August 31, 2012 (12 Months)			FY 2011 at August 31, 2011 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Air Conditioning and Refrigeration Contractors						
License Fee	\$ -	\$ 1,622,371.35	\$ 1,622,371.35	-	\$ 1,523,821.00	\$ 1,523,821.00
A/C Provider Fee	-	22,375.00	22,375.00	-	23,000.00	23,000.00
ACR Convenience Fee	2,714.06	-	2,714.06	372.87	-	372.87
Penalty	-	313,287.87	313,287.87	-	319,528.55	319,528.55
Certificate of Registration	-	4,525.00	4,525.00	-	5,800.00	5,800.00
TexasOnline Subscription Fee	79,388.15	-	79,388.15	74,721.00	-	74,721.00
Third Party Reimbursement	-	-	-	-	-	-
Total, Air Conditioning and Refrigeration Contractors	\$ 82,102.21	\$ 1,962,559.22	\$ 2,044,661.43	\$ 75,093.87	\$ 1,872,149.55	\$ 1,947,243.42
Architectural Barriers						
Inspection Filing Fee-TDLR	\$ -	\$ -	\$ -	\$ -	\$ 125.00	\$ 125.00
Inspection	-	281,501.92	281,501.92	-	335,860.00	335,860.00
Inspection Filing Fee-ICP	-	500.00	500.00	-	-	-
Plan Review	-	178,885.00	178,885.00	-	189,079.00	189,079.00
Project Filing Fee-TDLR	-	3,341,077.10	3,341,077.10	-	3,191,673.72	3,191,673.72
Variance	-	82,425.00	82,425.00	-	93,375.00	93,375.00
Penalty	-	282,303.05	282,303.05	-	318,322.50	318,322.50
Variance Appeal	-	9,200.00	9,200.00	-	12,000.00	12,000.00
Contract Provider Project	-	-	-	-	-	-
RAS Registration	-	122,775.00	122,775.00	-	120,400.00	120,400.00
RAS CE Provider Fees	-	3,150.00	3,150.00	-	3,575.00	3,575.00
Special Clearance	-	65,264.07	65,264.07	-	16,315.00	16,315.00
Convenience Fee- AB	79,497.04	-	79,497.04	73,114.09	-	73,114.09
Sale of Publications	4,927.50	-	4,927.50	1,496.00	-	1,496.00
Third Party Reimbursement	-	-	-	30.00	-	30.00
Third Party Reimbursement-TAA Tuition	33,960.00	-	33,960.00	15,077.50	-	15,077.50
Total, Architectural Barriers	\$ 118,384.54	\$ 4,367,081.14	\$ 4,485,465.68	\$ 89,717.59	\$ 4,280,725.22	\$ 4,370,442.81
Auctioneers						
Auctioneer Exam Fee	\$ (50.00)	\$ 50.00	\$ -	\$ -	\$ -	\$ -
Auctioneer License Fee	\$ -	\$ 113,671.00	\$ 113,671.00	\$ -	\$ 107,827.00	\$ 107,827.00
Associate Auctioneer License Fee	-	4,268.00	4,268.00	-	3,883.00	3,883.00
Auctioneer Late Fee	-	-	-	-	-	-
Auctioneer Penalty	-	15,875.05	15,875.05	-	29,282.95	29,282.95
Auctioneer CE Provider Fee	-	6,475.00	6,475.00	-	10,650.00	10,650.00
Auctioneer Convenience Fee	170.41	-	170.41	34.51	-	34.51
Auctioneer Education and Recovery Fund (AERF)	12,900.00	75.00	12,975.00	12,450.00	-	12,450.00
Auctioneer Education and Recovery Fund Interest	2,485.59	-	2,485.59	4,071.29	-	4,071.29
TexasOnline Subscription Fee	4,652.00	-	4,652.00	4,519.00	-	4,519.00
Third Party Reimbursement-AERF	3,526.93	-	3,526.93	770.00	-	770.00
Total, Auctioneers	\$ 23,684.93	\$ 140,414.05	\$ 164,098.98	\$ 21,844.80	\$ 151,642.95	\$ 173,487.75
Auto Parts Recyclers						
Auto Parts Recycler Fee	\$ -	\$ 193,913.00	\$ 193,913.00	\$ -	\$ 152,234.00	\$ 152,234.00
Auto Parts Convenience Fee	\$ 233.60	\$ -	\$ 233.60	\$ -	\$ -	\$ -
Auto Parts Recycler Penalty	\$ -	\$ 40,416.40	\$ 40,416.40	\$ -	\$ -	\$ -
TexasOnline Subscription Fee	5,102.00	-	5,102.00	2,366.00	-	2,366.00
Total, Auto Parts Recyclers	\$ 5,335.60	\$ 234,329.40	\$ 239,665.00	\$ 2,366.00	\$ 152,234.00	\$ 154,600.00
Barbering						
Barber License Fees	\$ -	\$ 1,086,829.50	\$ 1,086,829.50	\$ -	\$ 1,025,966.50	\$ 1,025,966.50
Convenience Fee	1,625.07	-	1,625.07	126.14	-	126.14
Fines & Penalties	-	193,547.60	193,547.60	-	215,652.93	215,652.93
Barber Publication	70,405.18	-	70,405.18	95,149.17	-	95,149.17
Third Party Reimbursement-Barber Tutition Acct Fee	-	-	-	629.00	-	629.00
Total, Barbering	\$ 72,030.25	\$ 1,280,377.10	\$ 1,352,407.35	\$ 95,904.31	\$ 1,241,619.43	\$ 1,337,523.74

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2012 with Comparative Totals for August 31, 2011

	FY 2012 at August 31, 2012 (12 Months)			FY 2011 at August 31, 2011 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Boiler Inspections						
Boiler Clearance	\$ -	\$ 101,058.21	\$ 101,058.21	\$ -	\$ -	\$ -
Boiler Inspection Fees	\$ -	\$ 2,346,934.49	\$ 2,346,934.49	\$ -	\$ 2,483,143.50	\$ 2,483,143.50
Penalty	-	29,260.00	29,260.00	-	6,820.00	6,820.00
Special Inspection Fees	142,150.00	182,400.00	324,550.00	118,850.00	182,400.00	301,250.00
Commission Exam Fee	-	-	-	-	25.00	25.00
Commission Fee	-	3,605.00	3,605.00	-	3,540.00	3,540.00
Third Party Reimbursement	-	-	-	-	-	-
Total, Boiler Inspections	\$ 142,150.00	\$ 2,562,199.49	\$ 2,704,349.49	\$ 118,850.00	\$ 2,675,928.50	\$ 2,794,778.50
Combative Sports						
Boxing Gross Receipts Tax	\$ 30,000.00	\$ 797,384.03	\$ 827,384.03	\$ -	\$ 787,903.34	\$ 787,903.34
Combative Sports Per Event Fee	-	16,004.56	16,004.56	-	12,740.00	12,740.00
Boxing Promoters License	-	56,301.00	56,301.00	-	39,370.00	39,370.00
Boxing License Fee	-	24,575.00	24,575.00	-	9,395.00	9,395.00
Manager License Fee	-	4,880.00	4,880.00	-	5,300.00	5,300.00
Matchmaker License Fee	-	2,550.00	2,550.00	-	1,950.00	1,950.00
Combative Sports Federal ID Card	-	19,775.00	19,775.00	-	15,410.00	15,410.00
Judge and Referee License Fee	-	13,005.00	13,005.00	-	13,375.00	13,375.00
Seconds License Fee	-	42,860.00	42,860.00	-	40,045.00	40,045.00
Timekeeper License Fee	-	1,510.00	1,510.00	-	1,370.00	1,370.00
Ringside Physician Registration Fee	-	2,425.00	2,425.00	-	2,100.00	2,100.00
Combative Sports Event Coordinator	-	2,000.00	2,000.00	-	700.00	700.00
Boxing Penalty	-	18,107.40	18,107.40	-	11,424.20	11,424.20
Third Party Reimbursement	10.00	-	10.00	-	-	-
Total, Combative Sports	\$ 30,010.00	\$ 1,001,376.99	\$ 1,031,386.99	\$ -	\$ 941,082.54	\$ 941,082.54
Cosmetology						
Cosmetology License Fees	\$ -	\$ 10,073,408.26	\$ 10,073,408.26	\$ -	\$ 9,098,764.65	\$ 9,098,764.65
Cosmetology School Inspection	-	1,400.00	1,400.00	-	2,600.00	2,600.00
Cosmetology CE Provider Fee	-	328,625.00	328,625.00	-	534,755.00	534,755.00
Cosmetology Transcripts	40,995.00	-	40,995.00	38,770.86	-	38,770.86
Cosmetology Publication	712,652.33	-	712,652.33	529,829.45	-	529,829.45
Cosmetology Fine & Penalties	-	1,308,348.34	1,308,348.34	-	1,950,101.42	1,950,101.42
Convenience Fee	15,093.34	-	15,093.34	6,087.77	-	6,087.77
Third Party Reimbursement	-	-	-	10.00	-	10.00
Third Party Reimbursement-Cosmetology Tutition Acct	-	-	-	12,730.00	-	12,730.00
Total, Cosmetology	\$ 768,740.67	\$ 11,711,781.60	\$ 12,480,522.27	\$ 587,428.08	\$ 11,586,221.07	\$ 12,173,649.15
Electricians						
Electrician License Fees	\$ -	\$ 4,631,518.00	\$ 4,631,518.00	\$ -	\$ 4,471,611.21	\$ 4,471,611.21
Electrician CE Fee	-	46,950.00	46,950.00	-	47,550.00	47,550.00
Electrician Convenience Fees	1,473.98	-	1,473.98	204.92	-	204.92
Electrician Penalty Fees	-	173,209.39	173,209.39	-	237,011.55	237,011.55
TexasOnline Subscription Fee	223,746.95	-	223,746.95	218,545.39	-	218,545.39
Total, Electricians	\$ 225,220.93	\$ 4,851,677.39	\$ 5,076,898.32	\$ 218,750.31	\$ 4,756,172.76	\$ 4,974,923.07
Elevator/ Escalator Safety						
Elevator Inspector Registration	\$ -	\$ 950.00	\$ 950.00	\$ -	\$ 700.00	\$ 700.00
Elevator Contractor Registration	-	2,415.00	2,415.00	-	2,415.00	2,415.00
Elevator Duplicate Fee	-	950.00	950.00	-	1,500.00	1,500.00
Elevator Filing Fee	-	840,980.83	840,980.83	-	715,740.71	715,740.71
Elevator Penalty	-	47,840.00	47,840.00	-	40,475.00	40,475.00
Elevator License and Renewal	-	24,272.50	24,272.50	-	24,257.50	24,257.50
Elevator New Technology Variance Technology	-	7,500.00	7,500.00	-	2,500.00	2,500.00
Elevator Responsible Party CE Provider Fee	-	250.00	250.00	-	-	-
Elevator Waiver/Delay	-	17,380.00	17,380.00	-	20,170.00	20,170.00
Late Fee	-	369,533.00	369,533.00	-	348,785.11	348,785.11
Third Party Reimbursement	-	-	-	-	-	-
Third Party Reimbursement-Elevator Kit	18,620.00	-	18,620.00	17,000.00	-	17,000.00
Total, Elevator/ Escalator Safety	\$ 18,620.00	\$ 1,312,071.33	\$ 1,330,691.33	\$ 17,000.00	\$ 1,156,543.32	\$ 1,173,543.32

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2012 with Comparative Totals for August 31, 2011

	FY 2012 at August 31, 2012 (12 Months)			FY 2011 at August 31, 2011 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
For Profit Legal Services						
LSC License and Renewal	\$ -	\$ 243,788.00	\$ 243,788.00	\$ -	\$ 360,679.00	\$ 360,679.00
LSC Differential	-	311,202.24	311,202.24	-	369,868.40	369,868.40
LSC Penalty	-	1,250.00	1,250.00	-	-	-
LSC Trust Deposit	-	50,000.00	50,000.00	-	-	-
TexasOnline Subscription Fee	18,522.00	-	18,522.00	23,396.00	-	23,396.00
Total, For Profit Legal Services	\$ 18,522.00	\$ 606,240.24	\$ 624,762.24	\$ 23,396.00	\$ 730,547.40	\$ 753,943.40
Identify Recovery Services						
IDR SCP Application & Renewal Fee	\$ -	\$ 2,000.00	2,000.00	\$ -	\$ 1,000.00	1,000.00
IDR Quarterly Contract Fee	-	5,048.00	5,048.00	-	714.00	714.00
Total, Identify Recovery Services	\$ -	\$ 7,048.00	\$ 7,048.00	\$ -	\$ 1,714.00	\$ 1,714.00
Industrialized Housing and Buildings						
Manufacturer's Registration Fee	\$ -	\$ 98,975.00	\$ 98,975.00	\$ -	\$ 88,587.50	\$ 88,587.50
Third Party Inspection Agency	-	1,050.00	1,050.00	-	1,500.00	1,500.00
Builder Registration Fee	-	110,450.00	110,450.00	-	116,087.50	116,087.50
Design Review Agency's Registration Fee	-	2,400.00	2,400.00	-	2,566.46	2,566.46
Third Party Inspector	-	4,600.00	4,600.00	-	5,100.00	5,100.00
Special Inspection	-	1,100.00	1,100.00	-	840.00	840.00
Third Party Inspector Monitor	-	-	-	-	1,080.00	1,080.00
Decals/Insignia	-	186,998.36	186,998.36	-	171,680.65	171,680.65
Certification Inspection	-	31,097.76	31,097.76	-	28,849.03	28,849.03
Penalty	-	4,400.00	4,400.00	-	16,150.00	16,150.00
Installation Permits	-	4,725.00	4,725.00	-	2,883.11	2,883.11
Third Party Reimbursement	-	-	-	-	-	-
Total, Industrialized Housing and Buildings	\$ -	\$ 445,796.12	\$ 445,796.12	\$ -	\$ 435,324.25	\$ 435,324.25
Licensed Breeder						
Licensed Breeder Licence Fee	\$ -	\$ 24,200.00	24,200.00	\$ -	\$ -	-
Total, License Breeder	\$ -	\$ 24,200.00	\$ 24,200.00	\$ -	\$ -	\$ -
Licensed Court Interpreters						
Application	\$ -	\$ 43,939.00	\$ 43,939.00	\$ -	\$ 42,660.00	\$ 42,660.00
Examination	-	(300.00)	(300.00)	-	(900.00)	(900.00)
LCI CE Provider Fee	-	6,075.00	6,075.00	-	5,650.00	5,650.00
Penalty	-	-	-	-	1,750.00	1,750.00
TexasOnline Subscription Fee	1,758.00	-	1,758.00	1,726.00	-	1,726.00
Total, Licensed Court Interpreters	\$ 1,758.00	\$ 49,714.00	\$ 51,472.00	\$ 1,726.00	\$ 49,160.00	\$ 50,886.00
Loss Damage Waivers						
Loss Damage Waiver Review	\$ -	\$ 18,000.00	\$ 18,000.00	\$ -	\$ 18,600.00	\$ 18,600.00
Total, Loss Damage Waivers	\$ -	\$ 18,000.00	\$ 18,000.00	\$ -	\$ 18,600.00	\$ 18,600.00
Personnel Employment Services						
Employment Agency License	\$ -	\$ 2,025.00	\$ 2,025.00	\$ -	\$ 5,122.45	\$ 5,122.45
Total, Personnel Employment Services	\$ -	\$ 2,025.00	\$ 2,025.00	\$ -	\$ 5,122.45	\$ 5,122.45
Polygraph Examiners						
Polygraph Exam	\$ -	\$ 111,275.00	\$ 111,275.00	\$ -	\$ 118,650.00	\$ 118,650.00
Total, Polygraph Examiners	\$ -	\$ 111,275.00	\$ 111,275.00	\$ -	\$ 118,650.00	\$ 118,650.00
Property Tax Consultants						
Private CE Provider Fee	\$ -	\$ 4,975.00	\$ 4,975.00	\$ -	\$ 6,250.00	\$ 6,250.00
License	-	124,492.50	124,492.50	-	125,512.00	125,512.00
Professional Fee	-	304,203.00	304,203.00	-	307,998.00	307,998.00
Penalty	-	100,000.00	100,000.00	-	153,250.00	153,250.00
TexasOnline Subscription Fee	1,350.00	-	1,350.00	2,295.50	-	2,295.50
Third Party Reimbursement	-	-	-	-	-	-
Total, Property Tax Consultants	\$ 1,350.00	\$ 533,670.50	\$ 535,020.50	\$ 2,295.50	\$ 593,010.00	\$ 595,305.50

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2012 with Comparative Totals for August 31, 2011

	FY 2012 at August 31, 2012 (12 Months)			FY 2011 at August 31, 2011 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Property Tax Professionals						
Property Tax Professional License Fee	\$ -	\$ 210,728.00	\$ 210,728.00	\$ -	\$ 240,712.50	\$ 240,712.50
Penalty	-	-	-	-	3,250.00	3,250.00
TexasOnline Subscription Fee	5,770.00	-	5,770.00	-	-	-
Total, Property Tax Professionals	\$ 5,770.00	\$ 210,728.00	\$ 216,498.00	\$ -	\$ 243,962.50	\$ 243,962.50
Service Contract Providers						
Registration	\$ -	\$ 177,375.00	\$ 177,375.00	\$ -	\$ 173,925.00	\$ 173,925.00
Penalty	-	64,758.63	64,758.63	-	7,750.00	7,750.00
SCP Convenience Fee	211.37	-	211.37	-	-	-
SCP Trust Account	-	(85,728.00)	(85,728.00)	-	162,090.00	162,090.00
Third Party Reimbursement	-	-	-	-	-	-
Total, Service Contract Providers	\$ 211.37	\$ 156,405.63	\$ 156,617.00	\$ -	\$ 343,765.00	\$ 343,765.00
Staff Leasing Services						
Application Fee	\$ -	\$ -	\$ -	\$ -	209,775.00	\$ 209,775.00
License Fee	-	220,500.00	220,500.00	-	5,250.00	5,250.00
Penalty	-	2,000.00	2,000.00	-	-	-
Third Party Reimbursement	-	-	-	-	-	-
Total, Staff Leasing Services	\$ -	\$ 222,500.00	\$ 222,500.00	\$ -	\$ 215,025.00	\$ 215,025.00
Talent Agencies						
Talent Agents License Fee	\$ -	\$ 6,098.84	\$ 6,098.84	\$ -	\$ 16,957.11	\$ 16,957.11
Penalty	-	-	-	-	2,375.00	2,375.00
Total, Talent Agencies	\$ -	\$ 6,098.84	\$ 6,098.84	\$ -	\$ 19,332.11	\$ 19,332.11
Temporary Common Worker Providers						
License Fee	\$ -	\$ 19,725.00	\$ 19,725.00	\$ -	\$ 14,575.00	\$ 14,575.00
Third Party Reimbursement	-	-	-	-	-	-
Penalty	-	-	-	-	-	-
Total, Temporary Common Worker Providers	\$ -	\$ 19,725.00	\$ 19,725.00	\$ -	\$ 14,575.00	\$ 14,575.00
Tow Trucks/Operators						
Tow Trucks/Operators Fees	\$ -	\$ 3,760,181.41	\$ 3,760,181.41	\$ -	\$ 3,777,560.45	\$ 3,777,560.45
Tow Trucks/Operators Penalty	-	402,159.21	402,159.21	-	583,401.87	583,401.87
TexasOnline Subscription Fee	87,315.00	-	87,315.00	89,823.00	(11.00)	89,812.00
Tow Truck Credit Card Convenience Fee	25,638.05	-	25,638.05	23,550.44	-	23,550.44
Tow Truck CE Provider Fee	-	38,280.00	38,280.00	-	43,065.00	43,065.00
Tow -VSF Dual Employee Fees	-	230,001.00	230,001.00	-	-	-
Tow -VSF Dual Employee Fee Subscription Fee	6,424.00	-	6,424.00	3,710.00	155,290.00	159,000.00
Tow -VSF Dual Employee Penalty	-	2,741.37	2,741.37	-	-	-
Tow -VSF Dual Employee Credit Card Convenience Fee	58.63	-	58.63	-	-	-
Total, Tow Truck Operators	\$ 119,435.68	\$ 4,433,362.99	\$ 4,552,798.67	\$ 117,083.44	\$ 4,559,306.32	\$ 4,676,389.76
Vehicle Booting						
Vehicle Booting Fees	\$ -	\$ 5,102.00	\$ 5,102.00	\$ -	\$ 7,538.50	7,538.50
Vehicle Booting Penalty	-	-	-	-	3,274.12	3,274.12
Vehicle Booting Credit Card Convenience Fee	-	-	-	75.88	-	75.88
Vehicle Booting CE Provider Fees	-	350.00	350.00	-	100.00	100.00
TexasOnline Subscription Fee	123.00	-	123.00	174.00	-	174.00
Total, Vehicle Booting	\$ 123.00	\$ 5,452.00	\$ 5,575.00	\$ 249.88	\$ 10,912.62	\$ 11,162.50
Vehicle Protection Product Warrantors						
Registration Fee	\$ -	\$ 34,900.00	\$ 34,900.00	\$ -	\$ 29,550.00	\$ 29,550.00
Fines & Penalties	-	3,750.00	3,750.00	-	3,000.00	3,000.00
Total, Vehicle Protection Product Warrantors	\$ -	\$ 38,650.00	\$ 38,650.00	\$ -	\$ 32,550.00	\$ 32,550.00

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2012 with Comparative Totals for August 31, 2011

	FY 2012 at August 31, 2012 (12 Months)			FY 2011 at August 31, 2011 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Vehicle Storage Facilities						
Registration Fee	\$ -	\$ 832,292.00	\$ 832,292.00	\$ -	\$ 857,331.00	\$ 857,331.00
Convenience Fee	2,328.40	-	2,328.40	276.23	-	276.23
Penalty	-	285,411.18	285,411.18	-	302,347.49	302,347.49
TexasOnline Subscription Fee	18,920.00	-	18,920.00	19,459.00	-	19,459.00
Total, Vehicle Storage Facilities	\$ 21,248.40	\$ 1,117,703.18	\$ 1,138,951.58	\$ 19,735.23	\$ 1,159,678.49	\$ 1,179,413.72
Water Well Drillers and Pump Installers						
Application/Exam Fee	\$ -	\$ 24,820.00	\$ 24,820.00	\$ -	\$ 15,355.00	\$ 15,355.00
License Fee	-	27,820.00	27,820.00	-	30,490.00	30,490.00
WWE CE Provider Fees	-	8,175.00	8,175.00	-	7,300.00	7,300.00
Renewal Fee	-	485,430.20	485,430.20	-	464,501.00	464,501.00
Late Fee	-	-	-	-	-	-
Penalty	-	37,908.47	37,908.47	-	25,735.54	25,735.54
Variance	-	14,300.00	14,300.00	-	6,900.00	6,900.00
TexasOnline Subscription Fee	13,214.00	-	13,214.00	11,950.00	-	11,950.00
Third Party Reimbursement	-	-	-	-	-	-
Total, Water Well Drillers and Pump Installers	\$ 13,214.00	\$ 598,453.67	\$ 611,667.67	\$ 11,950.00	\$ 550,281.54	\$ 562,231.54
Weather Modification						
Weather Modification License	\$ -	\$ 6,500.00	\$ 6,500.00	\$ -	\$ 5,850.00	\$ 5,850.00
Weather Modification Permit	-	175.00	175.00	-	225.00	225.00
Weather Modification Interagency Agreement	-	-	-	-	-	-
Weather Modification Penalty	-	-	-	-	-	-
Total, Weather Modification	\$ -	\$ 6,675.00	\$ 6,675.00	\$ -	\$ 6,075.00	\$ 6,075.00
General Counsel						
Combative Sports Warranty Receipts	\$ -	\$ -	\$ -	\$ 11,960.77	\$ -	\$ 11,960.77
Totals, General Counsel	\$ -	\$ -	\$ -	\$ 11,960.77	\$ -	\$ 11,960.77
Information Services Division						
Interagency Services	\$ 10,882.00	\$ -	\$ 10,882.00	\$ 10,882.00	\$ -	\$ 10,882.00
Totals, Information Services Division	\$ 10,882.00	\$ -	\$ 10,882.00	\$ 10,882.00	\$ -	\$ 10,882.00
Copies	\$ 112,495.60	\$ -	\$ 112,495.60	\$ 111,289.76	\$ -	\$ 111,289.76
Return Checks	\$ -	\$ 6,358.00	\$ 6,358.00	\$ -	\$ 5,030.00	\$ 5,030.00
Other Miscellaneous Governmental Revenue						
State Sales Tax	-	48,039.89	48,039.89	-	37,306.42	37,306.42
City Tax	-	7,736.12	7,736.12	-	6,029.40	6,029.40
MTA Tax	-	7,736.12	7,736.12	-	6,029.40	6,029.40
Distribution of Revenues Generated:						
Deposited into General Revenue Fund (Fund 0001)	1,772,376.66	38,197,202.39	39,969,579.05	1,506,873.25	38,027,846.21	39,534,719.46
Deposited into GR Dedicated Account (0108)	-	-	-	12,730.00	-	12,730.00
Deposited into GR Dedicated Account (5081)	-	-	-	629.00	-	629.00
Deposited into AERF (Fund 0898)	18,912.52	-	18,912.52	17,291.29	-	17,291.29
Deposited into Trust Fund (0846) LSC & SCP	-	(35,728.00)	(35,728.00)	162,090.00	-	162,090.00
Total Revenue Generated	\$ 1,791,289.18	\$ 38,161,474.39	\$ 39,952,763.57	\$ 1,537,523.54	\$ 38,027,846.21	\$ 39,565,369.75

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

The Texas Department of Licensing and Regulation (TDLR) serves as the primary state agency responsible for the oversight of businesses, industries, general trades, and occupations. In Fiscal Year 2012 these regulatory areas included:

- Air Conditioning and Refrigeration
- Architectural Barriers
- Auctioneers
- Barbers
- Boilers
- Combative Sports
- Cosmetologists
- Electricians
- Elevators, Escalators, and Related Equipment
- Employers of Temporary Common Workers
- For-profit Legal Service Contracts
- Identity Recovery Service Contract Providers
- Industrialized Housing and Buildings
- Licensed Breeders
- Licensed Court Interpreters
- Loss Damage Waivers
- Polygraph Examiners
- Property Tax Consultants
- Property Tax Professionals
- Service Contract Providers
- Staff Leasing Services
- Used Automotive Parts Recyclers
- Vehicle Protection Products
- Vehicle Storage Facilities
- Vehicle Towing and Booting
- Water Well Drillers
- Water Well Pump Installers
- Weather Modification

Texas Commission of Licensing and Regulation

TDLR's governing body, the Texas Commission of Licensing and Regulation, has seven public members appointed by the Governor with the advice and consent of the Senate. Commissioners serve six-year terms and meet at least quarterly each year. The Commission's primary duties include:

- guiding the development of the agency's strategic plan and approving the agency's budget reports and request for funding;
- providing policy direction for agency operations;
- reviewing existing rules and adopting new rules as needed;
- issuing final orders in contested cases; and
- hiring, supervising, evaluating and setting the salary for the executive director.

Commission members serving as of August 31, 2012

<u>Name</u>	<u>Home Town</u>	<u>Term Expires</u> <u>February 1,</u>
Frank S. Denton (Chairman)	Conroe	2013
Deborah Yurco	Austin	2013
Mike Arismendez (Vice Chairman)	Littlefield	2015
LuAnn Morgan	Midland	2015
Fred N. Moses	Plano	2015
Lilian Norman-Keeney	Taylor Lake Village	2017
Ravi Shah	Carrollton	2017

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Because commissioners are prohibited by law from having a financial interest in any of TDLR's regulated professions, they rely on the knowledge and experience of Advisory Board members from the industries we regulate. Members of the following Advisory Boards are appointed by the Chairman with the approval of the Commission:

- Advisory Board on Barbering
- Advisory Board on Cosmetology
- Air Conditioning and Refrigeration Contractors Advisory Board
- Architectural Barriers Advisory Committee
- Auctioneer Education Advisory Board
- Board of Boiler Rules
- Electrical Safety and Licensing Advisory Board
- Elevator Advisory Board
- Licensed Breeder Advisory Committee
- Licensed Court Interpreter Advisory Board
- Medical Advisory Committee
- Polygraph Examiners Advisory Committee
- Property Tax Consultants Advisory Council
- Property Tax Professional Advisory Committee
- Texas Industrialized Building Code Council – (Members of this board are appointed by the Governor)
- Towing, Storage and Booting Advisory Board
- Used Automotive Parts Recyclers Advisory Board
- Water Well Drillers Advisory Council
- Weather Modification Advisory Committee

Agency Organization in Fiscal Year 2012

TDLR focuses on perfecting its functionally aligned organizational structure while pursuing its vision to be the leader in public service, customer satisfaction, and innovation. TDLR honors the trust of all Texans by ensuring public safety and consumer protection, and providing a fair and efficient regulatory environment. In FY 2012, the agency was responsible for 28 programs and 153 different license types with more than 660,000 licensees.

TDLR has eleven divisions organized along functional lines: Compliance, Customer Service, Education and Examination, Enforcement, Executive, Financial Services, General Counsel's Office, Human Resources, Information Systems Development, Licensing, and Network Services.

To address the increase in responsibilities and the ongoing need for resources, the functional areas within the agency are organized into two areas of responsibility. "Resource Management" includes the Financial Services, Human Resources, Information Systems Development, and Network Services and Maintenance functions. "Regulatory Affairs" encompasses the functions of Customer Service, Compliance, Education and Examination, Enforcement and Licensing. This structure allows the agency to effectively administer and swiftly consolidate new responsibilities and the functions of any transferred programs into TDLR's infrastructure.

The Agency is made up of the following functional areas:

Compliance – The Compliance division provides technical oversight, expertise and training across each of TDLR's programs, serving as the point of contact for technical questions and concerns regarding compliance with regulations. Staff inspectors conduct inspections of businesses to ensure public safety and consumer protection and provide education and hands-on assistance to licensees. The work of the Compliance division helps improve the quality and operations of businesses, industries and occupations throughout Texas.

Customer Service – The Customer Service division provides excellent customer service every business day, ensuring the right information gets to the right people at the right time. The Customer Service section provides information to customers by telephone, email, Facebook, Twitter and in person from 8:00 am to 6:00 pm Monday through Friday.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Education and Examination – The Education and Examination division ensures that candidates for licensure satisfy pre-licensure education and examination requirements and that renewing licensees complete required continuing education courses. The division evaluates and approves schools and other pre-licensure education providers and courses and evaluates and approves continuing education providers, courses and their materials

Enforcement – The Enforcement division is responsible for investigating and resolving complaints in all 28 statutes regulated by TDLR. Complaints are received from a variety of sources— consumers, industry representatives and other divisions within the agency. Complaints are also generated directly by Enforcement personnel, through stings and other proactive field operations designed to uncover evidence of unlicensed activity and other violations.

Executive Director's Office – The Office of the Executive Director provides the leadership and motivation for achieving the agency's strategic vision, manages the day-to-day operation of the agency, implements Commission objectives, interacts with state and legislative leadership, communicates with the public through press releases and social media tools and ensures agency compliance with statewide goals. The Executive Offices' success is guided by a respect-based management philosophy and a commitment to open communication with our employees and the customers we serve.

Financial Services – The Financial Services division manages the agency's fiscal resources in compliance with state and federal laws. The division develops the legislative appropriations request, monitors TDLR's annual budget, processes the agency's payroll, and prepares all fiscal reports for the agency. The division ensures compliance with various spending limits, such as the out-of-state travel expenditure cap, and directs purchasing and capital asset capabilities.

General Counsel – The Office of the General Counsel oversees all legal aspects of agency operations. It employs a common sense approach by ensuring that agency rules, contracts and other regulatory activities are fair and consistent. This approach effectively protects the people of Texas, fosters an efficient regulatory environment and minimizes the risk of litigation against the state and the agency. Specifically, the General Counsel's office is responsible for rule review and rulemaking, responding to Open Records requests, supporting advisory boards and the Commission, and drafting contracts and legal opinions for the agency and the 28 statutes it administers.

Human Resources – The Human Resources division supports TDLR's healthy, positive and equitable work environment and is instrumental in attracting, developing and retaining qualified and dedicated employees. Focusing on quality service to employees, the office provides support in recruitment and hiring; training and career development; employee benefits; developing and maintaining personnel policies and procedures to ensure compliance with state and federal law; employee relations; performance management; workforce planning; and representation on personnel matters.

Network Services – The Network Services division supports the agency's vision by planning for growth, installing systems, and supporting and maintaining all information technology items, including our phone systems and mobile devices. The division also manages the network infrastructure, providing troubleshooting, maintenance, and upgrades as needed for TDLR's locations across the state. TDLR is also a participant in the mandated Data Center Services consolidation project through the Department of Information Resources.

Information Systems Development – The Information Systems Development division creates and maintains custom licensing, permitting and internet software that provides a common platform tailored to the precise needs of each agency program.

Licensing – The Licensing division issues licenses, registrations, permits and certifications to qualified applicants. The division's application evaluation process includes criminal background checks, education and credential verification, financial security and on-the-job experience.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Key Personnel as of August 31, 2012

<u>Name</u>	<u>Position</u>
William H. Kuntz, Jr.	Executive Director
Brian E. Francis	Deputy Executive Director
Brad Bowman	General Counsel
Glen Bridge	Director of Information Systems Development
Jerry Daniels	Director of Financial Services
Don Dudley	Director of Education and Examination
George Ferrie	Director of Compliance
Sharon Homoya	Personnel Attorney
Christina Kaiser	Director of Enforcement
Gene Mays	Director of Customer Service
Dede McEachern	Director of Licensing
Simon Skedd	Director of Network Services

Agency Make-up

In Fiscal Year 2012, TDLR was authorized 392.2 full-time equivalent (FTE) positions but only utilized an average of 359.5. Of those positions, 303.5 are located in Austin and 56 are assigned to other locations throughout the state.

TDLR Highlights and Accomplishments

Throughout Fiscal Year 2012 TDLR worked to integrate the addition of new programs and the expansion of existing programs, as assigned by the 82nd Legislature. The Legislature recognizes TDLR as an effective solution for new and existing licensing programs, and the agency constantly evaluates its organizational and functional alignment to further improve services. TDLR recommended the 82nd Legislature consider the viability and continued regulation of the Personnel Employment Services and Talent Agency programs. The Legislature took TDLR's evaluations into consideration and, on finding no continuing public need for the programs, eliminated them during the 82nd session.

The 82nd Legislature sent TDLR a high-profile new program: House Bill 1451 created statewide licensing of dog and cat breeders. Licensing requirements went into effect September 1, 2012, and implementing this program required the agency to develop new rules, licensing processes, inspection procedures, specialized training, and new enforcement criteria.

Significant improvements were also made to several of TDLR's existing programs, most notably the Barber and Cosmetology programs. New combination license types were added, and a new license was created for the application of eyelash extensions. Expedited paths were also added for cosmetologists to obtain a barber license and barbers to obtain a cosmetology license.

Additional safety responsibilities were added to the Elevator program by House Bill 2643, with requirements for plan reviews for installation or alteration of elevators, and insurance and education requirements for elevator contractors.

The Architectural Barriers program staff worked closely with industry groups, the Advisory Board, and design professionals to complete the development and implementation of the 2012 Texas Accessibility Standards, the first revision since 1994.

In addition, TDLR management created a Process Improvement Team to facilitate and reinforce the agency's constant focus on efficiency and accountability. An internal communications position was also created to keep staff better informed of changes and improve the consistency of agency communications. Payroll services were also moved from the Human Resources division to the Financial Services division to better align this function.

These new responsibilities and changes have been integrated into TDLR's functional alignment system and are running well.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

At the end of FY 2012, the department saw record numbers in the following areas:

- Total licensee population of 667,506
- 324,463 phone calls answered
- 364,335 pieces of mail opened, batched and processed
- 137,201 inspections completed
- 12,597 complaints received
- 1,019 open records requests processed
- 679,088 email subscribers
- 1,627,535 website visits
- 66,211,730 page views
- 12,060 “likes” on Facebook
- 992 Twitter followers
- Donations to the State Employee Charitable Campaign totaling \$24,059.

Additionally, TDLR was recognized for the second year in a row as a Top Workplace among mid-sized employers in the Austin area by the *Austin American Statesman*.

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Texas Department of Licensing and Regulation

TEXAS DEPARTMENT OF LICENSING AND REGULATION
ADDENDUM II
Organizational Chart

