

Legislative Appropriations Request

for Fiscal Years 2016 and 2017

submitted to the

Governor's Office of Budget, Planning and Policy and the Legislative Budget Board

by the Commission of Licensing
and Regulation

for the Department of Licensing
and Regulation



July 28, 2014



Legislative Appropriations Request

For Fiscal Years 2016 and 2017

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

by

The Texas Department of Licensing and Regulation

July 28, 2014

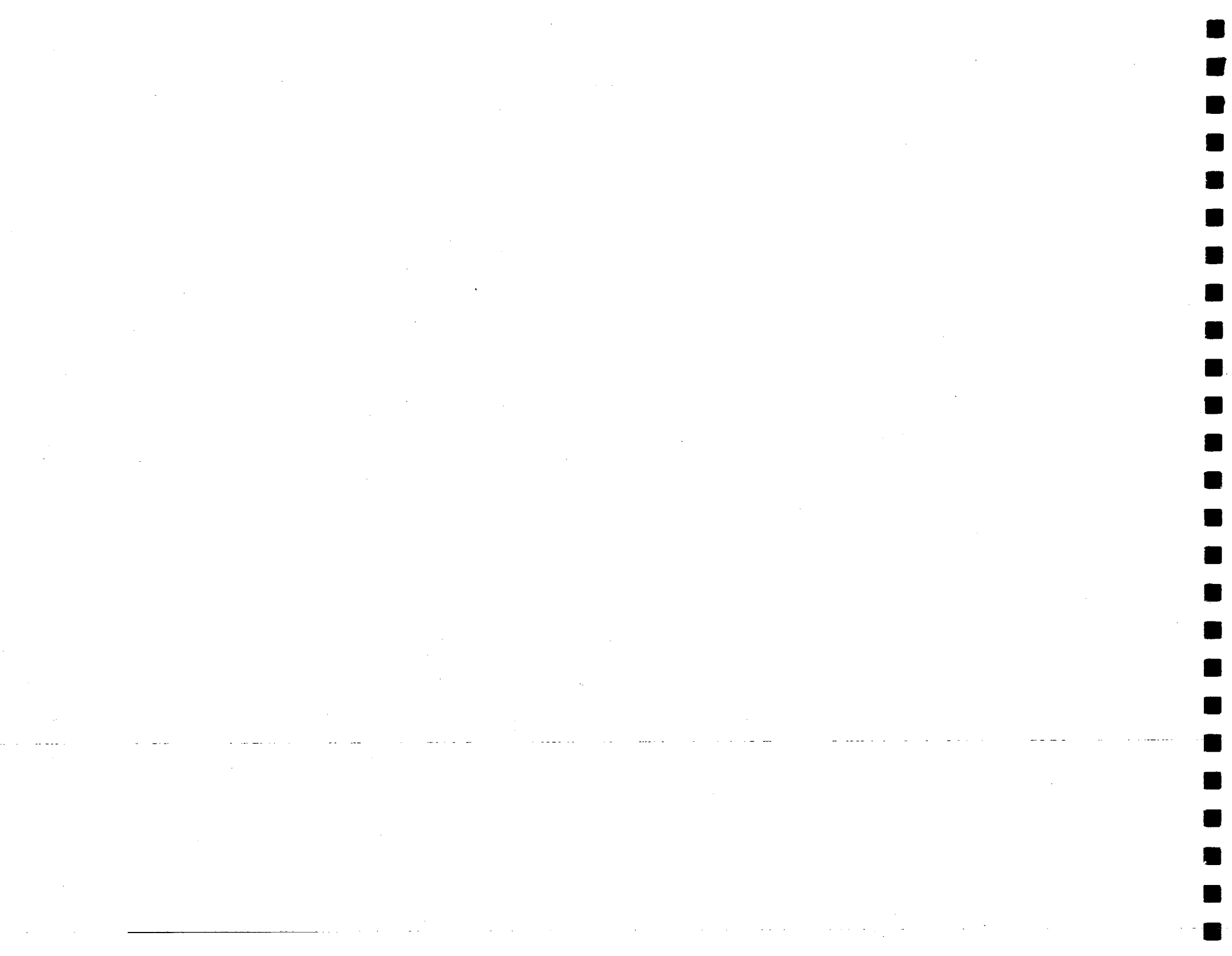
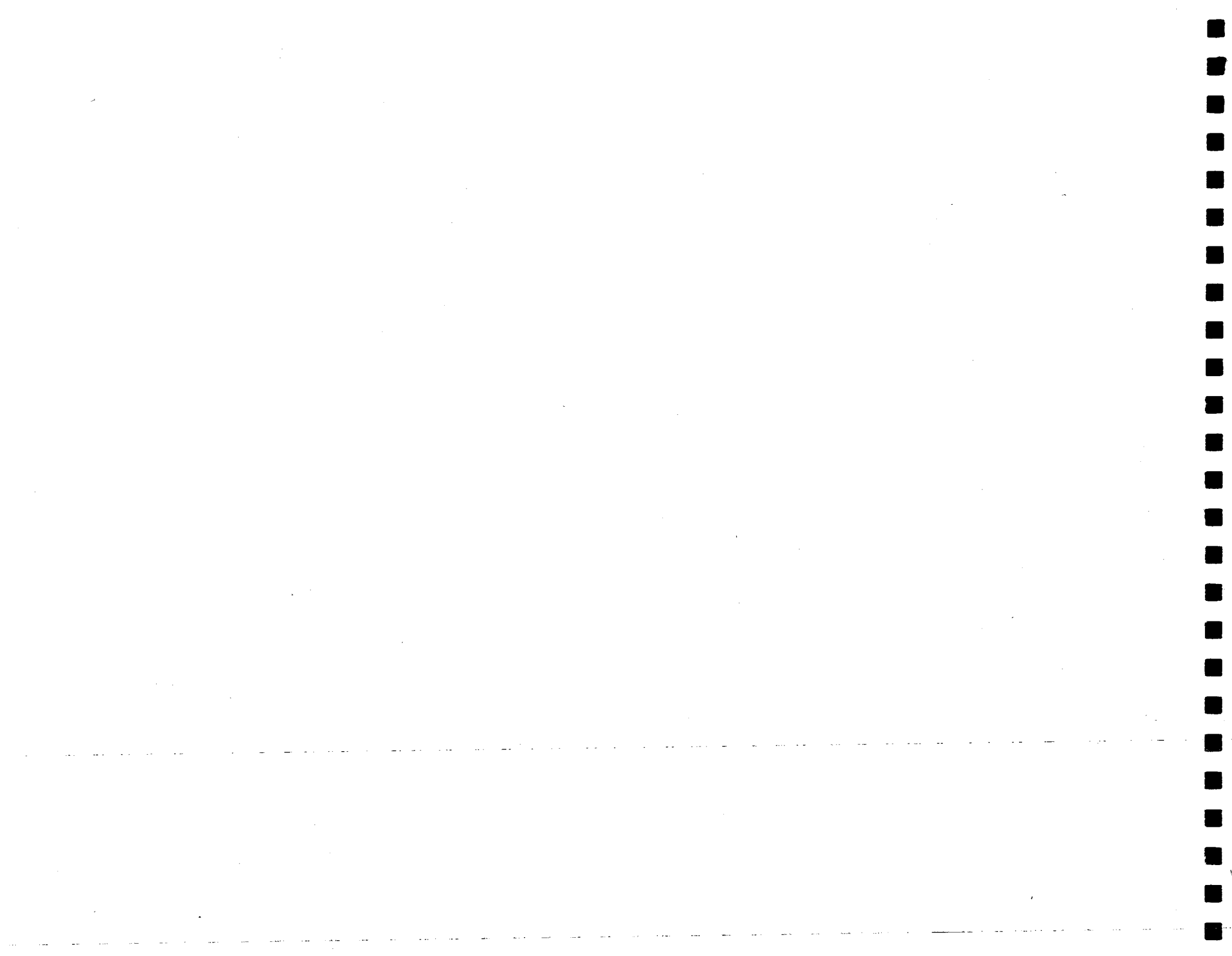


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The Texas Department of Licensing and Regulation's vision is to be the leader in public service by creating innovative, cost effective licensing and regulatory best practices and cultivating employees who provide exceptional customer service. Our mission is to honor the trust of all Texans, ensure public safety and customer protection, and provide a fair and efficient licensing and regulatory environment at the lowest possible cost. To achieve smaller, smarter government, our philosophy guides us to:

- Honor the public by regulating in a fair, open, and consistent manner;
- Provide more opportunities and avenues for our customers and employees to be heard (Your Voice Matters);
- Deliver simple, clear, and courteous service to our customers;
- Maintain a culture of change and innovation by always challenging and re-evaluating the status quo;
- Build efficient and cost-effective public-private partnerships;
- Mentor leaders to exemplify TDLR's Core Values;
- Eliminate waste and maximize our resources to lower the cost for the customers we serve;
- Reward high-performing employees through promotion, raises, and recognition;
- Serve the citizens of Texas responsibly through transparency and efficiency; and
- Value the dignity and worth of our customers and employees.

SMALLER, SMARTER GOVERNMENT, VOL. 2

Since 2000, TDLR has been the catalyst for the transformation of occupational and business licensing in Texas. The agency was restructured at that time to a functionally aligned business model that provides shared services across occupational and business licensing programs. This dynamic structural change allows TDLR to manage an increasingly diverse mix of programs while maintaining a lean work force.

TDLR's responsibilities have grown as the Legislature has invested more confidence and trust in our ability to successfully transform inefficient existing programs and take on new licensing responsibilities. In FY 2000, TDLR had 116,000 licensees and 17 programs; as of FY 2014 we now have over 650,000 licensees and 26 programs. However, while the TDLR licensee population continues to grow, we have held agency growth in check and actually reduced the size of our workforce while still delivering outstanding customer service.

TDLR has worked closely with the legislature to abolish, transfer, repeal or privatize programs that no longer meet the criteria for state licensing and regulation. Most recently, TDLR worked successfully with the 83rd Legislature to eliminate unnecessary regulation and modernize our licensing programs:

- Repealed the Loss Damage Waiver program to end regulation of rental-purchase agreements with a loss-damage waiver clause;
- Transferred the Licensed Court Interpreters program to the Office of Court Administration to improve functional alignment;
- Repealed the Barbers program licenses for Barber Shampoo Apprentice and Registered Exam Proctor;
- Repealed the Cosmetology program licenses for Shampoo Specialist, Shampoo Apprentice, and Registered Exam Proctor;
- Eliminated the separate Barber Booth Rental License and related fee by combining this license with the Class A Barber Certificate;
- Eliminated the separate Cosmetology Booth Rental License and related fee by combining this license with the Operator Certificate;
- Repealed the unnecessary and confusing refrigerant purchasing registration in the Air Conditioning and Refrigeration Contractors program;
- Clarified and removed outdated and inconsistent Polygraph Examiner program statutory education provisions;
- Repealed the requirement that food steamers be regulated as part of the Boiler program;
- Allowed Barber and Cosmetology services to be performed at a location other than a barber shop or salon, to better serve the elderly, sick, and homebound;
- Updated the Elevator program statute to allow qualified elevator inspector accreditation by any organization approved by TDLR;
- Repealed the timekeeper and ringside physician license requirements in the Combative Sports program.

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TEXAS COMMISSION OF LICENSING AND REGULATION – COMMON-SENSE LEADERSHIP

The Texas Commission of Licensing and Regulation is the governing board and policy-making body of TDLR. The seven public members of the Commission are appointed to staggered six-year terms by the Governor with the consent of the Senate. By law, Commission members cannot engage in any of the businesses or trades regulated by TDLR. Commissioners' valuable insight from their professional and business experience enhances and complements TDLR's common-sense approach to fair, efficient regulation and low-cost licensing. The Commission is composed of the following members:

COMMISSIONER	HOMETOWN	TERM EXPIRES
Mike Arismendez, Chair	Littlefield	February 1, 2015
LuAnn Roberts Morgan, Vice-Chair	Midland	February 1, 2015
Fred N. Moses	Plano	February 1, 2015
Catherine Rodewald	Frisco	February 1, 2017
Ravi Shah	Carrollton	February 1, 2017
Thomas F. Butler	Deer Park	February 1, 2019
Deborah Yurco	Austin	February 1, 2019

EXCEPTIONAL ITEMS

Exceptional Item One: Enhance Employee Retention

Since 1999, the Legislature has looked to TDLR each session to bring fiscal discipline and better oversight to a variety of programs. TDLR's functionally aligned business model provides the path for consolidating programs to achieve cost savings and efficiencies. If the Legislature sends additional licensing responsibilities to TDLR, we will need to retain employees with program knowledge and the aptitude for process analysis and redesign, critical thinking, and communication. The agency's current pay structure is not sufficient to retain employees with these critical skills.

A salary comparison of TDLR's employee pay to the pay of employees in other state agencies by State Auditor's Office job classification reveals the average pay for 55 of TDLR's 66 job classifications is lower than the average pay for those classifications at other agencies. TDLR has recently experienced high employee turnover, in large part due to the competitive disadvantage in the salary structure.

We are requesting \$300,000 in fiscal year 2016 and \$300,000 in fiscal year 2017 to enhance our retention initiative.

Exceptional Item Two: Achieve Gartner IT Security Recommendations

A statewide security program was established in 2013 by the Department of Information Resources to strengthen information security by assessing security and risk management at state agencies. As part of this statewide Enterprise Security Program, Gartner, Inc., an information technology (IT) research and advisory firm, prepared for TDLR a security assessment of its IT security program and applicable information technology environments, including infrastructure, governance, and related life cycle processes. As part of this assessment, Gartner in February 2014 identified the positions and technology costs necessary for TDLR to achieve each of the five IT maturity levels. This would significantly strengthen TDLR's capacity to protect the confidential personal identifiable information of its vendors and consumers and more than 600,000 licensees maintained in the agency's databases.

Based on the recommendations in the Gartner Study, we are requesting 6 FTEs and \$1,078,624 in fiscal year 2016 and 6 FTEs and \$444,821 in fiscal year 2017. This request will allow TDLR to achieve a Level 3, proactive and defined IT maturity level ensuring that TDLR's policies and rules are in place and that IT security roles and responsibilities are clearly established.

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Exceptional Item Three: Analyze TDLR's Long-Term IT Systems Needs

TDLR is seeking appropriations to conduct an "IT Systems Needs" study on TDLR's current licensing system. TDLR's main licensing system, Texas Uniform Licensing Information Project, was initiated in 2000. The agency also uses the HP3000 licensing system which was installed in 1982. While these older systems have allowed TDLR to be successful, it is imperative that we take a comprehensive look at what our long-term future licensing needs will be, and how we will go about fulfilling those needs. The states of Michigan and Florida recently conducted studies similar in scope and purpose with the one that TDLR is requesting – the costs of the studies were \$700,000 and \$600,000, respectively.

We are requesting \$250,000 in fiscal year 2016 for an IT Systems Needs study to be conducted by an independent third-party. The results and conclusions from this study will be included in our 2018-19 LAR.

Exceptional Item Four: Increase Consumer Protection Inspections

TDLR's Field Operations Division conducts more than 40,000 safety and compliance inspections per year. These inspections impact nearly all Texans and more than 330,000 licensees. For Texans who use the services provided by these licensees, inspections are the frontline of protection for public safety and welfare.

After careful evaluation of TDLR's current field inspection personnel, the agency has determined that three additional FTEs in inspection and program administration will allow the agency to meet the increase in the number of facilities needing inspections and ensure that Texans are properly safeguarded. Two Field Inspectors will allow the agency to annually conduct 2,710 additional barber, cosmetology, licensed breeder, vehicle storage facility, towing company, and used auto part recycler inspections. The addition of one Program Specialist will bring much-needed support for the sophisticated inspection routing and mobile hand-held electronic inspection devices, allowing Field Inspectors to receive timely support and freeing up managers to provide follow-up and increased oversight of the inspection program. The Program Specialist will also be responsible for data analytics for these systems.

We are requesting three FTEs and \$216,522 in fiscal year 2016 and \$188,705 in fiscal year 2017 to enhance consumer protection inspections.

Exceptional Item Five: Improve Customer Service Responsiveness

TDLR is requesting 4 additional Customer Service Representatives to address gaps in our phone, email, and social media coverage. These additional positions will allow TDLR to maintain a steady level of reliable customer service, in light of the employee turnover typical for a contact center. Because experienced Customer Service Representatives are consistently sought after and promoted to other positions throughout the agency, the Customer Service Division needs to maintain an "overfill" of trained representatives to help mitigate the high turnover. The additional Customer Service Representatives will also allow Customer Service to provide adequate training as we continue to address the multiple ways customers are communicating with TDLR.

We are requesting four FTEs and \$185,702 in fiscal year 2016 and \$157,686 in fiscal year 2017 to improve customer service responsiveness.

Exceptional Item Six: Strengthen Public-Private Partnership Administration

TDLR is requesting one FTE for the Financial Services Division, a Contract Specialist. The Contract Specialist will enhance TDLR's expertise in obtaining bids and securing contracts through DIR and managing TDLR's investment in public-private partnership opportunities.

TDLR has been a leader among state agencies in successfully building public-private partnerships. We are committed to expanding these partnerships to leverage state resources and focus on our core responsibilities. The Contract Specialist position will ensure that we are maximizing the benefit of public-private partnerships and help guarantee we receive the highest return on investment, as well as enhance our expertise in evaluating, monitoring, and administering increasingly complex service-level

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agreement contracts.

We are requesting one FTE and \$61,558 in fiscal year 2016 and \$54,554 in fiscal year 2017 to improve public-private partnership administration.

Exceptional Item Seven: Combat Online Licensing Violations and Consumer Fraud

The growth of electronic commerce presents unique challenges to the agency's efforts to detect fraud, unlicensed activity, and other violations. Investigators, prosecutors and legal assistants must be able to identify relevant electronic data, interpret its significance, and follow the circuitous path of complex transactions. They also need the ability to manage the data explosion, analyze large volumes of data, and decipher trends and anomalies that are indicators of illegal activity. Increasingly, enforcement staff are expected to apply the law to electronic activities that were not contemplated when the law was passed.

To meet this growing demand, TDLR needs two investigators, one prosecutor and two legal assistants with specialized knowledge and skill in navigating, collecting and understanding electronic information. More specifically, TDLR has a strategic need for forensic data analysis expertise combined with investigation/prosecutorial background that has a working knowledge of internet technologies, familiarity with online advertising and social media websites, knowledge of programming code and structured query language, and skill in the analysis of big data.

We are requesting five FTEs and \$346,060 in fiscal year 2016 and \$309,854 in fiscal year 2017 to enhance consumer protection inspections.

Exceptional Item Eight: Fund Rising Costs Associated with the Data Center Consolidation (DCC) Service Contract

The current Department of Information Resources (DIR) contract with Xerox and CapGemini to provide consolidated data center services continues to increase in cost, most notably for disaster recovery services. While TDLR has offset a significant portion of cost increases during FY 2014 through ongoing cost saving measures, expenditures to maintain TDLR's current levels of service to the public will increase significantly during FY 2015 beyond previously budgeted amounts. Participation in the DCC contract is required for the 28 state agencies, including TDLR, named in statute. Normal licensing population growth requires TDLR to increase data space and corresponding costs in order for TDLR to continue to meet current performance levels. Fluctuations in the price of data center consolidation services provided occur monthly based on a wide array of factors, including the consumption of the other 27 agencies covered by the current contract. While TDLR has effectively reacted to these fluctuations and maximized our available funds to date, forecasted rate increases will impact TDLR's ability to maintain information resources at their current service levels. Additional funding for specific recovery objectives needed by TDLR is required in order to avoid lengthening the recovery timeline for our mission-critical systems.

We are requesting \$276,299 in fiscal year 2016 and \$186,343 in fiscal year 2017 to cover the rising costs of the data center consolidation service contract.

Exceptional Item Nine: Provide Travel Reimbursement for Advisory Board Members

TDLR's 18 industry-led advisory boards, comprised of 159 members, play a vital role in our success by providing the Commission and the agency with technical expertise and advice on issues affecting their industries. Advisory boards were created by the Legislature to ensure that the industries regulated by TDLR are involved in the development of licensing requirements, examination content, and practical and ethical standards for their industries. The advisory board members are volunteers from across Texas and provide the state an invaluable service, yet they must bear the cost of traveling to and from meetings.

In the absence of the travel reimbursement, TDLR has experienced difficulty in scheduling advisory meetings, a decline in the number of advisory board meetings, and the loss of talented advisory board members. This trend will continue if members don't receive reimbursement for their travel and the valuable voice of industry members will be diminished. Funding of advisory board travel would allow the agency to properly reimburse these volunteers for the commitment and sacrifices they make for the benefit of the state of Texas. Currently, the Department of State Health Services is appropriated \$200,000 per fiscal year for travel expenses of 20 advisory committees.

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We are requesting \$75,000 in fiscal year 2016 and \$75,000 in fiscal year 2017 to reimburse advisory board member travel.

Exceptional Item Ten: Respond to Increased Construction Activity

Texas leads the nation in job creation and business development. By reducing the regulatory burden on people and businesses, Texas' economic climate and job market have flourished. Another contributing factor to Texas' success is the rebound in construction activity. Three of TDLR's programs are directly impacted by and impact construction activity – architectural barriers, elevators, and industrialized housing and buildings. Each of these programs includes a plan review and inspection component to ensure that modular homes, buildings and elevator equipment are constructed in compliance with building and safety codes. TDLR has seen a significant increase in the number of plan reviews, which is a leading indicator for future construction activity. Elevator plan reviews increased from 650 in the first three quarters of fiscal year 2013 to 1,042 for the same time period in fiscal year 2014, more than a 60% increase. Construction projects are expected to increase by 3% per year over the next two years. The rise in plan reviews and construction activity will result in greater workloads for TDLR's elevator, architectural barriers and industrialized housing and buildings programs. To ensure that we quickly process construction plan approval documents and do not delay construction activity, we are requesting three program specialist III positions. These new positions will be cross-trained to perform plan reviews, audits and inspections in these programs to respond to peak workloads.

We are requesting three FTEs and \$194,852 for fiscal year 2016 and \$172,061 for fiscal year 2017.

Exceptional Item Eleven: Staff the Centralized Accounting and Payroll/Personnel System Conversion

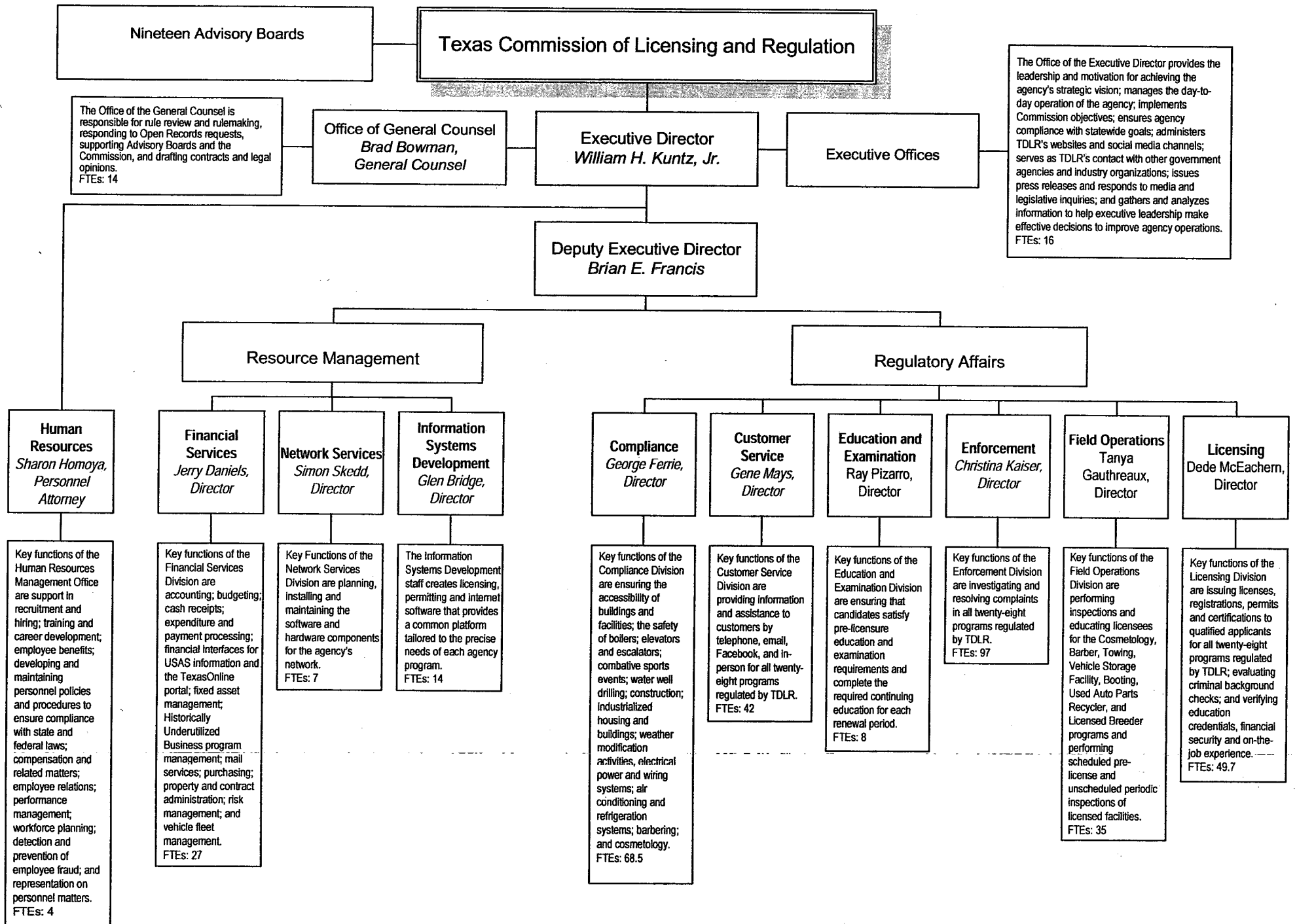
The Comptroller's Office selected TDLR to convert from the Texas Uniform Statewide Accounting System and the Uniform Statewide Payroll/Personnel System legacy systems to the new Centralized Accounting and Payroll/Personnel System (CAPPS) in the 2016-17 biennium. The CAPPS project will support the Enterprise Resource Planning's mission for all state agencies to achieve transparency in state government operations and funding. Furthermore, CAPPS will replace the current manual, labor intensive budgeting process and provide decision makers seamless access to financial tools necessary to make informed decisions. While the Comptroller's Office will be submitting a consolidated request to fund the conversion and deployment costs for CAPPS, TDLR will also need two FTE's and multiple contracted training classes to facilitate a successful deployment of the CAPPS system.

The conversion to a new financial and human resource system will require significant investments of time from current personnel over the life of the project. These time commitments are beyond the current staffing capacities needed to maintain current Financial Service and Human Resource business processes. One additional FTE for Financial Services and Human Resources each will allow both divisions to continue to provide the same level of customer service and with little to no impact on performance measures.

Due to TDLR's reliance on manual financial and budgeting processes and the Comptroller's legacy systems for many years, staff do not currently possess the necessary skills to use the CAPPS system. TDLR will need to contract with a vendor to provide multiple in-depth classes to cover the wide range of changes needed to support TDLR's best business processes and, at minimum fully utilize CAPPS to maintain or improve current functionality.

We are requesting two FTEs and \$81,558 for fiscal year 2016 and \$131,111 for fiscal year 2017.

Texas Department of Licensing and Regulation





CERTIFICATE

Agency Name Texas Department of Licensing and Regulation

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2014-15 GAA).

Chief Executive Office or Presiding Judge

Handwritten signature of William H. Kuntz, Jr. in black ink.

Signature

William H. Kuntz, Jr.
Printed Name

Executive Director
Title

July 28, 2014
Date

Board or Commission Chair

Handwritten signature of Mike Arismendez in black ink.

Signature

Mike Arismendez
Printed Name

Commission Chairman
Title

July 28, 2014
Date

Chief Financial Officer

Handwritten signature of Jerald A. Daniels in black ink.

Signature

Jerald A. Daniels
Printed Name

Director of Financial Services
Title

July 28, 2104
Date

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
1 License, Certify, and Register Qualified Individuals and Businesses					
1 Regulate All Applicable Individuals and Facilities According to Law					
1 LICENSE, REGISTER AND CERTIFY	2,400,445	2,440,911	2,466,495	2,466,495	2,466,495
2 LICENSE BUSINESSES AND FACILITIES	889,796	936,516	882,929	882,929	882,929
3 EXAMINATIONS/CONTINUING EDUCATION	599,130	623,984	662,900	662,900	662,900
4 CUSTOMER SERV.	1,945,812	1,476,560	1,439,239	1,439,239	1,439,239
5 TEXAS.GOV	471,403	467,200	467,200	467,200	467,200
TOTAL, GOAL 1	\$6,306,586	\$5,945,171	\$5,918,763	\$5,918,763	\$5,918,763
2 Protect the Public by Enforcing Laws Administered by the Agency					
1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations					
1 CONDUCT INSPECTIONS	6,834,063	6,786,209	6,886,462	6,831,545	6,856,198
2 BUILDING PLAN REVIEWS	1,037,073	1,121,175	1,108,897	1,108,897	1,108,897
3 RESOLVE COMPLAINTS	2,799,643	3,026,647	3,057,053	3,017,989	3,032,053

2.A. Summary of Base Request by Strategy

7/28/2014 9:29:48AM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
4 INVESTIGATION	2,890,115	2,956,970	2,980,714	2,980,714	2,980,714
TOTAL, GOAL 2	\$13,560,894	\$13,891,001	\$14,033,126	\$13,939,145	\$13,977,862
3 Indirect Administration					
1 Indirect Administration					
1 CENTRAL ADMINISTRATION	2,901,033	2,386,614	2,405,877	2,405,877	2,405,877
2 INFORMATION RESOURCES	2,181,830	1,696,957	1,767,009	1,767,009	1,804,134
3 OTHER SUPPORT SERVICES	405,316	363,403	359,376	359,376	359,376
TOTAL, GOAL 3	\$5,488,179	\$4,446,974	\$4,532,262	\$4,532,262	\$4,569,387
TOTAL, AGENCY STRATEGY REQUEST	\$25,355,659	\$24,283,146	\$24,484,151	\$24,390,170	\$24,466,012
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$25,000	\$25,000
GRAND TOTAL, AGENCY REQUEST	\$25,355,659	\$24,283,146	\$24,484,151	\$24,415,170	\$24,491,012

2.A. Summary of Base Request by Strategy

7/28/2014 9:29:48AM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	23,795,208	23,292,099	23,493,269	23,424,288	23,500,130
SUBTOTAL	\$23,795,208	\$23,292,099	\$23,493,269	\$23,424,288	\$23,500,130
General Revenue Dedicated Funds:					
99 Oper & Chauffeurs Lic Ac	106,025	165	0	0	0
108 Priv Beauty Culture Sch	1,900	20,000	20,000	20,000	20,000
5081 Barber School Tuition Protection	0	5,000	5,000	5,000	5,000
SUBTOTAL	\$107,925	\$25,165	\$25,000	\$25,000	\$25,000
Other Funds:					
666 Appropriated Receipts	1,416,644	930,000	930,000	930,000	930,000
777 Interagency Contracts	10,882	10,882	10,882	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	25,000	25,000	25,000
SUBTOTAL	\$1,452,526	\$965,882	\$965,882	\$965,882	\$965,882
TOTAL, METHOD OF FINANCING	\$25,355,659	\$24,283,146	\$24,484,151	\$24,415,170	\$24,491,012

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$22,341,784	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2014-15 GAA)

\$0	\$23,169,944	\$23,292,206	\$0	\$0
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Regular Appropriation

\$0	\$0	\$0	\$23,424,288	\$23,500,130
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RIDER APPROPRIATION

Art VIII, Rider 14, Contingency SB 845, Postage Costs (2014-15)

\$0	\$(97,330)	\$(143,060)	\$0	\$0
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Comments: Footnote 2, Art.VIII-31, GAA 2014-15.

Art. IX, Sec 9.05, Texas.gov Project: Occupational Licenses

\$4,203	\$0	\$0	\$0	\$0
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Comments: APS 029, Processing Step 8.

2.B. Summary of Base Request by Method of Finance
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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GENERAL REVENUE

Art. IX, Sec 18.43 Contingency HB 1451, Breeders (2012-13)

\$493,136	\$0	\$0	\$0	\$0
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Comments: Licensed Dog & Cat Breeders/Contingency Rider

Art IX, Sec 17.01(a), Data Center-Reductions for Cost of Living Ajustment (2012-13 GAA)

\$(58,211)	\$0	\$0	\$0	\$0
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Comments: \$106,794 for the 2012-2013 biennium. Amounts per year determined by DIR.

Art IX, Sec 17.01(b), Data Center-Reductions for Administrative Rate Charge (2012-13 GAA)

\$(5,900)	\$0	\$0	\$0	\$0
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Comments: \$12,058 for the 2012-2013 biennium. Amounts per year determined by DIR.

Art IX, Sec 17.08(b), Data Center Increases (2014-15 GAA)

\$0	\$(1,072)	\$5,205	\$0	\$0
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Comments: Footnote 1, Art.VIII-31, GAA 2014-15.

TRANSFERS

Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)

\$0	\$220,557	\$359,442	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>					
Art IX Sec. 18.42 Contingency for SB 966 (2014-15)	\$0	\$0	\$(20,524)	\$0	\$0
Comments: Footnote 3, Art.VIII-31, GAA 2014-15.					
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2012-13 GAA)	\$(111,838)	\$0	\$0	\$0	\$0
Comments: \$111,351 was from DCC UB'd from 2012.					
Art. IX, Sec 18.43 Contingency HB 1451, Breeders (2012-13)	\$(1,241)	\$0	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art. VIII-33, Rider 11, UB Authority (2012-13 GAA)	\$1,011,528	\$0	\$0	\$0	\$0
Capital Budget, Data Center Consolidation (2012-13 GAA)	\$120,868	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>					
Art. IX Sec. 18.43 Contingency HB 1451, Breeders (2012-13)	\$879	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund	\$23,795,208	\$23,292,099	\$23,493,269	\$23,424,288	\$23,500,130
TOTAL, ALL GENERAL REVENUE	\$23,795,208	\$23,292,099	\$23,493,269	\$23,424,288	\$23,500,130

GENERAL REVENUE FUND - DEDICATED

99 GR Dedicated - Operators and Chauffeurs License Account No. 099

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$106,040 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2014-15 GAA)

\$0 \$106,041 \$106,040 \$0 \$0

LAPSED APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$(15) \$0 \$0 \$0 \$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING Exp 2013 Est 2014 Bud 2015 Req 2016 Req 2017

GENERAL REVENUE FUND - DEDICATED

Regular Appropriations from MOF Table (2014-15 GAA)

\$0 \$(105,876) \$(106,040) \$0 \$0

TOTAL, GR Dedicated - Operators and Chauffeurs License Account No. 099

\$106,025 \$165 \$0 \$0 \$0

108 GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108

REGULAR APPROPRIATIONS

GR Dedicated - Private Beauty Culture School Tuition Protection Acct., No. 108 (2012-13 GAA)

\$20,000 \$0 \$0 \$0 \$0

GR Dedicated - Private Beauty Culture School Tuition Protection Acct., No. 108 (2014-15 GAA)

\$0 \$20,000 \$20,000 \$0 \$0

GR Dedicated - Private Beauty Culture School Tuition Protection Acct., No. 108 (2016-17 GAA)

\$0 \$0 \$0 \$20,000 \$20,000

LAPSED APPROPRIATIONS

GR Dedicated - Private Beauty Culture School Tuition Protection Acct., No. 108 (2012-13 GAA)

\$(18,100) \$0 \$0 \$0 \$0

Comments: There were only claims of \$1,900 against this tuition protection account. Only collected budget was lapsed. No lapse of money.

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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GENERAL REVENUE FUND - DEDICATED

TOTAL,	GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108				
	\$1,900	\$20,000	\$20,000	\$20,000	\$20,000

5081 GR Dedicated - Barber School Tuition Protection Account No. 5081

REGULAR APPROPRIATIONS

GR Dedicated - Barber School Tuition Protection Acct., No. 5081 (2012-13 GAA)	\$5,000	\$0	\$0	\$0	\$0
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GR Dedicated - Barber School Tuition Protection Acct., No. 5081 (2014-15 GAA)	\$0	\$5,000	\$5,000	\$0	\$0
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GR Dedicated - Barber School Tuition Protection Acct., No. 5081 (2016-17 GAA)	\$0	\$0	\$0	\$5,000	\$5,000
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LAPSED APPROPRIATIONS

GR Dedicated - Barber School Tuition Protection Acct., No. 5081 (2012-13 GAA)	\$(5,000)	\$0	\$0	\$0	\$0
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Comments: There were no claims against this tuition protection account. Only collected budget was lapsed. No lapse of money.

TOTAL,	GR Dedicated - Barber School Tuition Protection Account No. 5081				
	\$0	\$5,000	\$5,000	\$5,000	\$5,000

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$107,925	\$25,165	\$25,000	\$25,000	\$25,000
TOTAL, GR & GR-DEDICATED FUNDS	\$23,903,133	\$23,317,264	\$23,518,269	\$23,449,288	\$23,525,130

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$862,000 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2014-15 GAA)

\$0 \$930,000 \$930,000 \$0 \$0

Regular Appropriations from MOF Table (2016-17 GAA)

\$0 \$0 \$0 \$930,000 \$930,000

RIDER APPROPRIATION

Art VIII, Rider 3, Travel and Fee Reimbursements (2012-13 GAA)

\$139,375 \$0 \$0 \$0 \$0

Art. VIII, Rider 12 Health And Safety Code, Ch. 754 (2012-13)

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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OTHER FUNDS

	\$369,685	\$0	\$0	\$0	\$0
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Comments: Actual amount over threshold was \$406,542

Art IX, Sec 8.03, Reimbursements and Payments (2012-13 GAA)

	\$(21,463)	\$0	\$0	\$0	\$0
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Comments: Of \$862,000 MOF, \$222,000 was budgeted for reimbursements and payments. Only \$200,537 was collected for reimbursements and payments.

Art IX, Sec 12.02, Publications or Sales of Records (2012-13 GAA)

	\$67,047	\$0	\$0	\$0	\$0
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Comments: Of \$862,000 MOF, \$640,000 was budgeted for publications or sales of records, but \$707,047 was collected for publications or sales of records.

SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS

Supplemental Appropriations made in Rider 14, Combative Sports Regulation (2012-13)

	\$30,000	\$0	\$0	\$0	\$0
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Comments: Rider allows excess revenue to be spent on added costs of administering these mega events. Estimated as one event each year.

Supplemental Appropriations made in Rider 13, Combative Sports Regulation (2014-15)

	\$0	\$30,000	\$30,000	\$0	\$0
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Comments: Same as above.

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING Exp 2013 Est 2014 Bud 2015 Req 2016 Req 2017

OTHER FUNDS

LAPSED APPROPRIATIONS

Art VIII, Rider 14, Combative Sports Event (2012-13 GAA)

\$(30,000) \$0 \$0 \$0 \$0

Comments: No mega event in 2013.

Art VIII, Rider 13, Combative Sports Event (2014-15 GAA)

\$0 \$(30,000) \$(30,000) \$0 \$0

Comments: Thresholds set at a level that would require 4 to 6 events to qualify.
 Such thresholds render the intent of the rider meaningless.

TOTAL, Appropriated Receipts \$1,416,644 \$930,000 \$930,000 \$930,000 \$930,000

777 Interagency Contracts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$10,882 \$0 \$0 \$0 \$0

Comments: IAC with Arts Commission to furnish IT network support.

Regular Appropriations from MOF Table (2014-15 GAA)

\$0 \$10,882 \$10,882 \$0 \$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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OTHER FUNDS

Comments: Same as above.

Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$0	\$0	\$10,882	\$10,882
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TOTAL, Interagency Contracts

\$10,882	\$10,882	\$10,882	\$10,882	\$10,882
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898 Auctioneer Education and Recovery Trust Fund No. 898

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$25,000	\$0	\$0	\$0	\$0
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Comments: Use of this appropriation is for the administration of claims, expenditures and collections for the entire fund.

Regular Appropriations from MOF Table (2014-15 GAA)

\$0	\$25,000	\$25,000	\$0	\$0
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Comments: Same as above.

Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$0	\$0	\$25,000	\$25,000
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2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452	Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>OTHER FUNDS</u>					
TOTAL, Auctioneer Education and Recovery Trust Fund No. 898	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
TOTAL, ALL OTHER FUNDS	\$1,452,526	\$965,882	\$965,882	\$965,882	\$965,882
GRAND TOTAL	\$25,355,659	\$24,283,146	\$24,484,151	\$24,415,170	\$24,491,012

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:13AM

Agency code: 452 Agency name: Department of Licensing and Regulation

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2012-13 GAA)	386.2	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2014-15 GAA)	0.0	382.2	382.2	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	0.0	0.0	0.0	382.2	382.2
RIDER APPROPRIATION					
Art IX, Sec. 18.43, Contingency for HB 1451, Dog & Cat Breeders (2012-13 GAA)	6.0	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap (2012-13)	(34.8)	0.0	0.0	0.0	0.0
Unauthorized Number Over (Below) Cap (2014-15)	0.0	(21.4)	(5.0)	0.0	0.0
TOTAL, ADJUSTED FTES	357.4	360.8	377.2	382.2	382.2

NUMBER OF 100% FEDERALLY
 FUNDED FTEs

2.C. Summary of Base Request by Object of Expense
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:30:30AM

452 Department of Licensing and Regulation

OBJECT OF EXPENSE	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1001 SALARIES AND WAGES	\$16,975,863	\$17,684,554	\$19,010,285	\$19,052,581	\$19,052,581
1002 OTHER PERSONNEL COSTS	\$1,896,422	\$676,076	\$471,840	\$471,840	\$471,840
2001 PROFESSIONAL FEES AND SERVICES	\$759,021	\$699,478	\$594,695	\$557,570	\$594,695
2002 FUELS AND LUBRICANTS	\$9,024	\$8,142	\$8,350	\$8,350	\$8,350
2003 CONSUMABLE SUPPLIES	\$76,257	\$103,908	\$105,107	\$105,107	\$105,107
2004 UTILITIES	\$197,610	\$147,351	\$251,400	\$251,400	\$251,400
2005 TRAVEL	\$864,806	\$852,560	\$870,055	\$870,055	\$870,055
2006 RENT - BUILDING	\$550,486	\$748,619	\$698,640	\$698,640	\$698,640
2007 RENT - MACHINE AND OTHER	\$83,639	\$71,872	\$155,955	\$155,900	\$155,900
2009 OTHER OPERATING EXPENSE	\$3,904,774	\$3,207,774	\$2,234,216	\$2,135,915	\$2,173,836
5000 CAPITAL EXPENDITURES	\$37,757	\$82,812	\$83,608	\$82,812	\$83,608
OOE Total (Excluding Riders)	\$25,355,659	\$24,283,146	\$24,484,151	\$24,390,170	\$24,466,012
OOE Total (Riders)				\$25,000	\$25,000
Grand Total	\$25,355,659	\$24,283,146	\$24,484,151	\$24,415,170	\$24,491,012

2.D. Summary of Base Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

7/28/2014 9:30:53AM

452 Department of Licensing and Regulation

<i>Goal/ Objective / Outcome</i>	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1 License, Certify, and Register Qualified Individuals and Businesses					
1 <i>Regulate All Applicable Individuals and Facilities According to Law</i>					
KEY 1 Percent of Licenses With No Recent Disciplinary Actions	97.30%	97.50%	97.50%	97.40%	97.40%
KEY 2 Percent of Licenses Who Renew Online	87.10%	89.20%	91.00%	91.00%	91.00%
KEY 3 Percent of New Individual Licenses Issued Online	94.90%	96.00%	96.00%	96.50%	96.50%
4 Percent of Contacts Responded to by Staff at TDLR	77.00	83.00	84.00	85.00	85.00
2 Protect the Public by Enforcing Laws Administered by the Agency					
1 <i>Enforce Laws to Achieve Compliance in Regulated Industries/Occupations</i>					
1 Percent of Complaints Resulting in Disciplinary Action	34.48%	26.00%	29.00%	31.00%	30.00%
KEY 2 Percent of Complaints Closed within Six Months	61.10%	56.00%	60.00%	59.00%	58.00%
3 Recidivism Rate of Those Receiving Disciplinary Action	7.07%	9.94%	10.00%	10.00%	8.00%
KEY 4 Inspection Coverage Rate	88.92%	76.00%	74.00%	75.00%	76.00%
KEY 5 % of Boiler Certification Inspections within Timelines	70.00%	70.00%	70.00%	70.00%	70.00%

2.E. Summary of Exceptional Items Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME : 9:31:19AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Priority	Item	2016			2017			Biennium		
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	
1	Enhance Employee Retention	\$300,000	\$300,000		\$300,000	\$300,000		\$600,000	\$600,000	
2	Gartner IT Security Recommendations	\$1,078,624	\$1,078,624	6.0	\$444,821	\$444,821	6.0	\$1,523,445	\$1,523,445	
3	TDLR's Long-Term IT Systems Needs	\$250,000	\$250,000		\$0	\$0		\$250,000	\$250,000	
4	Consumer Protection Inspections	\$216,522	\$216,522	3.0	\$188,705	\$188,705	3.0	\$405,227	\$405,227	
5	Improv Custmr Serv. Responsivenss	\$185,702	\$185,702	4.0	\$157,686	\$157,686	4.0	\$343,388	\$343,388	
6	Public Private Partnership Admin.	\$61,558	\$61,558	1.0	\$54,554	\$54,554	1.0	\$116,112	\$116,112	
7	Combat Online Licensing Violations	\$346,060	\$346,060	5.0	\$309,854	\$309,854	5.0	\$655,914	\$655,914	
8	Fund DCC Service Contract	\$276,299	\$276,299		\$186,343	\$186,343		\$462,642	\$462,642	
9	Advisory Board Travel Reimb	\$75,000	\$75,000		\$75,000	\$75,000		\$150,000	\$150,000	
10	Respnd to Incr Construction Activ	\$194,852	\$194,852	3.0	\$172,061	\$172,061	3.0	\$366,913	\$366,913	
11	CAPPS Conversion	\$81,558	\$81,558	1.0	\$131,111	\$131,111	2.0	\$212,669	\$212,669	
Total, Exceptional Items Request		\$3,066,175	\$3,066,175	23.0	\$2,020,135	\$2,020,135	24.0	\$5,086,310	\$5,086,310	
Method of Financing										
	General Revenue	\$3,066,175	\$3,066,175		\$2,020,135	\$2,020,135		\$5,086,310	\$5,086,310	
	General Revenue - Dedicated									
	Federal Funds									
	Other Funds									
		\$3,066,175	\$3,066,175		\$2,020,135	\$2,020,135		\$5,086,310	\$5,086,310	
Full Time Equivalent Positions				23.0				24.0		

2.E. Summary of Exceptional Items Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME : 9:31:19AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Priority	Item	2016			2017			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 7/28/2014
 TIME : 9:31:39AM

Agency code: 452		Agency name: Department of Licensing and Regulation				
Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
1 License, Certify, and Register Qualified Individuals and Businesses						
<i>1 Regulate All Applicable Individuals and Facilities According to Law</i>						
1 LICENSE, REGISTER AND CERTIFY	\$2,466,495	\$2,466,495	\$47,881	\$47,881	\$2,514,376	\$2,514,376
2 LICENSE BUSINESSES AND FACILITIES	882,929	882,929	9,654	9,654	892,583	892,583
3 EXAMINATIONS/CONTINUING EDUCATION	662,900	662,900	12,557	12,557	675,457	675,457
4 CUSTOMER SERV.	1,439,239	1,439,239	208,013	179,997	1,647,252	1,619,236
5 TEXAS.GOV	467,200	467,200	0	0	467,200	467,200
TOTAL, GOAL 1	\$5,918,763	\$5,918,763	\$278,105	\$250,089	\$6,196,868	\$6,168,852
2 Protect the Public by Enforcing Laws Administered by the Agency						
<i>1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupa</i>						
1 CONDUCT INSPECTIONS	6,831,545	6,856,198	306,208	278,391	7,137,753	7,134,589
2 BUILDING PLAN REVIEWS	1,108,897	1,108,897	213,393	190,602	1,322,290	1,299,499
3 RESOLVE COMPLAINTS	3,017,989	3,032,053	258,723	240,620	3,276,712	3,272,673
4 INVESTIGATION	2,980,714	2,980,714	226,747	208,644	3,207,461	3,189,358
TOTAL, GOAL 2	\$13,939,145	\$13,977,862	\$1,005,071	\$918,257	\$14,944,216	\$14,896,119

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 7/28/2014
 TIME : 9:31:39AM

Agency code:	452	Agency name:	Department of Licensing and Regulation			
Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
3 Indirect Administration						
1 Indirect Administration						
1 CENTRAL ADMINISTRATION	\$2,405,877	\$2,405,877	\$173,900	\$216,449	\$2,579,777	\$2,622,326
2 INFORMATION RESOURCES	1,767,009	1,804,134	1,606,000	632,241	3,373,009	2,436,375
3 OTHER SUPPORT SERVICES	359,376	359,376	3,099	3,099	362,475	362,475
TOTAL, GOAL 3	\$4,532,262	\$4,569,387	\$1,782,999	\$851,789	\$6,315,261	\$5,421,176
TOTAL, AGENCY STRATEGY REQUEST	\$24,390,170	\$24,466,012	\$3,066,175	\$2,020,135	\$27,456,345	\$26,486,147
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST	\$25,000	\$25,000	\$0	\$0	\$25,000	\$25,000
GRAND TOTAL, AGENCY REQUEST	\$24,415,170	\$24,491,012	\$3,066,175	\$2,020,135	\$27,481,345	\$26,511,147

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 7/28/2014
 TIME : 9:31:39AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
General Revenue Funds:						
1 General Revenue Fund	\$23,424,288	\$23,500,130	\$3,066,175	\$2,020,135	\$26,490,463	\$25,520,265
	\$23,424,288	\$23,500,130	\$3,066,175	\$2,020,135	\$26,490,463	\$25,520,265
General Revenue Dedicated Funds:						
99 Oper & Chauffeurs Lic Ac	0	0	0	0	0	0
108 Priv Beauty Culture Sch	20,000	20,000	0	0	20,000	20,000
5081 Barber School Tuition Protection	5,000	5,000	0	0	5,000	5,000
	\$25,000	\$25,000	\$0	\$0	\$25,000	\$25,000
Other Funds:						
666 Appropriated Receipts	930,000	930,000	0	0	930,000	930,000
777 Interagency Contracts	10,882	10,882	0	0	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	0	0	25,000	25,000
	\$965,882	\$965,882	\$0	\$0	\$965,882	\$965,882
TOTAL, METHOD OF FINANCING	\$24,415,170	\$24,491,012	\$3,066,175	\$2,020,135	\$27,481,345	\$26,511,147
FULL TIME EQUIVALENT POSITIONS	382.2	382.2	23.0	24.0	405.2	406.2

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 7/28/2014
 Time: 9:31:59AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
1 License, Certify, and Register Qualified Individuals and Businesses						
1 <i>Regulate All Applicable Individuals and Facilities According to Law</i>						
KEY 1 Percent of Licenses With No Recent Disciplinary Actions	97.40%	97.40%			97.40%	97.40%
KEY 2 Percent of Licenses Who Renew Online	91.00%	91.00%			91.00%	91.00%
KEY 3 Percent of New Individual Licenses Issued Online	96.50%	96.50%			96.50%	96.50%
4 Percent of Contacts Responded to by Staff at TDLR	85.00	85.00	92.50	93.70	92.50	93.70
2 Protect the Public by Enforcing Laws Administered by the Agency						
1 <i>Enforce Laws to Achieve Compliance in Regulated Industries/Occupations</i>						
1 Percent of Complaints Resulting in Disciplinary Action	31.00%	30.00%	30.00%	32.00%	30.00%	32.00%
KEY 2 Percent of Complaints Closed within Six Months	59.00%	58.00%	60.00%	58.00%	60.00%	58.00%
3 Recidivism Rate of Those Receiving Disciplinary Action	10.00%	8.00%	10.00%	9.00%	10.00%	9.00%

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 7/28/2014
 Time: 9:31:59AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
KEY 4 Inspection Coverage Rate	75.00%	76.00%			75.00%	76.00%
KEY 5 % of Boiler Certification Inspections within Timelines	70.00%	70.00%			70.00%	70.00%

3.A. Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:24:38AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 4
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals	111,324.00	103,956.00	114,568.00	116,237.00	117,938.00
KEY 2	Number of Licenses Renewed for Individuals	219,272.00	222,546.00	226,287.00	229,907.00	233,603.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days	96.50 %	97.00 %	97.00 %	97.00 %	97.00 %
2	% Indiv License Renewals Issued within 7 Days	99.10 %	98.70 %	98.70 %	98.80 %	98.80 %
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Individuals	441,342.00	469,450.00	478,924.00	488,608.00	498,504.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,390,444	\$1,733,159	\$1,855,587	\$1,889,235	\$1,889,235
1002	OTHER PERSONNEL COSTS	\$176,907	\$76,045	\$68,400	\$68,400	\$68,400
2001	PROFESSIONAL FEES AND SERVICES	\$8,634	\$8,022	\$7,812	\$7,812	\$7,812
2002	FUELS AND LUBRICANTS	\$6	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$9,198	\$11,160	\$14,080	\$14,080	\$14,080
2004	UTILITIES	\$6,690	\$1,884	\$3,147	\$3,147	\$3,147
2005	TRAVEL	\$683	\$2,068	\$1,800	\$1,800	\$1,800
2006	RENT - BUILDING	\$22,720	\$37,822	\$34,405	\$34,405	\$34,405

3.A. Strategy Request
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452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 4
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2007	RENT - MACHINE AND OTHER	\$7,323	\$5,017	\$15,367	\$15,367	\$15,367
2009	OTHER OPERATING EXPENSE	\$777,840	\$545,734	\$445,897	\$417,249	\$417,249
5000	CAPITAL EXPENDITURES	\$0	\$20,000	\$20,000	\$15,000	\$15,000
TOTAL, OBJECT OF EXPENSE		\$2,400,445	\$2,440,911	\$2,466,495	\$2,466,495	\$2,466,495
Method of Financing:						
1	General Revenue Fund	\$2,251,944	\$2,315,911	\$2,315,905	\$2,315,905	\$2,315,905
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,251,944	\$2,315,911	\$2,315,905	\$2,315,905	\$2,315,905
Method of Financing:						
99	Oper & Chauffeurs Lic Ac	\$41,033	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$41,033	\$0	\$0	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$107,468	\$125,000	\$150,590	\$150,590	\$150,590
SUBTOTAL, MOF (OTHER FUNDS)		\$107,468	\$125,000	\$150,590	\$150,590	\$150,590

452 Department of Licensing and Regulation

GOAL:	1	License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	4
OBJECTIVE:	1	Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	1	Issue Licenses, Registrations, & Certificates to Qualified Individuals	Service:	16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,466,495	\$2,466,495
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,400,445	\$2,440,911	\$2,466,495	\$2,466,495	\$2,466,495
FULL TIME EQUIVALENT POSITIONS:		37.2	39.1	44.2	45.2	45.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

Nineteen of the 25 statutes TDLR administers contain at least one licensing, registration, or certification provision for individuals. The core responsibility of the Licensing division is to issue licenses, registrations, permits and certifications to qualified applicants by evaluating applications to ensure that all requirements for licensure are satisfied. Knowledge of licensing requirements outlined in the 19 statutes and their corresponding administrative rules is required to issue 73 license types to a licensee population of more than 446,000 individuals. Some license types require additional assessment and mandate further evaluations such as criminal history checks, education/credential verification, financial requirements, and on-the-job experience requirements. The overall statutory authority for TDLR to issue licenses is Chapter 51 of the Occupations Code, Section 51.103(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas through timely and accurate issuance of licenses, registrations, certifications and permits to qualified individuals. Through this strategy, we effectively regulate all individual licensees in accordance with the laws administered by the agency; issue licenses, registrations, certifications, and permits to qualified individuals; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's goals and strategies.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	4
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	1 Issue Licenses, Registrations, & Certificates to Qualified Individuals	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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Our licensees' use of TDLR's online technology is the single largest factor that enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 14, of those individual license types who were eligible to file online, almost 90% of all new license applications, and more than 96% of all license renewals were filed online. In addition to eliminating keystrokes by TDLR employees, other benefits of online technology are reducing the number of incomplete applications, increasing data accuracy, reducing the number of incorrect fee payments, reducing application processing time, and speeding the issuance of each license.

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452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7 10
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:	
STRATEGY:	2 License Businesses and Facilities	Service: 17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Businesses	241,839.00	182,156.00	193,150.00	197,072.00	201,075.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$651,998	\$821,404	\$785,625	\$819,273	\$819,273
1002	OTHER PERSONNEL COSTS	\$78,870	\$28,076	\$21,840	\$21,840	\$21,840
2001	PROFESSIONAL FEES AND SERVICES	\$3,769	\$3,699	\$2,998	\$2,998	\$2,998
2003	CONSUMABLE SUPPLIES	\$1,506	\$2,153	\$3,438	\$3,438	\$3,438
2004	UTILITIES	\$1,847	\$277	\$39	\$39	\$39
2005	TRAVEL	\$795	\$811	\$1,800	\$1,800	\$1,800
2006	RENT - BUILDING	\$5,380	\$11,112	\$6,226	\$6,226	\$6,226
2007	RENT - MACHINE AND OTHER	\$3,491	\$2,149	\$6,143	\$6,088	\$6,088
2009	OTHER OPERATING EXPENSE	\$142,140	\$66,835	\$54,820	\$21,227	\$21,227
TOTAL, OBJECT OF EXPENSE		\$889,796	\$936,516	\$882,929	\$882,929	\$882,929
Method of Financing:						
1	General Revenue Fund	\$869,746	\$918,516	\$870,929	\$870,929	\$870,929
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$869,746	\$918,516	\$870,929	\$870,929	\$870,929

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452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	10
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	2 License Businesses and Facilities	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
666	Appropriated Receipts	\$20,050	\$18,000	\$12,000	\$12,000	\$12,000
SUBTOTAL, MOF (OTHER FUNDS)		\$20,050	\$18,000	\$12,000	\$12,000	\$12,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$882,929	\$882,929
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$889,796	\$936,516	\$882,929	\$882,929	\$882,929
FULL TIME EQUIVALENT POSITIONS:		15.4	16.4	17.5	18.5	18.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

Nineteen of the 25 statutes administered by TDLR contain at least one licensing, registration, or certification provision for businesses. The core responsibility of the Licensing Division is to issue licenses, registrations, permits and certifications to qualified applicants by evaluating applications to ensure that all requirements for licensure are satisfied. Knowledge of licensing requirements outlined in the 19 statutes and their corresponding administrative rules is required to issue 53 facility license types to a licensee population of more than 200,000 facilities. Some license types require additional assessment and mandate further evaluations such as financial and insurance requirements and credential verification. The overall statutory authority for TDLR to issue licenses is Chapter 51 of the Occupations Code, Section 51.03(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas through timely and accurate issuance of licenses, registrations, certifications, and permits to qualified facilities. Through this strategy, we effectively regulate all businesses licensed in accordance with the laws administered by the agency; issue licenses, registrations, certifications, and permits to qualified businesses; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's goals and strategies.

452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	10
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	2 License Businesses and Facilities	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Our licensees' use of TDLR's online technology enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 14, of those license types who were eligible to file online, 78.2% of all new facility license applications, and 37.1% of all facility license renewals were submitted online. In addition to eliminating keystrokes by TDLR employees, other benefits of online technology are reducing the number of incomplete applications, incorrect data entry, incorrect fee payments, and reducing the amount of time needed to process each application and issue each license.

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452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 - 6
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
1	Number of Continuing Education Courses Approved	771.00	781.00	800.00	840.00	880.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$438,709	\$511,246	\$558,897	\$558,897	\$558,897
1002	OTHER PERSONNEL COSTS	\$49,310	\$41,472	\$12,240	\$12,240	\$12,240
2001	PROFESSIONAL FEES AND SERVICES	\$22,689	\$24,253	\$1,873	\$1,873	\$1,873
2003	CONSUMABLE SUPPLIES	\$293	\$1,148	\$2,475	\$2,475	\$2,475
2004	UTILITIES	\$3,785	\$3,310	\$3,525	\$3,525	\$3,525
2005	TRAVEL	\$3,579	\$16,109	\$16,309	\$16,309	\$16,309
2006	RENT - BUILDING	\$3,540	\$6,778	\$8,086	\$8,086	\$8,086
2007	RENT - MACHINE AND OTHER	\$1,717	\$1,214	\$3,695	\$3,695	\$3,695
2009	OTHER OPERATING EXPENSE	\$75,508	\$18,454	\$55,800	\$55,800	\$55,800
TOTAL, OBJECT OF EXPENSE		\$599,130	\$623,984	\$662,900	\$662,900	\$662,900
Method of Financing:						
1	General Revenue Fund	\$599,130	\$623,984	\$662,900	\$662,900	\$662,900
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$599,130	\$623,984	\$662,900	\$662,900	\$662,900

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452 Department of Licensing and Regulation

GOAL:	1	License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	6
OBJECTIVE:	1	Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	3	Administer Exams to Applicants	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$662,900	\$662,900
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)					\$662,900	\$662,900
FULL TIME EQUIVALENT POSITIONS:		7.8	10.0	10.0	10.0	10.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Through this strategy, TDLR administers examinations to ensure applicants have the necessary competence to obtain a license. Without these exams, Texas citizens could not be assured that licensees are qualified to competently perform their duties. Each year approximately 50,000 exams are provided by a third party vendor. Computer-based exams are offered daily at 22 locations, and the Barber and Cosmetology practical exams are offered at eight locations. Applicants that have met all of the licensing requirements to obtain a license can receive a temporary license at the testing site to begin work immediately.

Pre-license education requirements are established to ensure licensees have the knowledge to become licensed in the following industries: Auctioneers (AUC), Barbers (BAR), Cosmetology (COS), Polygraph Examiners (POL), Property Tax Consultants (PTC), and Property Tax Professionals (PTP). TDLR staff approves all pre-license education providers and curricula.

Continuing Education (CE) requirements are established to ensure licensees keep current with changes in the following industries: Air Conditioning and Refrigeration Contractors, AUC, Boating Operators, COS, Electricians, Elevators, POL, PTC, PTP, Registered Accessibility Specialists, Tow Truck Operators, Water Well Drillers and Pump Installers. TDLR staff approves all continuing education providers and curricula, with the exception of PTPs, whose content is approved by the Comptroller.

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452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7. 6.
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Examinations are constantly evolving due to the changing nature of their corresponding occupations. These industries are governed by state laws, rules and national codes that are updated on an ongoing basis. As changes occur, examination content is reviewed to ensure that examinations stay current. With the input of the advisory boards and industry subject matter experts, TDLR keeps the licensing examinations relevant.

Translations of examinations into languages other than English create increased workloads for TDLR staff. TDLR currently provides Air Conditioning Contractor, Barber, Cosmetology, Electricians, Water Well Driller and Water Well Pump Installer Examinations in Spanish. TDLR provides Cosmetology examinations in Vietnamese. The demand for examinations in languages other than English continues to increase.

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452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	7	0
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	4 Provide Customer Service	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Explanatory/Input Measures:						
1	Number of Contacts Received	555,226.00	425,950.00	425,000.00	425,000.00	425,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,288,960	\$1,263,267	\$1,263,173	\$1,263,173	\$1,263,173
1002	OTHER PERSONNEL COSTS	\$182,672	\$26,057	\$21,120	\$21,120	\$21,120
2001	PROFESSIONAL FEES AND SERVICES	\$104,630	\$7,534	\$7,868	\$7,868	\$7,868
2003	CONSUMABLE SUPPLIES	\$2,834	\$7,130	\$11,825	\$11,825	\$11,825
2004	UTILITIES	\$9,617	\$1,946	\$4,250	\$4,250	\$4,250
2005	TRAVEL	\$850	\$5,238	\$5,559	\$5,559	\$5,559
2006	RENT - BUILDING	\$57,530	\$77,262	\$74,922	\$74,922	\$74,922
2007	RENT - MACHINE AND OTHER	\$7,228	\$5,105	\$15,522	\$15,522	\$15,522
2009	OTHER OPERATING EXPENSE	\$291,491	\$83,021	\$35,000	\$35,000	\$35,000
TOTAL, OBJECT OF EXPENSE		\$1,945,812	\$1,476,560	\$1,439,239	\$1,439,239	\$1,439,239
Method of Financing:						
1	General Revenue Fund	\$1,943,912	\$1,451,560	\$1,414,239	\$1,414,239	\$1,414,239
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,943,912	\$1,451,560	\$1,414,239	\$1,414,239	\$1,414,239

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452 Department of Licensing and Regulation

GOAL: - 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: ----- 7 0 -----
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 4 Provide Customer Service Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
108	Priv Beauty Culture Sch	\$1,900	\$20,000	\$20,000	\$20,000	\$20,000
5081	Barber School Tuition Protection	\$0	\$5,000	\$5,000	\$5,000	\$5,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,900	\$25,000	\$25,000	\$25,000	\$25,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,439,239	\$1,439,239
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,945,812	\$1,476,560	\$1,439,239	\$1,439,239	\$1,439,239
FULL TIME EQUIVALENT POSITIONS:		37.4	39.6	38.0	36.0	36.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Customer Service Division serves as TDLR's main point of contact for licensees and customers. Customer Service Representatives respond to phone calls, emails, social media, walk-in visits, faxes and general questions from the public. The goal of the Customer Service Division is to provide accurate and useful information for a licensee or member of the public in a respectful manner. With the regulation of 25 statutes, each Customer Service Representative must provide accurate information by having knowledge of all the statutes, rules, and procedures, and use a high degree of integrity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 0
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 4 Provide Customer Service Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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Customer Service Representatives are required to maintain a knowledge base of TDLR's regulated programs and the continual changes to these programs through statute amendments and rule updates. Customer Service Representatives must also keep current on the various requirements which must be met to obtain new and renew existing licenses in all programs. The Customer Service Division continues to experience an increase in the number of contacts regarding TDLR's 25 regulated programs. This increase in contacts from businesses and individuals required to be licensed, and from the general public, necessitates additional training and staffing for the Customer Service Division so its representatives can provide prompt and accurate information.

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452 Department of Licensing and Regulation

GOAL:	1 License, Certify, and Register Qualified Individuals and Businesses	Statewide Goal/Benchmark:	8	7
OBJECTIVE:	1 Regulate All Applicable Individuals and Facilities According to Law	Service Categories:		
STRATEGY:	5 Texas.gov. Estimated and Nontransferable	Service:	16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$471,403	\$467,200	\$467,200	\$467,200	\$467,200
TOTAL, OBJECT OF EXPENSE		\$471,403	\$467,200	\$467,200	\$467,200	\$467,200
Method of Financing:						
1	General Revenue Fund	\$471,403	\$467,200	\$467,200	\$467,200	\$467,200
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$471,403	\$467,200	\$467,200	\$467,200	\$467,200
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$467,200	\$467,200
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$471,403	\$467,200	\$467,200	\$467,200	\$467,200

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy reflects the pass-through of funds from TDLR to the vendor operating the TexasOnline portal.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Department of Information Resources' TexasOnline Authority promulgates rules governing the operations of the online licensing function for the State of Texas.

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452 Department of Licensing and Regulation

GOAL:	2	Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:		
STRATEGY:	1	Enforce Laws by Conducting Routine, Complex, and Special Inspections	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
KEY 1	Total # of AB Inspections Completed by Agency & Third Party Inspectors	16,438.00	16,632.00	17,177.00	17,692.00	18,134.00
Explanatory/Input Measures:						
KEY 1	Total Number of Inspections Completed	140,226.00	127,301.00	142,412.00	145,444.00	148,232.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$4,159,156	\$4,634,857	\$5,201,863	\$5,201,863	\$5,201,863
1002	OTHER PERSONNEL COSTS	\$475,204	\$181,324	\$101,040	\$101,040	\$101,040
2001	PROFESSIONAL FEES AND SERVICES	\$123,242	\$135,310	\$17,985	\$17,985	\$17,985
2002	FUELS AND LUBRICANTS	\$7,535	\$4,589	\$4,600	\$4,600	\$4,600
2003	CONSUMABLE SUPPLIES	\$28,093	\$30,100	\$23,513	\$23,513	\$23,513
2004	UTILITIES	\$86,244	\$79,162	\$121,981	\$121,981	\$121,981
2005	TRAVEL	\$730,793	\$662,585	\$699,542	\$699,542	\$699,542
2006	RENT - BUILDING	\$108,580	\$171,938	\$187,341	\$187,341	\$187,341
2007	RENT - MACHINE AND OTHER	\$24,447	\$18,191	\$41,055	\$41,055	\$41,055
2009	OTHER OPERATING EXPENSE	\$1,058,563	\$838,153	\$457,542	\$402,625	\$427,278
5000	CAPITAL EXPENDITURES	\$32,206	\$30,000	\$30,000	\$30,000	\$30,000

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452 Department of Licensing and Regulation

GOAL: ----- 2 -- Protect the Public by Enforcing Laws Administered by the Agency ----- Statewide Goal/Benchmark: ----- 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, OBJECT OF EXPENSE		\$6,834,063	\$6,786,209	\$6,886,462	\$6,831,545	\$6,856,198
Method of Financing:						
1	General Revenue Fund	\$6,162,513	\$6,702,044	\$6,794,041	\$6,739,124	\$6,763,777
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,162,513	\$6,702,044	\$6,794,041	\$6,739,124	\$6,763,777
Method of Financing:						
99	Oper & Chauffeurs Lic Ac	\$64,992	\$165	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$64,992	\$165	\$0	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$606,558	\$84,000	\$92,421	\$92,421	\$92,421
SUBTOTAL, MOF (OTHER FUNDS)		\$606,558	\$84,000	\$92,421	\$92,421	\$92,421
Rider Appropriations:						
666 Appropriated Receipts						
3	1 Special Boiler Inspections				\$0	\$0
12	1 Elevators and Escalators				\$0	\$0
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$0	\$0

452 Department of Licensing and Regulation

GOAL:	2	Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:		
STRATEGY:	1	Enforce Laws by Conducting Routine, Complex, and Special Inspections	Service:	16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$6,831,545	\$6,856,198
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,834,063	\$6,786,209	\$6,886,462	\$6,831,545	\$6,856,198
FULL TIME EQUIVALENT POSITIONS:		84.4	89.0	92.5	97.5	97.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

Eleven of TDLR's 25 statutes require on-site inspections: Elimination of Architectural Barriers (EAB), Barbering, Boilers (BLR), Combative Sports, Cosmetology, Elevators (ELE), Industrialized Housing & Buildings (IHB), Licensed Dog or Cat Breeders, Vehicle Storage Facilities (VSF), Vehicle Towing & Booting, and Used Auto Parts Recyclers (APR). These inspections are the frontline of protection for public safety. EAB inspections identify features which can block access for persons with disabilities. Routine boiler inspections verify that boilers are in safe operating condition, reducing the risk of explosions. Barber shop and cosmetology and nail salon inspections ensure compliance with sanitation and licensing requirements, protecting consumers' health. Inspections at Combative Sports events ensure they are conducted in a safe and ethical manner. Inspections of elevators, escalators, and related equipment identify conditions that could lead to serious injuries. Inspections of industrialized buildings and the related manufacturing plants ensure these buildings are constructed according to building and life safety codes. Inspections of Licensed Breeder facilities protect the consumer as well as the animals at these facilities. Tow truck and VSF inspections protect vehicle owners by ensuring compliance with requirements for towing safety, vehicle security and towing fees. APR inspections ensure compliance with requirements for used auto parts purchasing and sale, providing confidence to consumers about the source of the parts they buy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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These programs can be impacted by economic growth, the construction industry, building safety and maintenance, and public health issues. An economic upturn would increase workloads in all programs. If the state's economy slows or grows, so too may the rate of construction activity and the rate at which new businesses are opened. A slowdown in construction may decrease the number of inspections performed in the BLR, ELE, EAB and IHB programs. An economic slowdown would not significantly impact our workload of periodic, ongoing inspections of existing and new businesses and equipment. In addition, agency enforcement efforts in all of these programs could offset the effects of any slowdown in the economy or in construction, and add to the workloads for these programs.

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452 Department of Licensing and Regulation

GOAL:	2 Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7 0
OBJECTIVE:	1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:	
STRATEGY:	2 Perform Building Plan Reviews	Service: 16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
1	Number of Plan Reviews Completed	22,682.00	23,594.00	24,258.00	24,496.00	24,735.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$779,202	\$743,218	\$742,813	\$742,813	\$742,813
1002	OTHER PERSONNEL COSTS	\$94,186	\$32,273	\$23,760	\$23,760	\$23,760
2001	PROFESSIONAL FEES AND SERVICES	\$3,388	\$2,900	\$2,997	\$2,997	\$2,997
2002	FUELS AND LUBRICANTS	\$0	\$2,302	\$2,300	\$2,300	\$2,300
2003	CONSUMABLE SUPPLIES	\$3,479	\$4,200	\$4,400	\$4,400	\$4,400
2004	UTILITIES	\$8,157	\$7,331	\$13,496	\$13,496	\$13,496
2005	TRAVEL	\$6,771	\$18,000	\$16,098	\$16,098	\$16,098
2006	RENT - BUILDING	\$69,080	\$82,992	\$55,992	\$55,992	\$55,992
2007	RENT - MACHINE AND OTHER	\$3,542	\$2,638	\$6,505	\$6,505	\$6,505
2009	OTHER OPERATING EXPENSE	\$69,268	\$225,321	\$240,536	\$240,536	\$240,536
TOTAL, OBJECT OF EXPENSE		\$1,037,073	\$1,121,175	\$1,108,897	\$1,108,897	\$1,108,897
Method of Financing:						
1	General Revenue Fund	\$1,014,273	\$1,093,175	\$1,086,847	\$1,086,847	\$1,086,847
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,014,273	\$1,093,175	\$1,086,847	\$1,086,847	\$1,086,847

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452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 0
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
666	Appropriated Receipts	\$22,800	\$28,000	\$22,050	\$22,050	\$22,050
SUBTOTAL, MOF (OTHER FUNDS)		\$22,800	\$28,000	\$22,050	\$22,050	\$22,050
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,108,897	\$1,108,897
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,037,073	\$1,121,175	\$1,108,897	\$1,108,897	\$1,108,897
FULL TIME EQUIVALENT POSITIONS:		17.9	17.0	16.0	16.0	16.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Three of the 25 statutes that TDLR administers require review of construction plans prior to start of construction: Elimination of Architectural Barriers (EAB); Industrialized Housing & Buildings (IHB); and Elevators, Escalators, & Related Equipment (ELE). These plan reviews help the agency fulfill its mission to "honor the trust of all Texans" and "ensure public safety and customer protection", by verifying compliance with applicable laws, rules, accessibility standards, building codes, and safety codes. EAB plan reviews ensure that buildings and facilities are designed and built in compliance with accessibility standards. IHB plan reviews ensure that these structures are designed and built to comply with applicable building and life safety codes, to protect the investments and safety of citizens using and residing in these structures. Reviewing plans prior to construction can identify problems in the design stage, not only making buildings safer and more accessible, but also saving design professionals, manufacturers, and building owners costly reconstruction of incorrectly designed and constructed features. ELE plan reviews for installation of elevators, escalators and related equipment ensures that the equipment is designed according to safety standards and will be installed correctly and safely operates.

452 Department of Licensing and Regulation

GOAL:	2	Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7	0
OBJECTIVE:	1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:		
STRATEGY:	2	Perform Building Plan Reviews	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Elimination of Architectural Barriers (EAB), Industrialized Housing & Building (IHB), and Elevator, Escalator & Related Equipment (ELE) programs are directly impacted by the economy and corresponding trends in construction activity. A slowdown in construction activity may decrease the number of plan reviews performed in these programs, while an increase in construction activity would increase these workloads. In addition, unpredictable events such as disastrous Gulf Coast hurricanes increase plan review workloads for the EAB, IHB and ELE programs, due to rebuilding efforts. TDLR's ongoing education for design professionals and city building officials on the registration requirements of the EAB statute continues to increase the number of plans submitted for review. These initiatives in addition to focused enforcement efforts to identify unreported construction activity and unregistered boiler and elevator equipment, could offset the effects of any slowdown in construction and increase workloads.

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452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
KEY 1	Number of Complaints Closed	10,595.00	10,856.00	10,999.00	10,858.00	10,609.00
Efficiency Measures:						
1	Average Time for Closing Complaints (Days)	186.16	214.00	211.00	207.00	212.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,280,784	\$2,604,789	\$2,679,795	\$2,654,795	\$2,654,795
1002	OTHER PERSONNEL COSTS	\$209,995	\$70,544	\$58,080	\$58,080	\$58,080
2001	PROFESSIONAL FEES AND SERVICES	\$10,150	\$9,490	\$10,116	\$10,116	\$10,116
2003	CONSUMABLE SUPPLIES	\$12,620	\$13,200	\$13,613	\$13,613	\$13,613
2004	UTILITIES	\$13,478	\$11,348	\$20,476	\$20,476	\$20,476
2005	TRAVEL	\$2,118	\$8,999	\$9,230	\$9,230	\$9,230
2006	RENT - BUILDING	\$128,485	\$157,337	\$151,052	\$151,052	\$151,052
2007	RENT - MACHINE AND OTHER	\$4,028	\$5,573	\$19,110	\$19,110	\$19,110
2009	OTHER OPERATING EXPENSE	\$137,985	\$120,367	\$70,581	\$61,517	\$75,581
5000	CAPITAL EXPENDITURES	\$0	\$25,000	\$25,000	\$20,000	\$20,000
TOTAL, OBJECT OF EXPENSE		\$2,799,643	\$3,026,647	\$3,057,053	\$3,017,989	\$3,032,053

Method of Financing:

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GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1	General Revenue Fund	\$2,772,019	\$2,995,647	\$3,031,296	\$3,017,232	\$3,031,296
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,772,019	\$2,995,647	\$3,031,296	\$3,017,232	\$3,031,296
Method of Financing:						
666	Appropriated Receipts	\$2,624	\$6,000	\$757	\$757	\$757
898	Auction Educ & Rec Trust	\$25,000	\$25,000	\$25,000	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$27,624	\$31,000	\$25,757	\$757	\$757
Rider Appropriations:						
898 Auction Educ & Rec Trust						
4 1 Auctioneer Education and Recovery					\$25,000	\$25,000
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$25,000	\$25,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,042,989	\$3,057,053
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,799,643	\$3,026,647	\$3,057,053	\$3,017,989	\$3,032,053
FULL TIME EQUIVALENT POSITIONS:		46.6	53.0	52.5	52.5	52.5

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Enforcement Division is responsible for resolving complaints about all industries regulated by TDLR. The division's prosecutors determine the final disposition of cases arising from a variety of sources, including complaint investigations, criminal background investigations of license applicants, and routine facility inspections by the Field Operations Division.

Cases may be resolved with formal outcomes such as Commission orders following an administrative hearing, agreed settlements, and default orders. Prosecutors may also close cases informally with a warning or simple closing letter if the evidence does not support formal action. When settling cases involving consumer harm, prosecutors attempt to secure restitution or other available remedies for consumers. When reviewing the criminal histories of license applicants, the prosecutor's goal is to protect the public from individuals with a history of criminal behavior.

The Enforcement Plan sets out ranges of penalties and sanctions for specific violations. When pursuing formal enforcement action the prosecutors are guided by the Enforcement Plan and also take into consideration the following factors: seriousness of the offense; whether the violation was willful or intentional; whether the person acted in good faith to mitigate or correct the violation; whether the person has engaged in similar violations; and deterrence of future violations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

452 Department of Licensing and Regulation

GOAL:	2	Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:		
STRATEGY:	3	Enforce Compliance by Settlement, Prosecution, Penalty and Sanction	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The complaint resolution caseload flows from multiple sources, including complaint investigations, inspections, and license applications. Inspection results referred to Enforcement by Field Operations have increased significantly. Complaints and license application referrals continue to arrive in large numbers.

Proactive field efforts like sting operations and license sweeps continue to generate higher numbers of unlicensed activity cases. Media coverage of sting operations, enforcement cases and industry meetings all help to enhance consumer awareness.

Additional factors impacting the complaint resolution workload include construction trends, emerging technologies, changes in building and energy codes, and economic trends.

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452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Explanatory/Input Measures:						
KEY 1	Number of Complaints Opened	11,559.00	12,039.00	12,587.00	12,321.00	12,143.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,216,340	\$2,205,165	\$2,569,069	\$2,569,069	\$2,569,069
1002	OTHER PERSONNEL COSTS	\$252,147	\$75,030	\$68,880	\$68,880	\$68,880
2001	PROFESSIONAL FEES AND SERVICES	\$17,410	\$11,874	\$8,898	\$8,898	\$8,898
2003	CONSUMABLE SUPPLIES	\$1,976	\$9,094	\$13,063	\$13,063	\$13,063
2004	UTILITIES	\$28,243	\$22,120	\$41,572	\$41,572	\$41,572
2005	TRAVEL	\$72,362	\$80,000	\$71,817	\$71,817	\$71,817
2006	RENT - BUILDING	\$99,401	\$135,752	\$113,947	\$113,947	\$113,947
2007	RENT - MACHINE AND OTHER	\$0	\$4,427	\$16,402	\$16,402	\$16,402
2009	OTHER OPERATING EXPENSE	\$202,236	\$413,508	\$77,066	\$59,254	\$58,458
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$17,812	\$18,608
TOTAL, OBJECT OF EXPENSE		\$2,890,115	\$2,956,970	\$2,980,714	\$2,980,714	\$2,980,714
Method of Financing:						
1	General Revenue Fund	\$2,889,508	\$2,956,970	\$2,972,214	\$2,972,214	\$2,972,214

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452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations
 STRATEGY: 4 Investigate Complaints

Statewide Goal/Benchmark: 7 2
 Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,889,508	\$2,956,970	\$2,972,214	\$2,972,214	\$2,972,214
Method of Financing:						
666	Appropriated Receipts	\$607	\$0	\$8,500	\$8,500	\$8,500
SUBTOTAL, MOF (OTHER FUNDS)		\$607	\$0	\$8,500	\$8,500	\$8,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,980,714	\$2,980,714
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,890,115	\$2,956,970	\$2,980,714	\$2,980,714	\$2,980,714
FULL TIME EQUIVALENT POSITIONS:		48.2	45.5	51.5	51.5	51.5
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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GOAL:	2	Protect the Public by Enforcing Laws Administered by the Agency	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations	Service Categories:		
STRATEGY:	4	Investigate Complaints	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The Enforcement Division is responsible for investigating complaints about all industries regulated by TDLR. Complaints are filed by consumers, industry, other governmental agencies, and other divisions within TDLR. Initially, complaints are reviewed for jurisdictional authority and to determine if there is sufficient information to open a case. If so, a case is opened and assigned for investigation.

Investigators follow standardized procedures to establish the facts of each case, including witness interviews, collection of evidence, and on-site investigations of facilities or job sites. After a case has been thoroughly investigated, the investigator prepares a detailed written report of his findings and forwards the case to a prosecutor for the appropriate disposition.

In addition to investigating complaints, Enforcement's investigators use sting operations and other proactive techniques to identify and prevent unlicensed activity. They also investigate the criminal histories of license applicants to determine license eligibility.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TDLR continues to receive a large volume of complaints from consumers, industry, and other sources in large volumes. Proactive efforts such as undercover sting operations, targeted sweeps, and researching social media and classified advertising websites have increased and generated many unlicensed activity cases. TDLR continues to use media coverage of sting operations, interaction with local agencies and industry groups, and task team meetings to increase public awareness about regulatory requirements.

Additional factors impacting the investigative workload include construction trends, emerging technologies, changes in building and energy codes, and economic trends.

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452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,211,258	\$1,938,752	\$2,015,424	\$2,015,424	\$2,015,424
1002	OTHER PERSONNEL COSTS	\$206,140	\$75,757	\$72,000	\$72,000	\$72,000
2001	PROFESSIONAL FEES AND SERVICES	\$12,671	\$6,516	\$6,931	\$6,931	\$6,931
2002	FUELS AND LUBRICANTS	\$120	\$17	\$100	\$100	\$100
2003	CONSUMABLE SUPPLIES	\$12,790	\$18,200	\$11,000	\$11,000	\$11,000
2004	UTILITIES	\$16,903	\$7,058	\$19,393	\$19,393	\$19,393
2005	TRAVEL	\$46,315	\$57,131	\$47,150	\$47,150	\$47,150
2006	RENT - BUILDING	\$30,795	\$41,774	\$37,171	\$37,171	\$37,171
2007	RENT - MACHINE AND OTHER	\$17,985	\$18,456	\$24,100	\$24,100	\$24,100
2009	OTHER OPERATING EXPENSE	\$346,056	\$215,141	\$164,000	\$172,608	\$172,608
5000	CAPITAL EXPENDITURES	\$0	\$7,812	\$8,608	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,901,033	\$2,386,614	\$2,405,877	\$2,405,877	\$2,405,877
Method of Financing:						
1	General Revenue Fund	\$2,516,981	\$2,110,614	\$2,077,899	\$2,077,899	\$2,077,899
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,516,981	\$2,110,614	\$2,077,899	\$2,077,899	\$2,077,899

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452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
666	Appropriated Receipts	\$384,052	\$276,000	\$327,978	\$327,978	\$327,978
SUBTOTAL, MOF (OTHER FUNDS)		\$384,052	\$276,000	\$327,978	\$327,978	\$327,978
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,405,877	\$2,405,877
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,901,033	\$2,386,614	\$2,405,877	\$2,405,877	\$2,405,877
FULL TIME EQUIVALENT POSITIONS:		39.0	30.5	33.0	33.0	33.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

452 Department of Licensing and Regulation

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	8	0
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Central Administration	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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Central administration is comprised of executive staff, financial services, and human resources, and provides leadership, direction, oversight, support, and project management for all of TDLR's programs.

Daily operations are managed by executive staff, ensuring implementation of Commission objectives and achievement of TDLR's goals. The Executive Director and his staff provide support for the Commission and 19 advisory boards, coordinate meetings, prepare and distribute agendas and other materials, and serve as a link between the department, advisory boards, and the Commission. The Executive staff also provide analysis on fee changes and program budgets, manage projects, ensure HUB compliance, respond to media inquiries, issue press releases, administer the agency's website, evaluate and analyze data and input to identify process improvements, and act as a liaison between the department and Texas lawmakers, including representing the agency before the Texas Legislature.

Financial Services manages the agency's fiscal resources in compliance with state and federal laws. This division provides statistical analysis services, develops legislative appropriations requests, manages purchasing and vendor contracting, monitors TDLR's annual budget, and prepares all fiscal reports for the agency. Human Resources promotes a healthy, positive, and equitable work environment, helping the agency attract, develop, and retain qualified and dedicated employees. This division provides support in recruitment, hiring, training, career development, and employee benefits.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	8	0
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Central Administration	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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TDLR's regulatory programs will continue to increase as we are entrusted with more programs by the Legislature. The Sunset Advisory Commission released a staff report in May 2014 which outlined a plan to transfer 12 programs from the Department of State Health Services (DSHS) to TDLR. The plan would transfer the 12 programs in two phases beginning in September 2015. Once both phases are complete, these programs would add an additional 100,000 licensees to TDLR's current licensee population of more than 650,000. This plan is contingent upon a bill passing in the next legislative session. With approximately 1,000 people moving to Texas each day, this rapidly increasing population will require additional housing, water resources, and consumer goods and services. TDLR's increased regulatory and enforcement efforts must address the safety and consumer protections of Texans in the areas of water resources, air conditioning, electrical, towing, and service contracts. To ensure Texans are served efficiently, effectively and safely by licensed professionals and businesses, TDLR will require additional staff to implement these programs.

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GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,254,098	\$915,181	\$1,039,922	\$1,039,922	\$1,039,922
1002	OTHER PERSONNEL COSTS	\$127,904	\$54,702	\$14,640	\$14,640	\$14,640
2001	PROFESSIONAL FEES AND SERVICES	\$451,199	\$488,478	\$525,718	\$488,593	\$525,718
2002	FUELS AND LUBRICANTS	\$119	\$100	\$100	\$100	\$100
2003	CONSUMABLE SUPPLIES	\$3,224	\$6,600	\$5,775	\$5,775	\$5,775
2004	UTILITIES	\$19,447	\$9,950	\$19,019	\$19,019	\$19,019
2005	TRAVEL	\$540	\$1,327	\$500	\$500	\$500
2006	RENT - BUILDING	\$17,675	\$14,266	\$18,316	\$18,316	\$18,316
2007	RENT - MACHINE AND OTHER	\$13,878	\$8,405	\$5,335	\$5,335	\$5,335
2009	OTHER OPERATING EXPENSE	\$288,195	\$197,948	\$137,684	\$174,809	\$174,809
5000	CAPITAL EXPENDITURES	\$5,551	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,181,830	\$1,696,957	\$1,767,009	\$1,767,009	\$1,804,134
Method of Financing:						
1	General Revenue Fund	\$1,898,463	\$1,293,075	\$1,440,423	\$1,440,423	\$1,477,548
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,898,463	\$1,293,075	\$1,440,423	\$1,440,423	\$1,477,548

3.A. Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:24:38AM

452 Department of Licensing and Regulation

GOAL:	3 Indirect Administration	Statewide Goal/Benchmark:	8	0
OBJECTIVE:	1 Indirect Administration	Service Categories:		
STRATEGY:	2 Information Resources	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
666	Appropriated Receipts	\$272,485	\$393,000	\$315,704	\$315,704	\$315,704
777	Interagency Contracts	\$10,882	\$10,882	\$10,882	\$10,882	\$10,882
SUBTOTAL, MOF (OTHER FUNDS)		\$283,367	\$403,882	\$326,586	\$326,586	\$326,586
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,767,009	\$1,804,134
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,181,830	\$1,696,957	\$1,767,009	\$1,767,009	\$1,804,134
FULL TIME EQUIVALENT POSITIONS:		17.2	12.7	15.0	15.0	15.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

452 Department of Licensing and Regulation

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	8	0
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	2	Information Resources	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The Information Resources (IR) strategy is comprised of Network Services and Information Systems Development. These divisions manage TDLR's electronic infrastructure which supports the agency's efficient, cost-effective business model for administering 25 statutes. Responsibilities include systems analysis, application development, electronic document imaging, web design, computer hardware and software, and network and phone support.

Network Services supports all assigned workstations, mobile devices and telecommunications services used in multiple locations across Texas. This division also provides support and data network management to the Texas Commission on the Arts, also located in the E.O. Thompson building.

Information Systems Development is responsible for developing and maintaining TDLR's licensing system using a scalable, modular software system capable of rapid customization and modification in response to changing needs. The licensing system interfaces directly with TDLR's other web-based systems such as continuing education course completion submission, license application and renewals through the TexasOnline payment gateway, and extensive license search capabilities.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Innovations in hardware and software may impact this strategy. The State Data Center Services contract may negatively impact this strategy if outages occur at the consolidated Data Center, increased contract costs and placing additional demands on limited resources. Other factors impacting this strategy include growth in licensee population, expansion of the agency workforce, and retention of individuals with key skill sets.

3.A. Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:24:38AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$304,914	\$313,516	\$298,117	\$298,117	\$298,117
1002	OTHER PERSONNEL COSTS	\$43,087	\$14,796	\$9,840	\$9,840	\$9,840
2001	PROFESSIONAL FEES AND SERVICES	\$1,239	\$1,402	\$1,499	\$1,499	\$1,499
2002	FUELS AND LUBRICANTS	\$1,244	\$1,134	\$1,250	\$1,250	\$1,250
2003	CONSUMABLE SUPPLIES	\$244	\$923	\$1,925	\$1,925	\$1,925
2004	UTILITIES	\$3,199	\$2,965	\$4,502	\$4,502	\$4,502
2005	TRAVEL	\$0	\$292	\$250	\$250	\$250
2006	RENT - BUILDING	\$7,300	\$11,586	\$11,182	\$11,182	\$11,182
2007	RENT - MACHINE AND OTHER	\$0	\$697	\$2,721	\$2,721	\$2,721
2009	OTHER OPERATING EXPENSE	\$44,089	\$16,092	\$28,090	\$28,090	\$28,090
TOTAL, OBJECT OF EXPENSE		\$405,316	\$363,403	\$359,376	\$359,376	\$359,376
Method of Financing:						
1	General Revenue Fund	\$405,316	\$363,403	\$359,376	\$359,376	\$359,376
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$405,316	\$363,403	\$359,376	\$359,376	\$359,376

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$359,376	\$359,376
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$405,316	\$363,403	\$359,376	\$359,376	\$359,376
FULL TIME EQUIVALENT POSITIONS:		6.3	8.0	7.0	7.0	7.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Other Support Services is responsible for all mail services, purchasing, contract administration, risk management, vehicle fleet compliance, and management of over \$2,000,000 in fixed and controlled assets. The purchasing section receives, stores, and issues supplies and arranges sourcing for needed services. TDLR employs qualified purchasing professionals, each of whom is trained and certified consistent with Comptroller guidelines. The section also keeps detailed inventory records for fiscal, legal, and operational purposes.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TDLR's regulatory programs will continue to increase as we are entrusted with more programs by the Legislature. This will create additional workloads resulting in increased demand for mail processing and purchasing.

3.A. Strategy Request
84th Regular Session, Agency Submission, Version I
Automated Budget and Evaluation System of Texas (ABEST)

7/28/2014 9:24:38AM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$25,355,659	\$24,283,146	\$24,484,151	\$24,390,170	\$24,466,012
METHODS OF FINANCE (INCLUDING RIDERS):				\$24,415,170	\$24,491,012
METHODS OF FINANCE (EXCLUDING RIDERS):	\$25,355,659	\$24,283,146	\$24,484,151	\$24,390,170	\$24,466,012
FULL TIME EQUIVALENT POSITIONS:	357.4	360.8	377.2	382.2	382.2

3.B. Rider Revisions and Additions Request

Agency Code: 452	Agency Name: Texas Department of Licensing & Regulation	Prepared By: Jerry Daniels	Date: 7/16/14	Request Level: Base
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Current Rider Number	Page Number in 2014-15 GAA	Proposed Rider Language																											
2	VIII-30	<p>Capital Budget. None of the funds appropriated may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with a "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code §1232.103. Upon approval from the Legislative Budget Board, capital budgeted funds listed below under "Acquisition of Information Resource Technologies" may be used to lease information resources hardware and/or software, if determined by agency management to be in the best interest of the State of Texas.</p> <table style="width: 100%; margin-left: 40px;"> <thead> <tr> <th></th> <th style="text-align: right;"><u>2016 2014</u></th> <th style="text-align: right;"><u>2017 2015</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> </tr> <tr> <td> (1) Purchase of Information Resource Technologies - Scheduled Replacement</td> <td style="text-align: right;">\$ 82,812</td> <td style="text-align: right;">83,608</td> </tr> <tr> <td>b. Data Center Consolidation</td> <td></td> <td></td> </tr> <tr> <td> (1) Data Center Consolidation</td> <td style="text-align: right;">485,970</td> <td style="text-align: right;">523,095</td> </tr> <tr> <td>Total, Capital Budget</td> <td style="text-align: right;"><u>\$ 568,782</u></td> <td style="text-align: right;"><u>\$ 606,703</u></td> </tr> <tr> <td colspan="3">Method of Financing (Capital Budget):</td> </tr> <tr> <td>General Revenue Fund</td> <td style="text-align: right;"><u>\$568,782</u></td> <td style="text-align: right;"><u>\$606,703</u></td> </tr> <tr> <td>Total, Method of Financing</td> <td style="text-align: right;"><u>\$568,782</u></td> <td style="text-align: right;"><u>\$606,703</u></td> </tr> </tbody> </table> <p><i>This rider has been changed to reflect the 2016-2017 Capital Budget Request. An explanation of the requested items and the impact on Agency operation is included in the Capital Budget Schedules.</i></p>		<u>2016 2014</u>	<u>2017 2015</u>	a. Acquisition of Information Resource Technologies			(1) Purchase of Information Resource Technologies - Scheduled Replacement	\$ 82,812	83,608	b. Data Center Consolidation			(1) Data Center Consolidation	485,970	523,095	Total, Capital Budget	<u>\$ 568,782</u>	<u>\$ 606,703</u>	Method of Financing (Capital Budget):			General Revenue Fund	<u>\$568,782</u>	<u>\$606,703</u>	Total, Method of Financing	<u>\$568,782</u>	<u>\$606,703</u>
	<u>2016 2014</u>	<u>2017 2015</u>																											
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Total, Method of Financing	<u>\$568,782</u>	<u>\$606,703</u>																											

**3.B. Rider Revisions and Additions Request
(continued)**

3	VIII-31	<p>Appropriation: Travel Expenses and Fee Reimbursements.</p> <p>a. Funds appropriated above include reimbursements for travel expenses and special inspection fees collected pursuant to Health and Safety Code §755.030, Boilers Fees. Contingent upon certification by the Department of Licensing and Regulation and verification by the Comptroller all fees collected in excess of \$312,600 each year of the biennium (estimated to be \$0) are hereby appropriated to the Texas Department of Licensing and Regulation for the same purpose. The Department of Licensing and Regulation shall provide the Legislative Budget Board with a copy of the certification and any verification by the Comptroller within 10 business days.</p> <p>b. In the event that actual and/or projected revenue collections for amounts identified in this rider above from fees collected pursuant to Health and Safety Code §755.030, Boilers Fees, are insufficient to offset costs identified by this provision, the Legislative Budget Board may direct the Comptroller of Public Accounts to reduce the appropriation authority provided above to be within the amount of revenue expected to be available.</p> <p>c. The Department of Licensing and Regulation shall report quarterly to the Legislative Budget Board in a format prescribed by the Legislative Budget Board for each fiscal year the amount of revenues collected and expenditures made for the purpose of administering and enforcing Health and Safety Code §755.030, Boilers Fees.</p> <p><i>The last section of this rider is recommended for modification because the department does not accrue expenditures by program throughout the year. Program cost allocation is done annually.</i></p>
6	VIII-31	<p>Elimination of Architectural Barriers: Reduce Duplicate Inspections. None of the funds appropriated by this Act shall be expended for the purpose of conducting inspections and plan reviews within the corporate boundaries of a municipality which has applied with the department to perform review and inspection functions pursuant to the Elimination of Architectural Barriers Act, Government Code, Chapter 469, Subchapter C.</p> <p><i>This rider is recommended for deletion because no municipality has performed such reviews and inspections in the last six biennia.</i></p>
8	VIII-31	<p>Appropriation: Barber School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy A.1.4, Customer Service, the amounts of \$5,000 in fiscal year 2016 2014 and \$5,000 in fiscal year 2017 2015 are appropriated from the GR-Dedicated Barber School Tuition Protection Account No. 5081, for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1601.3571. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the 2014-15 2016-17 biennium under the revised fee structure to the Comptroller of Public Accounts.</p>

**3.B. Rider Revisions and Additions Request
(continued)**

9	VIII-31	<p><i>This rider has been revised to reflect the 2016-17 biennium. The change in the last sentence is recommended for modification to align the rider with the requirements of Chapter 1601, Occupations Code §1601.3571.</i></p> <p>Appropriation: Private Beauty Culture School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy A.1.43, <u>Customer Service, Examinations/Continuing Education</u>, the amounts of \$20,000 in fiscal year <u>2016</u> 2044 and \$20,000 in fiscal year <u>2017</u> 2045 from the GR-Dedicated Private Beauty Culture School Tuition Protection Account No. 108, for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1602.464. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the <u>2016-17</u> 2044-45 biennium under the revised fee structure to the Comptroller of Public Accounts.</p>
10	VIII-32	<p><i>This rider has been revised to reflect the 2016-17 biennium and to correct the Strategy. The change in the last sentence is recommended for modification to align the rider with the requirements of Chapter 1602, Occupations Code §1602.464.</i></p> <p>Reimbursement of Advisory Committee Members for Travel Expenses. Pursuant to Government Code §2110.004, reimbursement of expenses for advisory committee members, out of funds appropriated above, is limited to the following advisory committees: Air Conditioning and Refrigeration Contractors Advisory Board; Architectural Barriers Advisory Committee; Advisory Board on Barbering; Board of Boiler Rules; Advisory Board on Cosmetology; Electrical Safety and Licensing Advisory Board; Elevator Advisory Board; Texas Industrial Building Code Council; Licensed Breeders Advisory Committee; Licensed Court Interpreters Advisory Board; Medical Advisory Committee; Polygraph Advisory Committee; Property Tax Consultants Advisory Council; Texas Tax Professional Advisory Committee; Towing, Storage and Booting Advisory Board; Used Automotive Parts Recycling Advisory Board; Water Well Drillers Advisory Council; and Weather Modification Advisory Committee.</p>
11	VIII-32	<p><i>This requested change deletes the Licensed Court Interpreters Advisory Board which was transferred to the Judicial Branch Certification Commission by the 83rd Legislature. This rider includes punctuation changes missed during the revision of the Towing and Storage Advisory Board name change, to the Towing, Storage and Booting Advisory Board.</i></p> <p>Unexpended Balance Authority. The unobligated and unexpended balances of appropriations to the Department of Licensing and Regulation for the fiscal year ending August 31, <u>2016</u>, 2044, are hereby appropriated to the Department of Licensing and Regulation for the same purposes for the fiscal year ending August 31, <u>2017</u>. 2045.</p> <p><i>This rider has been revised to reflect the 2016-17 biennium.</i></p>

**3.B. Rider Revisions and Additions Request
(continued)**

12	VIII-32	<p>Additional General Revenue. Out of the General Revenue appropriated above, \$1,260,080 in each year of the biennium, is appropriated from fees collected pursuant to Health and Safety Code, Chapter 754, Subchapter B, for the purposes of administering and enforcing laws relating to elevators, escalators and related equipment, as set out in Chapter 754, Health and Safety Code and \$4,624,050 for each year of the biennium is appropriated from fees collected pursuant to Government Code, Chapter 469, Subchapter B, for the purposes of administering and enforcing the Architectural Barrier Act. Any fees collected above those annual amounts (estimated to be \$0) are hereby appropriated to the Department of Licensing and Regulation for the same purpose.</p> <p>a. No appropriation of General Revenue in excess of the estimated amounts shown may be expended by the Department of Licensing and Regulation unless:</p> <p>(1) The department's governing board files a finding of fact along with a written plan outlining the source, use, and projected impact of the funds on performance measures with the Legislative Budget Board and the Governor and indicating that additional appropriations are required to maintain adequate levels of program performance; and,</p> <p>(2) Neither the Legislative Budget Board nor the Governor issues a written disapproval prohibiting the agency from responding in an emergency. Not later than:</p> <p>(A) The 10th business day after the date the staff of the Legislative Budget Board concludes its review of the findings of fact and forwards those findings of fact along with the conclusions or comments of the Legislative Budget Board staff to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor;</p> <p>(B) or within 10 business days of the receipt of the finding of fact and the written plan by the Governor.</p> <p>b. This provision does not apply to General Revenue included in the amounts appropriated above that are collected pursuant to Health and Safety Code, Chapter 754, Subchapter B, for the purposes of elevators, escalators and related equipment or Government Code, Chapter 469, Subchapter B, for the purposes of administering and enforcing the Architectural Barrier Act programs.</p> <p>c. The Department of Licensing and Regulation shall report quarterly to the Legislative Budget Board in a format prescribed by the Legislative Budget Board for each fiscal year the amount of revenues collected and expenditures made for the purpose of administering and enforcing Chapter 754, Health and Safety Code and Government Code, Chapter 469, Subchapter B.</p>
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**3.B. Rider Revisions and Additions Request
(continued)**

<p align="center">13</p>	<p align="center">VIII-33</p>	<p>d. In the event that actual and/or projected revenue collections for amounts identified in this rider above from fees collected pursuant to Health and Safety Code, Chapter 754, Subchapter B, and Government Code, Chapter 469, Subchapter B, are insufficient to offset costs identified by this provision, the Legislative Budget Board may direct the Comptroller of Public Accounts to reduce the appropriation authority provided above to be within the amount of revenue expected to be available.</p> <p><i>The change to section c of this rider is recommended for modification because the department does not accrue expenditures by program throughout the year. Program cost allocation is done annually.</i></p> <p>Combative Sports Regulation. In addition to the amounts appropriated above, the Department of Licensing and Regulation shall be appropriated \$30,000 out of funds collected by the agency and deposited to the General Revenue Fund during each fiscal year for the 2016-17 2014-15 biennium, for each combative sports event managed by the department for which <u>gross receipts</u> ticket sales exceed \$2,000,000 (estimated to be 0 one event) contingent upon the Department of Licensing and Regulation assessing fees and taxes sufficient to generate, in addition to revenue requirements elsewhere in this act, during the 2014-15 2012-13 biennium, \$30,000 for each such combative sports event in excess of \$763,000 in fiscal year 2014 2012 and \$788,000 in fiscal year 2015 2013 (Object Codes 3146 and 3147) contained in the Comptroller of Public Accounts' Biennial Revenue Estimate for each fiscal year. The Department of Licensing and Regulation upon completion of necessary actions to assess or increase such additional revenue shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the 2014-15 2012-13 biennium under the revised fee or tax structure to the Comptroller of Public Accounts. If the Comptroller finds the information sufficient to support the projection of increased revenues, a finding of fact shall be issued and the contingent appropriation shall be made available for the intended purpose.</p> <p><i>This modification aligns the rider with the original purpose and intent of the rider, which is to allow the Department of Licensing and Regulation to cover the additional travel and contract costs involved in managing these mega events. For example in fiscal year 2014 the department managed two events that exceeded the \$2,000,000 gross receipts threshold: October 13, 2013 - \$3,532,075 and March 15, 2014 - \$3,736,629. As the rider is currently written the department was unable to use the \$30,000 per event. The changes in the rider will allow the department to access the funds consistent with the Combative Sports statute and legislative intent.</i></p>
<p align="center">14</p>	<p align="center">VIII-33</p>	<p>Contingency Appropriation for Senate Bill 845. Amounts appropriated above from the General Revenue Fund are reduced by \$97,330 in fiscal year 2014 and \$143,060 in fiscal year 2015 for postage costs due to the enactment of Senate Bill 845 relating to the use of e-mail and website technology by the Texas Department of Licensing and Regulation, by the Eighty-third Legislature, Regular Session, 2013.</p> <p><i>This rider is not needed because it was specific to the 2014-15 biennium.</i></p>

3.C. Rider Appropriations and Unexpended Balances Request
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:43:45AM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
3 1	Special Boiler Inspections 2-1-1 CONDUCT INSPECTIONS	\$139,375	\$0	\$0	\$0	\$0
OBJECT OF EXPENSE:						
	2005 TRAVEL	\$139,375	\$0	\$0	\$0	\$0
Total, Object of Expense		\$139,375	\$0	\$0	\$0	\$0
METHOD OF FINANCING:						
	666 Appropriated Receipts	\$139,375	\$0	\$0	\$0	\$0
Total, Method of Financing		\$139,375	\$0	\$0	\$0	\$0

Description/Justification for continuation of existing riders or proposed new rider

This rider is necessary to ensure that the agency can continue to provide special inspection services (included in Section 755.028 of the Boiler Law) to owners, operators, and manufacturers of boilers. Given the significant health and safety issues associated with the program, it is important that TDLR have this funding flexibility. These special inspection services include comprehensive audits for certification to construct, assemble and/or repair boilers or pressure vessels. Agency staff is able to provide these services at a significantly lower cost than private sector entities, thus saving money for manufacturers and users of boilers and pressure vessels in Texas. These certifications also help further the Boiler Program's purpose to protect Texans through the safe construction, operation and inspection of boilers. These funds also allow staff to:

- 1) interface with other jurisdictions/states to facilitate interstate commerce in boilers and pressure vessels, and to standardize associated safety requirements;
- 2) interface with professional engineering societies to affect the development of standard boiler construction and safety codes;
- 3) interface with national boiler and pressure vessel inspection organizations to affect the development of standard boiler repair and safety inspection codes; and
- 4) provide training and education to professional organizations involved in the installation, operation and maintenance of boilers.

3.C. Rider Appropriations and Unexpended Balances Request
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DATE: 7/28/2014
 TIME: 9:43:45AM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
4 1	Auctioneer Education and Recovery 2-1-3 RESOLVE COMPLAINTS	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
OBJECT OF EXPENSE:						
	1001 SALARIES AND WAGES	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Total, Object of Expense		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
METHOD OF FINANCING:						
	898 Auction Educ & Rec Trust	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Total, Method of Financing		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Description/Justification for continuation of existing riders or proposed new rider

The Auctioneer Education And Recovery Fund (AERF) is a trust fund administered by the department to pay claims against licensed auctioneers. Claims are filed by consumers who have been harmed by the actions of an auctioneer. Claims of the AERF are investigated by Enforcement Division staff. The claimant and the auctioneer are notified of the agency's determination, and given the opportunity to request a hearing if they disagree with the determination. The fund also helps make possible essential and ongoing efforts to educate the auctioneer industry and the public about auctioneering in Texas. In overseeing the AERF, the department serves the important purpose of preventing waste of funds. The proper administration of the fund requires department staff to conduct thorough investigation of each consumer complaint, and spend time reviewing and giving careful consideration to educational grant proposals.

3.C. Rider Appropriations and Unexpended Balances Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:43:45AM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
12 1	Elevators and Escalators 2-1-1 CONDUCT INSPECTIONS	\$369,685	\$0	\$0	\$0	\$0
OBJECT OF EXPENSE:						
	2009 OTHER OPERATING EXPENSE	\$369,685	\$0	\$0	\$0	\$0
Total, Object of Expense		\$369,685	\$0	\$0	\$0	\$0
METHOD OF FINANCING:						
	666 Appropriated Receipts	\$369,685	\$0	\$0	\$0	\$0
Total, Method of Financing		\$369,685	\$0	\$0	\$0	\$0

Description/Justification for continuation of existing riders or proposed new rider

This rider enables the agency to fulfill the program's purpose, to ensure the safety of people in Texas who ride or work on elevators, escalators, moving walks, wheelchair lifts and related equipment, by allowing staff to:

- 1) provide timely training, education, and notification to inspectors, constructors, owners and users of elevators and related equipment;
- 2) review and process a steadily increasing number of inspections and inspectors;
- 3) electronically image inspection reports to make them available to inspectors and other interested parties via our website;
- 4) develop expanded on-line services for staff, inspectors, building owners and individuals reporting equipment status or unsafe elevators;
- 5) publicize and administer the program's "Late Equals Unsafe" public safety awareness program for this equipment; and
- 6) further expand the capabilities and information available through on-line searchable databases.

3.C. Rider Appropriations and Unexpended Balances Request
 84th Regular Session, Agency Submission, Version I
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:43:45AM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
SUMMARY:						
OBJECT OF EXPENSE TOTAL		\$534,060	\$25,000	\$25,000	\$25,000	\$25,000
METHOD OF FINANCING TOTAL		\$534,060	\$25,000	\$25,000	\$25,000	\$25,000

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION		Excp 2016	Excp 2017
	Item Name:	Enhance Employee Retention		
	Item Priority:	1		
	Includes Funding for the Following Strategy or Strategies:			
		01-01-01 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
		01-01-02 License Businesses and Facilities		
		01-01-03 Administer Exams to Applicants		
		01-01-04 Provide Customer Service		
		02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
		02-01-02 Perform Building Plan Reviews		
		02-01-03 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
		02-01-04 Investigate Complaints		
		03-01-01 Central Administration		
		03-01-02 Information Resources		
		03-01-03 Other Support Services		

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES		300,000	300,000
	TOTAL, OBJECT OF EXPENSE		300,000	300,000

METHOD OF FINANCING:

1	General Revenue Fund		300,000	300,000
	TOTAL, METHOD OF FINANCING		300,000	300,000

DESCRIPTION / JUSTIFICATION:

Since 1999, the Legislature has looked to TDLR each session to bring fiscal discipline and better oversight to a variety of programs. TDLR's functionally aligned business model provides the path for consolidating programs to achieve cost savings and efficiencies. If the Legislature sends additional licensing responsibilities to TDLR, we will need to retain employees with program knowledge and the aptitude for process analysis and redesign, critical thinking, and communication. The agency's current pay structure is not sufficient to retain employees with these critical skills.

EXTERNAL/INTERNAL FACTORS:

A salary comparison of TDLR's employee pay to the pay of employees in other state agencies by State Auditor's Office job classification reveals the average pay for 55 of TDLR's 66 job classifications is lower than the average pay for those classifications at other agencies. TDLR has recently experienced high employee turnover, in large part due to the competitive disadvantage in the salary structure.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:
Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Achieve Gartner IT Security Recommendations		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies: 03-01-02 Information Resources		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	413,808	413,808
2005	TRAVEL	3,500	3,500
2007	RENT - MACHINE AND OTHER	16,713	16,713
2009	OTHER OPERATING EXPENSE	444,603	10,800
5000	CAPITAL EXPENDITURES	200,000	0
	TOTAL, OBJECT OF EXPENSE	\$1,078,624	\$444,821

METHOD OF FINANCING:

1 General Revenue Fund

TOTAL, METHOD OF FINANCING

1,078,624	444,821
\$1,078,624	\$444,821

FULL-TIME EQUIVALENT POSITIONS (FTE):

6.00	6.00
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DESCRIPTION / JUSTIFICATION:

A statewide security program was established in 2013 by the Department of Information Resources to strengthen information security by assessing security and risk management at state agencies. As part of this statewide Enterprise Security Program, Gartner, Inc., an information technology (IT) research and advisory firm, prepared for TDLR a security assessment of its IT security program and applicable information technology environments, including infrastructure, governance, and related life cycle processes. As part of this assessment, Gartner in February 2014 identified the positions and technology costs necessary for TDLR to achieve each of the five IT maturity levels. This would significantly strengthen TDLR's capacity to protect the confidential personal identifiable information of its vendors and consumers and more than 600,000 licensees maintained in the agency's databases. TDLR is requesting six FTEs, two System Analysts IV, one Project Manager IV, one Information Tech Security Analyst II, one Information Tech Auditor III and one Network Specialist V.

EXTERNAL/INTERNAL FACTORS:

Based on the recommendations in the Gartner Study, TDLR is requesting 6 FTEs and \$1,078,624 in fiscal year 2016 and 6 FTEs and \$444,821 in fiscal year 2017. This request will allow TDLR to achieve a Level 3, proactive and defined IT maturity level ensuring that TDLR's policies and rules are in place and that IT security roles and responsibilities are clearly established.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Item Name: Analyze TDLR's Long-Term IT Systems Needs

Item Priority: 3

Includes Funding for the Following Strategy or Strategies: 03-01-02 Information Resources

OBJECTS OF EXPENSE:

2001 PROFESSIONAL FEES AND SERVICES

250,000	0
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TOTAL, OBJECT OF EXPENSE

\$250,000	\$0
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METHOD OF FINANCING:

1 General Revenue Fund

250,000	0
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TOTAL, METHOD OF FINANCING

\$250,000	\$0
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DESCRIPTION / JUSTIFICATION:

TDLR is seeking appropriations to conduct an "IT Systems Needs" study on TDLR's current licensing system. TDLR's main licensing system, Texas Uniform Licensing Information Project, was initiated in 2000. The agency also uses the HP3000 licensing system which was installed in 1982. While these older systems have allowed TDLR to be successful, it is imperative that we take a comprehensive look at what our long-term future licensing needs will be, and how we will go about fulfilling those needs. The states of Michigan and Florida recently conducted studies similar in scope and purpose with the one that TDLR is requesting – the costs of the studies were \$700,000 and \$600,000, respectively.

We are requesting \$250,000 in fiscal year 2016 for an IT Systems Needs study to be conducted by an independent third-party. The results and conclusions from this study will be included in our 2018-19 LAR.

EXTERNAL/INTERNAL FACTORS:

TDLR is presently in the process of renovating its current licensing system to meet the needs of the systems users, both internal and external. As with any legacy system, however, the cost to simply maintain the current system goes up, even as technological advances in the outside world decrease costs for improved speed and agility. TDLR, like any business, needs to make an investment for its long-term needs. This is also in keeping with the agency's Core Priority #11, to "explore and develop solutions for TDLR's future, long-term licensing software needs."

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452 Agency name: Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Increase Consumer Protection Inspections Item Priority: 4 Includes Funding for the Following Strategy or Strategies: 02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	153,348	153,348
2005	TRAVEL	21,600	21,600
2007	RENT - MACHINE AND OTHER	8,357	8,357
2009	OTHER OPERATING EXPENSE	33,217	5,400
TOTAL, OBJECT OF EXPENSE		\$216,522	\$188,705
METHOD OF FINANCING:			
1	General Revenue Fund	216,522	188,705
TOTAL, METHOD OF FINANCING		\$216,522	\$188,705
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.00	3.00

DESCRIPTION / JUSTIFICATION:

TDLR's Field Operations Division conducts more than 40,000 safety and compliance inspections per year. These inspections impact nearly all Texans and more than 330,000 licensees. For Texans who use the services provided by these licensees, inspections are the frontline of protection for public safety and welfare.

After careful evaluation of TDLR's current field inspection personnel, the agency has determined that three additional FTEs in inspection and program administration will allow the agency to meet the increase in the number of facilities needing inspections and ensure that Texans are properly safeguarded.

Two Field Inspectors will allow the agency to annually conduct 2,710 additional barber, cosmetology, licensed breeder, vehicle storage facility, towing company, and used auto part recycler inspections. The addition of one Program Specialist will bring much-needed support for the sophisticated inspection routing and mobile hand-held electronic inspection devices, allowing Field Inspectors to receive timely support and freeing up managers to provide follow-up and increased oversight of the inspection program. The Program Specialist will also be responsible for data analytics for these systems. We are requesting three FTEs and \$216,522 in fiscal year 2016 and \$188,705 in fiscal year 2017 to enhance consumer protection inspections.

EXTERNAL/INTERNAL FACTORS:

Barber, Cosmetology, Licensed Breeders, Tow Truck and Vehicle Storage Facilities programs require periodic, ongoing inspections of existing, as well as new businesses and equipment. We have experienced growth in our licensee base in a number of these programs. In addition, ongoing Agency enforcement efforts in all of these programs to identify unlicensed individuals and businesses and efforts to perform risk based and some follow-up inspections will likely add to the workloads for these programs.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION		Excp 2016	Excp 2017
		Item Name: Improve Customer Service Responsiveness		
		Item Priority: 5		
		Includes Funding for the Following Strategy or Strategies: 01-01-04 Provide Customer Service		
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES		139,344	139,344
2007	RENT - MACHINE AND OTHER		11,142	11,142
2009	OTHER OPERATING EXPENSE		35,216	7,200
	TOTAL, OBJECT OF EXPENSE		\$185,702	\$157,686
METHOD OF FINANCING:				
1	General Revenue Fund		185,702	157,686
	TOTAL, METHOD OF FINANCING		\$185,702	\$157,686
FULL-TIME EQUIVALENT POSITIONS (FTE):			4.00	4.00

DESCRIPTION / JUSTIFICATION:

TDLR is requesting 4 additional Customer Service Representatives to address gaps in our phone, email, and social media coverage. These additional positions will allow TDLR to maintain a steady level of reliable customer service, in light of the employee turnover typical for a contact center. Because experienced Customer Service Representatives are consistently sought after and promoted to other positions throughout the agency, the Customer Service Division needs to maintain an "overfill" of trained representatives to help mitigate the high turnover. The additional Customer Service Representatives will also allow Customer Service to provide adequate training as we continue to address the multiple ways customers are communicating with TDLR. We are requesting four FTEs and \$185,702 in fiscal year 2016 and \$157,686 in fiscal year 2017 to improve customer service responsiveness.

EXTERNAL/INTERNAL FACTORS:

Each vacancy in the Customer Service Division equals the loss of 7,500 calls per agent. It takes 75 days to fill a vacant position and agents need 6 months to a year of experience before they are fully trained to assist with all of TDLR's programs. As a result, remaining agents must respond to a higher than normal volume of contacts and increased workloads. This leads to additional stress, illness, and burnout. The shortage in coverage also makes it more difficult to provide quality customer service and much needed ongoing training.

The Customer Service Division receives over 345,000 calls per year, and more than 33,000 emails. Four additional Customer Service Representatives is equivalent to 52,500 contacts responded to in the first year, 60,500 contacts responded to in the second year, and 70,000 contacts responded to in the third year.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name: Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Item Name: Strengthen Public Private Partnership Administration
Item Priority: 6
Includes Funding for the Following Strategy or Strategies: 03-01-01 Central Administration

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	49,968	49,968
2007	RENT - MACHINE AND OTHER	2,786	2,786
2009	OTHER OPERATING EXPENSE	8,804	1,800
TOTAL, OBJECT OF EXPENSE		\$61,558	\$54,554

METHOD OF FINANCING:

1	General Revenue Fund	61,558	54,554
TOTAL, METHOD OF FINANCING		\$61,558	\$54,554

FULL-TIME EQUIVALENT POSITIONS (FTE):

1.00		1.00
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DESCRIPTION / JUSTIFICATION:

TDLR is requesting one FTE for the Financial Services Division, a Contract Specialist. The Contract Specialist will enhance TDLR's expertise in obtaining bids and securing contracts through DIR and managing TDLR's investment in public-private partnership opportunities. The Contract Specialist position will ensure that we are maximizing the benefit of public-private partnerships and help guarantee we receive the highest return on investment, as well as enhance our expertise in evaluating, monitoring, and administering increasingly complex service-level agreement contracts.

EXTERNAL/INTERNAL FACTORS:

TDLR has been a leader among state agencies in successfully building public-private partnerships. We are committed to expanding these partnerships to leverage state resources and focus on our core responsibilities. We are requesting one FTE and \$61,558 in fiscal year 2016 and \$54,554 in fiscal year 2017 to improve public-private partnership administration.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
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	Item Name: Combat Online Licensing Violations and Consumer Fraud		
	Item Priority: 7		
	Includes Funding for the Following Strategy or Strategies:	02-01-03	Enforce Compliance by Settlement, Prosecution, Penalty and Sanction
		02-01-04	Investigate Complaints

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	281,376	281,376
2005	TRAVEL	5,550	5,550
2007	RENT - MACHINE AND OTHER	13,928	13,928
2009	OTHER OPERATING EXPENSE	45,206	9,000
TOTAL, OBJECT OF EXPENSE		\$346,060	\$309,854

METHOD OF FINANCING:

1	General Revenue Fund	346,060	309,854
TOTAL, METHOD OF FINANCING		\$346,060	\$309,854

FULL-TIME EQUIVALENT POSITIONS (FTE):

5.00	5.00
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DESCRIPTION / JUSTIFICATION:

Investigators, prosecutors and legal assistants must be able to identify relevant electronic data, interpret its significance, and follow the circuitous path of complex transactions. They also need the ability to manage the data explosion, analyze large volumes of data, and decipher trends and anomalies that are indicators of illegal activity. Increasingly, enforcement staff are expected to apply the law to electronic activities that were not contemplated when the law was passed. We are requesting five FTEs and \$346,060 in fiscal year 2016 and \$309,854 in fiscal year 2017 to enhance consumer protection investigations.

EXTERNAL/INTERNAL FACTORS:

The growth of electronic commerce presents unique challenges to the agency's efforts to detect fraud, unlicensed activity, and other violations. To meet this growing demand, TDLR needs two investigators, one prosecutor and two legal assistants with specialized knowledge and skill in navigating, collecting and understanding electronic information. More specifically, TDLR has a strategic need for forensic data analysis expertise combined with investigation/prosecutorial background that has a working knowledge of internet technologies, familiarity with online advertising and social media websites, knowledge of programming code and structured query language, and skill in the analysis of big data.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Fund Rising Costs Associated with the Data Center Consolidation (DCC) Service Contract Item Priority: 8 Includes Funding for the Following Strategy or Strategies: 03-01-02 Information Resources		
	OBJECTS OF EXPENSE:		
2001	PROFESSIONAL FEES AND SERVICES	276,299	186,343
	TOTAL, OBJECT OF EXPENSE	\$276,299	\$186,343
	METHOD OF FINANCING:		
1	General Revenue Fund	276,299	186,343
	TOTAL, METHOD OF FINANCING	\$276,299	\$186,343

DESCRIPTION / JUSTIFICATION:

The current Department of Information Resources (DIR) contract with Xerox and CapGemini to provide consolidated data center services continues to increase in cost, most notably for disaster recovery services. While TDLR has offset a significant portion of cost increases during FY 2014 through ongoing cost saving measures, expenditures to maintain TDLR's current levels of service to the public will increase significantly during FY 2015 beyond previously budgeted amounts. We are requesting \$276,299 in fiscal year 2016 and \$186,343 in fiscal year 2017 to cover the rising costs of the data center consolidation service contract.

EXTERNAL/INTERNAL FACTORS:

Participation in the DCC contract is required for the 28 state agencies, including TDLR, named in statute. Normal licensing population growth requires TDLR to increase data space and corresponding costs in order for TDLR to continue to meet current performance levels. Fluctuations in the price of data center consolidation services provided occur monthly based on a wide array of factors, including the consumption of the other 27 agencies covered by the current contract. While TDLR has effectively reacted to these fluctuations and maximized our available funds to date, forecasted rate increases will impact TDLR's ability to maintain information resources at their current service levels. Additional funding for specific recovery objectives needed by TDLR is required in order to avoid lengthening the recovery timeline for our mission-critical systems.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION		Excp 2016	Excp 2017
	Item Name: Provide Travel Reimbursement for Advisory Board Members			
	Item Priority: 9			
	Includes Funding for the Following Strategy or Strategies:			
	01-01-01 Issue Licenses, Registrations, & Certificates to Qualified Individuals			
	02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
OBJECTS OF EXPENSE:				
2005	TRAVEL		75,000	75,000
TOTAL, OBJECT OF EXPENSE			75,000	75,000
METHOD OF FINANCING:				
1	General Revenue Fund		75,000	75,000
TOTAL, METHOD OF FINANCING			75,000	75,000

DESCRIPTION / JUSTIFICATION:

TDLR's 18 industry-led advisory boards, comprised of 159 members, play a vital role in our success by providing the Commission and the agency with technical expertise and advice on issues affecting their industries. Advisory boards were created by the Legislature to ensure that the industries regulated by TDLR are involved in the development of licensing requirements, examination content, and practical and ethical standards for their industries. The advisory board members are volunteers from across Texas and provide the state an invaluable service, yet they must bear the cost of traveling to and from meetings. We are requesting \$75,000 in fiscal year 2016 and \$75,000 in fiscal year 2017 to reimburse advisory board member travel.

EXTERNAL/INTERNAL FACTORS:

In the absence of the travel reimbursement, TDLR has experienced difficulty in scheduling advisory meetings, a decline in the number of advisory board meetings, and the loss of talented advisory board members. This trend will continue if members don't receive reimbursement for their travel and the valuable voice of industry members will be diminished. Funding of advisory board travel would allow the agency to properly reimburse these volunteers for the commitment and sacrifices they make for the benefit of the state of Texas. Currently, the Department of State Health Services is appropriated \$200,000 per fiscal year for travel expenses of 20 advisory committees.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452 Agency name: Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Respond to Increased Construction Activity Item Priority: 10 Includes Funding for the Following Strategy or Strategies: 02-01-02 Perform Building Plan Reviews		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	149,904	149,904
2005	TRAVEL	8,400	8,400
2007	RENT - MACHINE AND OTHER	8,357	8,357
2009	OTHER OPERATING EXPENSE	28,191	5,400
TOTAL, OBJECT OF EXPENSE		\$194,852	\$172,061
METHOD OF FINANCING:			
1	General Revenue Fund	194,852	172,061
TOTAL, METHOD OF FINANCING		\$194,852	\$172,061
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.00	3.00

DESCRIPTION / JUSTIFICATION:

Texas leads the nation in job creation and business development. By reducing the regulatory burden on people and businesses, Texas' economic climate and job market have flourished. Another contributing factor to Texas' success is the rebound in construction activity. Three of TDLR's programs are directly impacted by and impact construction activity – architectural barriers, elevators, and industrialized housing and buildings. Each of these programs includes a plan review and inspection component to ensure that modular homes, buildings and elevator equipment are constructed in compliance with building and safety codes. To ensure that we quickly process construction plan approval documents and do not delay construction activity, we are requesting three program specialist III positions. These new positions will be cross-trained to perform plan reviews, audits and inspections in these programs to respond to peak workloads.

EXTERNAL/INTERNAL FACTORS:

TDLR has seen a significant increase in the number of plan reviews, which is a leading indicator for future construction activity. Elevator plan reviews increased from 650 in the first three quarters of fiscal year 2013 to 1,042 for the same time period in fiscal year 2014, more than a 60% increase. Construction projects are expected to increase by 3% per year over the next two years. The rise in plan reviews and construction activity will result in greater workloads for TDLR's elevator, architectural barriers and industrialized housing and buildings programs. We are requesting three FTEs and \$194,852 for fiscal year 2016 and \$172,061 for fiscal year 2017.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:13:49AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2016	Excp 2017
Item-Name: Staff the Centralized Accounting and Payroll/Personnel System Conversion			
Item Priority: 11			
Includes Funding for the Following Strategy or Strategies: 03-01-01 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	49,968	99,936
2007	RENT - MACHINE AND OTHER	2,786	5,571
2009	OTHER OPERATING EXPENSE	28,804	25,604
TOTAL, OBJECT OF EXPENSE		\$81,558	\$131,111
METHOD OF FINANCING:			
1	General Revenue Fund	81,558	131,111
TOTAL, METHOD OF FINANCING		\$81,558	\$131,111
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.00	2.00

DESCRIPTION / JUSTIFICATION:

The Comptroller's Office selected TDLR to convert from the Texas Uniform Statewide Accounting System and the Uniform Statewide Payroll/Personnel System legacy systems to the new Centralized Accounting and Payroll/Personnel System (CAPPS) in the 2016-17 biennium. The CAPPS project will support the Enterprise Resource Planning's mission for all state agencies to achieve transparency in state government operations and funding. Furthermore, CAPPS will replace the current manual, labor intensive budgeting process and provide decision makers seamless access to financial tools necessary to make informed decisions. While the Comptroller's Office will be submitting a consolidated request to fund the conversion and deployment costs for CAPPS, TDLR will also need two FTE's and multiple contracted training classes to facilitate a successful deployment of the CAPPS system. TDLR is requesting one FTE and \$81,558 for fiscal year 2016 and two FTEs and \$131,111 for fiscal year 2017.

EXTERNAL/INTERNAL FACTORS:

The conversion to a new financial and human resource system will require significant investments of time from current personnel over the life of the project. These time commitments are beyond the current staffing capacities needed to maintain current Financial Service and Human Resource business processes.

Due to TDLR's reliance on manual financial and budgeting processes and the Comptroller's legacy systems for many years, staff do not currently possess the necessary skills to use the CAPPS system. TDLR will need to contract with a vendor to provide multiple in-depth classes to cover the wide range of changes needed to support TDLR's best business processes and, at minimum fully utilize CAPPS to maintain or improve current functionality.

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	17,881	17,881
TOTAL, OBJECT OF EXPENSE		\$17,881	\$17,881
METHOD OF FINANCING:			
1	General Revenue Fund	17,881	17,881
TOTAL, METHOD OF FINANCING		\$17,881	\$17,881

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention		
Allocation to Strategy: 1-1-2 License Businesses and Facilities		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	9,654	9,654
TOTAL, OBJECT OF EXPENSE	9,654	9,654
METHOD OF FINANCING:		
1 General Revenue Fund	9,654	9,654
TOTAL, METHOD OF FINANCING	9,654	9,654

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention		
Allocation to Strategy: 1-1-3 Administer Exams to Applicants		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	12,557	12,557
TOTAL, OBJECT OF EXPENSE	\$12,557	\$12,557
METHOD OF FINANCING:		
1 General Revenue Fund	12,557	12,557
TOTAL, METHOD OF FINANCING	\$12,557	\$12,557

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 1-1-4 Provide Customer Service			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	22,311	22,311
TOTAL, OBJECT OF EXPENSE		\$22,311	\$22,311
METHOD OF FINANCING:			
1	General Revenue Fund	22,311	22,311
TOTAL, METHOD OF FINANCING		\$22,311	\$22,311

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	44,686	44,686
TOTAL, OBJECT OF EXPENSE		\$44,686	\$44,686
METHOD OF FINANCING:			
1	General Revenue Fund	44,686	44,686
TOTAL, METHOD OF FINANCING		\$44,686	\$44,686

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention		
Allocation to Strategy: 2-1-2 Perform Building Plan Reviews		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	18,541	18,541
TOTAL, OBJECT OF EXPENSE	\$18,541	\$18,541
METHOD OF FINANCING:		
1 General Revenue Fund	18,541	18,541
TOTAL, METHOD OF FINANCING	\$18,541	\$18,541

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	85,693	85,693
TOTAL, OBJECT OF EXPENSE		\$85,693	\$85,693
METHOD OF FINANCING:			
1	General Revenue Fund	85,693	85,693
TOTAL, METHOD OF FINANCING		\$85,693	\$85,693

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 2-1-4 Investigate Complaints			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	53,717	53,717
TOTAL, OBJECT OF EXPENSE		\$53,717	\$53,717
METHOD OF FINANCING:			
1	General Revenue Fund	53,717	53,717
TOTAL, METHOD OF FINANCING		\$53,717	\$53,717

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	30,784	30,784
TOTAL, OBJECT OF EXPENSE		\$30,784	\$30,784
METHOD OF FINANCING:			
1	General Revenue Fund	30,784	30,784
TOTAL, METHOD OF FINANCING		\$30,784	\$30,784

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,077	1,077
TOTAL, OBJECT OF EXPENSE		\$1,077	\$1,077
METHOD OF FINANCING:			
1	General Revenue Fund	1,077	1,077
TOTAL, METHOD OF FINANCING		\$1,077	\$1,077

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code Description	Excp 2016	Excp 2017
Item Name: Enhance Employee Retention		
Allocation to Strategy: 3-1-3 Other Support Services		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	3,099	3,099
TOTAL, OBJECT OF EXPENSE	\$3,099	\$3,099
METHOD OF FINANCING:		
1 General Revenue Fund	3,099	3,099
TOTAL, METHOD OF FINANCING	\$3,099	\$3,099

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Achieve Gartner IT Security Recommendations			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	413,808	413,808
2005	TRAVEL	3,500	3,500
2007	RENT - MACHINE AND OTHER	16,713	16,713
2009	OTHER OPERATING EXPENSE	444,603	10,800
5000	CAPITAL EXPENDITURES	200,000	0
TOTAL, OBJECT OF EXPENSE		\$1,078,624	\$444,821
METHOD OF FINANCING:			
1 General Revenue Fund		1,078,624	444,821
TOTAL, METHOD OF FINANCING		\$1,078,624	\$444,821
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.0	6.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Analyze TDLR's Long-Term IT Systems Needs			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	250,000	0
TOTAL, OBJECT OF EXPENSE		\$250,000	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	250,000	0
TOTAL, METHOD OF FINANCING		\$250,000	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Increase Consumer Protection Inspections			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
EXPLANATORY/INPUT MEASURES:			
1	Total Number of Inspections Completed	148,155.00	150,942.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	153,348	153,348
2005	TRAVEL	21,600	21,600
2007	RENT - MACHINE AND OTHER	8,357	8,357
2009	OTHER OPERATING EXPENSE	33,217	5,400
TOTAL, OBJECT OF EXPENSE		\$216,522	\$188,705
METHOD OF FINANCING:			
1	General Revenue Fund	216,522	188,705
TOTAL, METHOD OF FINANCING		\$216,522	\$188,705
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Improve Customer Service Responsiveness			
Allocation to Strategy: 1-1-4 Provide Customer Service			
STRATEGY IMPACT ON OUTCOME MEASURES:			
4	Percent of Contacts Responded to by Staff at TDLR	92.50	93.70
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	139,344	139,344
2007	RENT - MACHINE AND OTHER	11,142	11,142
2009	OTHER OPERATING EXPENSE	35,216	7,200
TOTAL, OBJECT OF EXPENSE		\$185,702	\$157,686
METHOD OF FINANCING:			
1	General Revenue Fund	185,702	157,686
TOTAL, METHOD OF FINANCING		\$185,702	\$157,686
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Strengthen Public Private Partnership Administration			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	49,968	49,968
2007	RENT - MACHINE AND OTHER	2,786	2,786
2009	OTHER OPERATING EXPENSE	8,804	1,800
TOTAL, OBJECT OF EXPENSE		\$61,558	\$54,554
METHOD OF FINANCING:			
1 General Revenue Fund		61,558	54,554
TOTAL, METHOD OF FINANCING		\$61,558	\$54,554
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code:	452	Agency name:	Department of Licensing and Regulation	
Code	Description		Excp 2016	Excp 2017
Item Name:	Combat Online Licensing Violations and Consumer Fraud			
Allocation to Strategy:	2-1-3	Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
STRATEGY IMPACT ON OUTCOME MEASURES:				
	<u>2</u> Percent of Complaints Closed within Six Months		60.00%	58.00%
OBJECTS OF EXPENSE:				
	1001 SALARIES AND WAGES		140,688	140,688
	2005 TRAVEL		2,775	2,775
	2007 RENT - MACHINE AND OTHER		6,964	6,964
	2009 OTHER OPERATING EXPENSE		22,603	4,500
TOTAL, OBJECT OF EXPENSE			\$173,030	\$154,927
METHOD OF FINANCING:				
	1 General Revenue Fund		173,030	154,927
TOTAL, METHOD OF FINANCING			\$173,030	\$154,927
FULL-TIME EQUIVALENT POSITIONS (FTE):			2.5	2.5

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code:	452	Agency name:	Department of Licensing and Regulation
Code	Description	Excp 2016	Excp 2017

Item Name:	Combat Online Licensing Violations and Consumer Fraud		
Allocation to Strategy:	2-1-4	Investigate Complaints	
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>3</u>	Recidivism Rate of Those Receiving Disciplinary Action	10.00%	9.00%
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	140,688	140,688
2005	TRAVEL	2,775	2,775
2007	RENT - MACHINE AND OTHER	6,964	6,964
2009	OTHER OPERATING EXPENSE	22,603	4,500
TOTAL, OBJECT OF EXPENSE		\$173,030	\$154,927
METHOD OF FINANCING:			
1	General Revenue Fund	173,030	154,927
TOTAL, METHOD OF FINANCING		\$173,030	\$154,927
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.5	2.5

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2016	Excp 2017
Item Name: Fund Rising Costs Associated with the Data Center Consolidation (DCC) Service Contract			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	276,299	186,343
TOTAL, OBJECT OF EXPENSE		\$276,299	\$186,343
METHOD OF FINANCING:			
1	General Revenue Fund	276,299	186,343
TOTAL, METHOD OF FINANCING		\$276,299	\$186,343

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Provide Travel Reimbursement for Advisory Board Members			
Allocation to Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals			
OBJECTS OF EXPENSE:			
2005	TRAVEL	30,000	30,000
TOTAL, OBJECT OF EXPENSE		\$30,000	\$30,000
METHOD OF FINANCING:			
1	General Revenue Fund	30,000	30,000
TOTAL, METHOD OF FINANCING		\$30,000	\$30,000

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2016	Excp 2017
Item Name: Provide Travel Reimbursement for Advisory Board Members			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
OBJECTS OF EXPENSE:			
2005	TRAVEL	45,000	45,000
TOTAL, OBJECT OF EXPENSE		\$45,000	\$45,000
METHOD OF FINANCING:			
1	General Revenue Fund	45,000	45,000
TOTAL, METHOD OF FINANCING		\$45,000	\$45,000

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2016	Excp 2017
Item Name: Respond to Increased Construction Activity			
Allocation to Strategy: 2-1-2 Perform Building Plan Reviews			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	149,904	149,904
2005	TRAVEL	8,400	8,400
2007	RENT - MACHINE AND OTHER	8,357	8,357
2009	OTHER OPERATING EXPENSE	28,191	5,400
TOTAL, OBJECT OF EXPENSE		\$194,852	\$172,061
METHOD OF FINANCING:			
1	General Revenue Fund	194,852	172,061
TOTAL, METHOD OF FINANCING		\$194,852	\$172,061
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 10:52:02AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2016	Excp 2017
Item Name: Staff the Centralized Accounting and Payroll/Personnel System Conversion			
Allocation to Strategy:		3-1-1	Central Administration
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	49,968	99,936
2007	RENT - MACHINE AND OTHER	2,786	5,571
2009	OTHER OPERATING EXPENSE	28,804	25,604
TOTAL, OBJECT OF EXPENSE		\$81,558	\$131,111
METHOD OF FINANCING:			
1 General Revenue Fund		81,558	131,111
TOTAL, METHOD OF FINANCING		\$81,558	\$131,111
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	2.0

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 - 4
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	17,881	17,881
2005 TRAVEL	30,000	30,000
Total, Objects of Expense	\$47,881	\$47,881
METHOD OF FINANCING:		
1 General Revenue Fund	47,881	47,881
Total, Method of Finance	\$47,881	\$47,881

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Provide Travel Reimbursement for Advisory Board Members

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 - 10
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 2 License Businesses and Facilities Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	9,654	9,654
Total, Objects of Expense	\$9,654	\$9,654

METHOD OF FINANCING:

1 General Revenue Fund	9,654	9,654
Total, Method of Finance	\$9,654	\$9,654

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 - 6
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	12,557	12,557
Total, Objects of Expense	\$12,557	\$12,557
METHOD OF FINANCING:		
1 General Revenue Fund	12,557	12,557
Total, Method of Finance	\$12,557	\$12,557

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention

4.C. Exceptional Items Strategy Request
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses Statewide Goal/Benchmark: 7 - 0
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 4 Provide Customer Service Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION **Excp 2016** **Excp 2017**

STRATEGY IMPACT ON OUTCOME MEASURES:

4 Percent of Contacts Responded to by Staff at TDLR 92.50 93.70

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	161,655	161,655
2007 RENT - MACHINE AND OTHER	11,142	11,142
2009 OTHER OPERATING EXPENSE	35,216	7,200
Total, Objects of Expense	\$208,013	\$179,997

METHOD OF FINANCING:

1 General Revenue Fund	208,013	179,997
Total, Method of Finance	\$208,013	\$179,997

FULL-TIME EQUIVALENT POSITIONS (FTE):

4.0 4.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Improve Customer Service Responsiveness

4.C. Exceptional Items Strategy Request
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 - 2

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:

STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 Percent of Complaints Resulting in Disciplinary Action	30.00 %	32.00 %
2 Percent of Complaints Closed within Six Months	60.00 %	58.00 %
3 Recidivism Rate of Those Receiving Disciplinary Action	10.00 %	9.00 %

EXPLANATORY/INPUT MEASURES:

1 Total Number of Inspections Completed	148,155.00	150,942.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	198,034	198,034
2005 TRAVEL	66,600	66,600
2007 RENT - MACHINE AND OTHER	8,357	8,357
2009 OTHER OPERATING EXPENSE	33,217	5,400
Total, Objects of Expense	\$306,208	\$278,391

METHOD OF FINANCING:

1 General Revenue Fund	306,208	278,391
Total, Method of Finance	\$306,208	\$278,391

FULL-TIME EQUIVALENT POSITIONS (FTE):

	3.0	3.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Increase Consumer Protection Inspections
 Provide Travel Reimbursement for Advisory Board Members

4.C. Exceptional Items Strategy Request
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 - 0
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2016	Exp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	168,445	168,445
2005 TRAVEL	8,400	8,400
2007 RENT - MACHINE AND OTHER	8,357	8,357
2009 OTHER OPERATING EXPENSE	28,191	5,400
Total, Objects of Expense	\$213,393	\$190,602

METHOD OF FINANCING:

1 General Revenue Fund	213,393	190,602
Total, Method of Finance	\$213,393	\$190,602

FULL-TIME EQUIVALENT POSITIONS (FTE):

3.0	3.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Respond to Increased Construction Activity

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 - 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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OUTPUT MEASURES:

1 Number of Complaints Closed	140.00	529.00
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EFFICIENCY MEASURES:

1 Average Time for Closing Complaints (Days)	205.00	208.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	226,381	226,381
2005 TRAVEL	2,775	2,775
2007 RENT - MACHINE AND OTHER	6,964	6,964
2009 OTHER OPERATING EXPENSE	22,603	4,500
Total, Objects of Expense	\$258,723	\$240,620

METHOD OF FINANCING:

1 General Revenue Fund	258,723	240,620
Total, Method of Finance	\$258,723	\$240,620

FULL-TIME EQUIVALENT POSITIONS (FTE):

	2.5	2.5
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Combat Online Licensing Violations and Consumer Fraud

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency Statewide Goal/Benchmark: 7 - 2
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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EXPLANATORY/INPUT MEASURES:

1 Number of Complaints Opened	12,601.00	12,723.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	194,405	194,405
2005 TRAVEL	2,775	2,775
2007 RENT - MACHINE AND OTHER	6,964	6,964
2009 OTHER OPERATING EXPENSE	22,603	4,500
Total, Objects of Expense	\$226,747	\$208,644

METHOD OF FINANCING:

1 General Revenue Fund	226,747	208,644
Total, Method of Finance	\$226,747	\$208,644

FULL-TIME EQUIVALENT POSITIONS (FTE):

2.5	2.5
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention

Combat Online Licensing Violations and Consumer Fraud

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 3 Indirect Administration Statewide Goal/Benchmark: 8 - 0
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Central Administration Service: 09 Income: -A.2 Age: B-3

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	130,720	180,688
2007 RENT - MACHINE AND OTHER	5,572	8,357
2009 OTHER OPERATING EXPENSE	37,608	27,404
Total, Objects of Expense	\$173,900	\$216,449

METHOD OF FINANCING:

1 General Revenue Fund	173,900	216,449
Total, Method of Finance	\$173,900	\$216,449

FULL-TIME EQUIVALENT POSITIONS (FTE):

2.0	3.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Strengthen Public Private Partnership Administration
 Staff the Centralized Accounting and Payroll/Personnel System Conversion

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 8 - 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	414,885	414,885
2001 PROFESSIONAL FEES AND SERVICES	526,299	186,343
2005 TRAVEL	3,500	3,500
2007 RENT - MACHINE AND OTHER	16,713	16,713
2009 OTHER OPERATING EXPENSE	444,603	10,800
5000 CAPITAL EXPENDITURES	200,000	0
Total, Objects of Expense	\$1,606,000	\$632,241

METHOD OF FINANCING:

1 General Revenue Fund	1,606,000	632,241
Total, Method of Finance	\$1,606,000	\$632,241

FULL-TIME EQUIVALENT POSITIONS (FTE):

6.0	6.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention
 Achieve Gartner IT Security Recommendations
 Analyze TDLR's Long-Term IT Systems Needs
 Fund Rising Costs Associated with the Data Center Consolidation (DCC) Service Contract

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:17:01AM

Agency Code: 452 Agency name: Department of Licensing and Regulation

GOAL: 3 Indirect Administration Statewide Goal/Benchmark: 8 - 0
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 3- Other-Support Services Service: -09 Income: -A.2 Age: B.3-

CODE DESCRIPTION	Excp 2016	Excp 2017
------------------	-----------	-----------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	3,099	3,099
Total, Objects of Expense	\$3,099	\$3,099

METHOD OF FINANCING:

1 General Revenue Fund	3,099	3,099
Total, Method of Finance	\$3,099	\$3,099

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Employee Retention

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:44:17AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2014

Bud 2015

BL 2016

BL 2017

5005 Acquisition of Information Resource Technologies

*1/1 Purchase of Information Resource Technologies
 - Scheduled Replacement*

OBJECTS OF EXPENSE

Capital

General	5000	CAPITAL EXPENDITURES		\$82,812	\$83,608	\$82,812	\$83,608
	Capital Subtotal OOE, Project		1	\$82,812	\$83,608	\$82,812	\$83,608
	Subtotal OOE, Project		1	\$82,812	\$83,608	\$82,812	\$83,608

TYPE OF FINANCING

Capital

General	CA	1	General Revenue Fund	\$82,812	\$83,608	\$82,812	\$83,608
	Capital Subtotal TOF, Project		1	\$82,812	\$83,608	\$82,812	\$83,608
	Subtotal TOF, Project		1	\$82,812	\$83,608	\$82,812	\$83,608

3/3 Achieve Gartner IT Security Recommendation

OBJECTS OF EXPENSE

Capital

General	5000	CAPITAL EXPENDITURES		\$0	\$0	\$0	\$0
	Capital Subtotal OOE, Project		3	\$0	\$0	\$0	\$0

Informational

General	1001	SALARIES AND WAGES		\$0	\$0	\$0	\$0
General	2005	TRAVEL		\$0	\$0	\$0	\$0
General	2007	RENT - MACHINE AND OTHER		\$0	\$0	\$0	\$0
General	2009	OTHER OPERATING EXPENSE		\$0	\$0	\$0	\$0

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:44:17AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2014

Bud 2015

BL 2016

BL 2017

Informational Subtotal OOE, Project	3	\$0	\$0	\$0	\$0
Subtotal OOE, Project	3	\$0	\$0	\$0	\$0
TYPE OF FINANCING					
<u>Capital</u>					
General CA 1 General Revenue Fund		\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project	3	\$0	\$0	\$0	\$0
<u>Informational</u>					
General CA 1 General Revenue Fund		\$0	\$0	\$0	\$0
Informational Subtotal TOF, Project	3	\$0	\$0	\$0	\$0
Subtotal TOF, Project	3	\$0	\$0	\$0	\$0
Capital Subtotal, Category	5005	\$82,812	\$83,608	\$82,812	\$83,608
Informational Subtotal, Category	5005	\$0	\$0	\$0	\$0
Total, Category	5005	\$82,812	\$83,608	\$82,812	\$83,608

7000 Data Center Consolidation

2/2 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

General 2001 PROFESSIONAL FEES AND SERVICES		\$485,970	\$523,095	\$485,970	\$523,095
Capital Subtotal OOE, Project	2	\$485,970	\$523,095	\$485,970	\$523,095
Subtotal OOE, Project	2	\$485,970	\$523,095	\$485,970	\$523,095

TYPE OF FINANCING

5.A. Capital Budget Project Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
TIME: 9:44:17AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		Est 2014	Bud 2015	BL 2016	BL 2017
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$485,970	\$523,095	\$485,970	\$523,095
	Capital Subtotal TOF, Project 2	\$485,970	\$523,095	\$485,970	\$523,095
	Subtotal TOF, Project 2	\$485,970	\$523,095	\$485,970	\$523,095
	Capital Subtotal, Category 7000	\$485,970	\$523,095	\$485,970	\$523,095
	Informational Subtotal, Category 7000	\$0	\$0	\$0	\$0
	Total, Category 7000	\$485,970	\$523,095	\$485,970	\$523,095
	AGENCY TOTAL -CAPITAL	\$568,782	\$606,703	\$568,782	\$606,703
	AGENCY TOTAL -INFORMATIONAL	\$0	\$0	\$0	\$0
	AGENCY TOTAL	\$568,782	\$606,703	\$568,782	\$606,703
METHOD OF FINANCING:					
<u>Capital</u>					
General	1 General Revenue Fund	\$568,782	\$606,703	\$568,782	\$606,703
	Total, Method of Financing-Capital	\$568,782	\$606,703	\$568,782	\$606,703
<u>Informational</u>					
General	1 General Revenue Fund	\$0	\$0	\$0	\$0
	Total, Method of Financing-Informational	\$0	\$0	\$0	\$0
	Total, Method of Financing	\$568,782	\$606,703	\$568,782	\$606,703

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:44:17AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2014

Bud 2015

BL 2016

BL 2017

TYPE OF FINANCING:

Capital

General CA CURRENT APPROPRIATIONS

\$568,782

\$606,703

\$568,782

\$606,703

Total, Type of Financing-Capital

\$568,782

\$606,703

\$568,782

\$606,703

Informational

General CA CURRENT APPROPRIATIONS

\$0

\$0

\$0

\$0

Total, Type of Financing-Informational

\$0

\$0

\$0

\$0

Total, Type of Financing

\$568,782

\$606,703

\$568,782

\$606,703

452 Department of Licensing and Regulation

Category Code / Category Name Project Number / Name OOE / TOF / MOF CODE	Excp 2016	Excp 2017
5005 Acquisition of Information Resource Technologies		
<u>3 Gartner IT Security</u>		
Objects of Expense		
1001 SALARIES AND WAGES	413,808	413,808
2005 TRAVEL	3,500	3,500
2007 RENT - MACHINE AND OTHER	16,713	16,713
2009 OTHER OPERATING EXPENSE	444,603	10,800
5000 CAPITAL EXPENDITURES	200,000	0
Subtotal OOE, Project 3	1,078,624	444,821
Type of Financing		
CA 1 General Revenue Fund	200,000	0
CA 1 General Revenue Fund	878,624	444,821
Subtotal TOF, Project 3	1,078,624	444,821
Subtotal Category 5005	1,078,624	444,821
7000 Data Center Consolidation		
<u>2 Data Center Consolidation</u>		
Objects of Expense		
2001 PROFESSIONAL FEES AND SERVICES	276,299	186,343
Subtotal OOE, Project 2	276,299	186,343
Type of Financing		
CA 1 General Revenue Fund	276,299	186,343
Subtotal TOF, Project 2	276,299	186,343
Subtotal Category 7000	276,299	186,343
AGENCY TOTAL	1,354,923	631,164

452 Department of Licensing and Regulation

Category Code / Category Name Project Number / Name OOE / TOF / MOF CODE	Excp 2016	Excp 2017
METHOD OF FINANCING:		
1 General Revenue Fund	1,354,923	631,164
Total, Method of Financing	1,354,923	631,164
TYPE OF FINANCING:		
CA CURRENT APPROPRIATIONS	1,354,923	631,164
Total, Type of Financing	1,354,923	631,164

5.C. Capital Budget Allocation to Strategies (Baseline)
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:45:14AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017	
5005 Acquisition of Information Resource Technologies						
<i>1/1 Computer Upgrade</i>						
<u>GENERAL BUDGET</u>						
Capital	3-1-1	CENTRAL ADMINISTRATION	7,812	8,608	\$0	\$0
	1-1-1	LICENSE, REGISTER AND CERTIFY	20,000	20,000	15,000	15,000
	2-1-1	CONDUCT INSPECTIONS	30,000	30,000	30,000	30,000
	2-1-3	RESOLVE COMPLAINTS	25,000	25,000	20,000	20,000
	2-1-4	INVESTIGATION	0	0	17,812	18,608
		TOTAL, PROJECT	\$82,812	\$83,608	\$82,812	\$83,608
<i>3/3 Gartner IT Security</i>						
<u>GENERAL BUDGET</u>						
Capital	3-1-2	INFORMATION RESOURCES	0	0	0	0
Informational	3-1-2	INFORMATION RESOURCES	0	0	0	0
		TOTAL, PROJECT	\$0	\$0	\$0	\$0
7000 Data Center Consolidation						
<i>2/2 Data Center Consolidation</i>						
<u>GENERAL BUDGET</u>						
Capital	3-1-2	INFORMATION RESOURCES	485,970	523,095	485,970	523,095
		TOTAL, PROJECT	\$485,970	\$523,095	\$485,970	\$523,095

5.C. Capital Budget Allocation to Strategies (Baseline)
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/28/2014
 TIME: 9:45:14AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
	TOTAL CAPITAL, ALL PROJECTS	\$568,782	\$606,703	\$568,782	\$606,703
	TOTAL INFORMATIONAL, ALL PROJECTS	\$0	\$0	\$0	\$0
	TOTAL, ALL PROJECTS	\$568,782	\$606,703	\$568,782	\$606,703

Capital Budget Allocation to Strategies by Project - Exceptional

7/28/2014 9:45:54AM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2016	Excp 2017
5005 Acquisition of Information Resource Technologies			
3	Gartner IT Security		
3 1 2	INFORMATION RESOURCES	413,808	413,808
3 1 2	INFORMATION RESOURCES	3,500	3,500
3 1 2	INFORMATION RESOURCES	16,713	16,713
3 1 2	INFORMATION RESOURCES	444,603	10,800
3 1 2	INFORMATION RESOURCES	200,000	0
TOTAL, PROJECT		1,078,624	444,821
7000 Data Center Consolidation			
2	Data Center Consolidation		
3 1 2	INFORMATION RESOURCES	276,299	186,343
TOTAL, PROJECT		276,299	186,343
TOTAL, ALL PROJECTS		1,354,923	631,164

452 Department of Licensing and Regulation

Category Code/Name	Project Sequence/Name	Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
5005 Acquisition of Information Resource Technologies							
<i>1 Computer Upgrade</i>							
OOE							
Capital							
1-1-1 LICENSE, REGISTER AND CERTIFY							
<u>General Budget</u>							
	5000	CAPITAL EXPENDITURES		20,000	20,000	15,000	15,000
2-1-1 CONDUCT INSPECTIONS							
<u>General Budget</u>							
	5000	CAPITAL EXPENDITURES		30,000	30,000	30,000	30,000
2-1-3 RESOLVE COMPLAINTS							
<u>General Budget</u>							
	5000	CAPITAL EXPENDITURES		25,000	25,000	20,000	20,000
2-1-4 INVESTIGATION							
<u>General Budget</u>							
	5000	CAPITAL EXPENDITURES		0	0	17,812	18,608
3-1-1 CENTRAL ADMINISTRATION							
<u>General Budget</u>							
	5000	CAPITAL EXPENDITURES		7,812	8,608	0	0
TOTAL, OOE's				\$82,812	\$83,608	82,812	83,608
MOF							
GENERAL REVENUE FUNDS							

452 Department of Licensing and Regulation

Category Code/Name	Project Sequence/Name	Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
1 Computer Upgrade							
Capital							
1-1-1 LICENSE, REGISTER AND CERTIFY							
General Budget							
	1	General Revenue Fund		20,000	20,000	15,000	15,000
2-1-1 CONDUCT INSPECTIONS							
General Budget							
	1	General Revenue Fund		30,000	30,000	30,000	30,000
2-1-3 RESOLVE COMPLAINTS							
General Budget							
	1	General Revenue Fund		25,000	25,000	20,000	20,000
2-1-4 INVESTIGATION							
General Budget							
	1	General Revenue Fund		0	0	17,812	18,608
3-1-1 CENTRAL ADMINISTRATION							
General Budget							
	1	General Revenue Fund		7,812	8,608	0	0
TOTAL, GENERAL REVENUE FUNDS				\$82,812	\$83,608	82,812	83,608
TOTAL, MOFs				\$82,812	\$83,608	82,812	83,608

452 Department of Licensing and Regulation

Category Code/Name	Project Sequence/Name	Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
3 Gartner III Security							
OOE							
Capital							
3-1-2 INFORMATION RESOURCES							
<u>General Budget</u>							
		5000	CAPITAL EXPENDITURES	0	0	0	0
Informational							
3-1-2 INFORMATION RESOURCES							
<u>General Budget</u>							
		1001	SALARIES AND WAGES	0	0	0	0
		2005	TRAVEL	0	0	0	0
		2007	RENT - MACHINE AND OTHER	0	0	0	0
		2009	OTHER OPERATING EXPENSE	0	0	0	0
			TOTAL, OOE's	\$0	\$0	0	0
MOF							
GENERAL REVENUE FUNDS							
Capital							
3-1-2 INFORMATION RESOURCES							
<u>General Budget</u>							
		1	General Revenue Fund	0	0	0	0
Informational							
3-1-2 INFORMATION RESOURCES							
<u>General Budget</u>							
		1	General Revenue Fund	0	0	0	0

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
3 Gartner IT Security					
TOTAL, GENERAL REVENUE FUNDS		\$0	\$0	\$0	\$0
TOTAL, MOFs		\$0	\$0	\$0	\$0

7000 Data Center Consolidation

2 Data Center Consolidation

OOE

Capital

3-1-2 INFORMATION RESOURCES

General Budget

2001 PROFESSIONAL FEES AND SERVICES

TOTAL, OOE's

485,970	523,095	485,970	523,095
\$485,970	\$523,095	485,970	523,095

MOF

GENERAL REVENUE FUNDS

Capital

3-1-2 INFORMATION RESOURCES

General Budget

1 General Revenue Fund

TOTAL, GENERAL REVENUE FUNDS

TOTAL, MOFs

485,970	523,095	485,970	523,095
\$485,970	\$523,095	485,970	523,095
\$485,970	\$523,095	485,970	523,095

452 Department of Licensing and Regulation

		Est 2014	Bud 2015	BL 2016	BL 2017
CAPITAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS		\$568,782	\$606,703	568,782	606,703
	TOTAL, GENERAL BUDGET	568,782	606,703	568,782	606,703
INFORMATIONAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS		\$0	\$0	0	0
	TOTAL, GENERAL BUDGET	0	0	0	0
	TOTAL, ALL PROJECTS	\$568,782	\$606,703	568,782	606,703

6.A. Historically Underutilized Business Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
 Time: 9:46:27AM

Agency Code: 452 Agency: Department of Licensing and Regulation

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2012 - 2013 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2012			Total Expenditures FY 2012			HUB Expenditures FY 2013			Total Expenditures FY 2013	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$
11.2%	Heavy Construction	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	0.0%	\$0	\$0	0.0 %
21.1%	Building Construction	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	0.0%	\$0	\$0	0.0 %
32.7%	Special Trade Construction	32.7 %	66.6%	33.9%	\$649	\$974	32.7 %	75.5%	42.8%		\$157	\$208	
23.6%	Professional Services	23.6 %	100.0%	76.4%	\$52,292	\$52,292	23.6 %	100.0%	76.4%		\$45,274	\$45,274	
24.6%	Other Services	24.6 %	7.9%	-16.7%	\$74,636	\$949,909	24.6 %	10.5%	-14.1%		\$126,364	\$1,201,896	
21.0%	Commodities	21.0 %	40.8%	19.8%	\$237,282	\$581,918	21.0 %	19.3%	-1.7%		\$73,774	\$381,673	
	Total Expenditures		23.0%		\$364,859	\$1,585,093		15.1%			\$245,569	\$1,629,051	

B. Assessment of Fiscal Year 2012 - 2013 Efforts to Meet HUB Procurement Goals

Attainment:

TDLR attained or exceeded three of four, or 75%, of the applicable agency HUB procurement goals in FY 2012. The agency attained or exceeded two of four, or 50%, of the applicable agency HUB procurement goals in FY 2013. TDLR, when compared to the state as a whole, exceeded the percentages reported for HUB spending by 66% in Fiscal Year 2012 and by 12% in Fiscal Year 2013.

Applicability:

The Heavy Construction and Building Construction categories were not applicable to the agency's operations in fiscal years 2012 and 2013. No expenditures were recorded by the agency for these categories.

Factors Affecting Attainment:

As the agency continues to grow in size with the addition of new programs to regulate, it becomes increasingly difficult to find HUB vendors for its expanding needs such as phones and phone service, network software and maintenance, etc.

"Good-Faith" Efforts:

The following efforts demonstrate TDLR's commitment to offering contracting opportunities to all Texans:

- 1) The Deputy Executive Director continues to serve as the agency's HUB Coordinator.
- 2) Contract specifications were written to reflect actual agency requirements and did not impose unreasonable or unnecessary contract requirements.
- 3) TDLR has utilized TPASS's HUB Directory and adopted TPASS's HUB Rules.
- 4) The agency has required that purchases from HUB vendors be made throughout all categories, ensuring that wherever possible, all underutilized groups were

6.A. Historically Underutilized Business Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:46:27AM

Agency Code: 452 Agency: Department of Licensing and Regulation

represented in our purchasing practices:

5) TDLR purchasing personnel attended forums and business fairs sponsored by the TPASS HUB Program and distributed TDLR purchasing information to interested HUB vendors.

6.E. Estimated Revenue Collections Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2013	Exp 2014	Exp 2015	Bud 2016	Est 2017
1 General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3035 Commercial Transportation Fees	5,558,571	5,527,095	5,527,095	5,527,095	5,527,095
3146 Boxing Admissions Tax	601,091	872,466	601,091	601,091	601,091
3147 Boxing & Wrestling Licenses	182,551	162,595	162,595	162,595	162,595
3160 Mfg/Ind Housing Reg Fees	223,700	216,933	220,731	222,146	222,146
3161 Mfg/Ind Housing Inspect Fees	267,097	314,281	345,164	382,166	382,166
3163 Penalties Mfg/Ind Housing Violation	22,475	12,500	22,475	22,475	22,475
3164 Boiler Inspection Fees	2,533,567	2,533,085	2,655,191	2,764,965	2,764,965
3175 Professional Fees	24,491,983	20,472,856	18,339,177	18,496,744	18,596,106
3366 Business Fees-Natural Resources	523,906	519,417	496,792	496,792	496,792
3562 Health Related Profession Fees	46,925	46,834	0	0	0
3727 Fees - Administrative Services	4,306,417	4,238,713	4,281,100	4,323,911	4,323,911
Subtotal: Actual/Estimated Revenue	38,758,283	34,916,775	32,651,411	32,999,980	33,099,342
Total Available	\$38,758,283	\$34,916,775	\$32,651,411	\$32,999,980	\$33,099,342
DEDUCTIONS:					
Expended/Budgeted/Requested	(23,903,133)	(23,423,140)	(23,624,309)	(23,523,724)	(23,523,725)
Transfer-Employee Benefits	(4,672,304)	4,992,741	(5,219,661)	(5,219,661)	(5,219,661)
Unemployment Benefits	(8,333)	(4,100)	(6,217)	(6,217)	(6,217)
Total, Deductions	\$(28,583,770)	\$(18,434,499)	\$(28,850,187)	\$(28,749,602)	\$(28,749,603)
Ending Fund/Account Balance	\$10,174,513	\$16,482,276	\$3,801,224	\$4,250,378	\$4,349,739

REVENUE ASSUMPTIONS:

Estimated Revenues reflect significant fee reductions implemented in 2014 in compliance with Occupations Code, Chapter 51, §51.202. Except for some increases in Industrialized Housing (3161) and Boiler (3164), revenues are estimated to be fairly flat for the 2016-17 biennium.

CONTACT PERSON:

Jerald A. Daniels

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
 Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

ARCHITECTURAL BARRIERS ADVISORY COM

Statutory Authorization: Government Code §469.053
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$300	\$2,116	\$2,116	\$2,116
OTHER OPERATING	0	0	2,475	2,475	2,475
Total, Committee Expenditures	\$300	\$300	\$4,591	\$4,591	\$4,591
Method of Financing					
General Revenue Fund	\$300	\$300	\$4,591	\$4,591	\$4,591
Total, Method of Financing	\$300	\$300	\$4,591	\$4,591	\$4,591
Meetings Per Fiscal Year	0	0	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Elimination of Architectural Barriers (AB) Advisory Committee was established by Chapter 469, Government Code, to advise the Texas Commission of Licensing and Regulation on proposed rules, procedures and standards relating to the AB program and recommends changes as appropriate. The Committee's review and advice on rules, procedures and accessibility standards is vital to ensuring accessibility in the State of Texas.

The nine member committee consists of four building professionals and five persons with disabilities who are familiar with architectural barrier problems and solutions. Members serve three-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. Without this Committee, the agency would have difficulty assessing the broad constituency represented by the Committee's membership. Insight into the needs of persons with disabilities and problems inherent in building design and construction is essential to carry out the intentions of the Act.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
 Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

A/C & REFRIG. CONTRACTORS ADVISORY BOARD

Statutory Authorization: Occupations Code, §1302.201
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1987
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,896	\$5,559	\$2,116	\$2,116	\$2,116
OTHER OPERATING	2,475	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$5,371	\$8,034	\$4,591	\$4,591	\$4,591
Method of Financing					
General Revenue Fund	\$5,371	\$8,034	\$4,591	\$4,591	\$4,591
Total, Method of Financing	\$5,371	\$8,034	\$4,591	\$4,591	\$4,591
Meetings Per Fiscal Year	2	4	2	2	2

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Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Air Conditioning and Refrigeration Contractors Advisory Board was established by Chapter 1302, Occupations Code, to advise the Texas Commission of Licensing and Regulation in adopting rules, setting fees, and administering and enforcing Chapter 1302.

The nine member board consists of five licensed contractors experienced in design, installation, construction, maintenance, and alterations for air conditioning and refrigeration equipment and two municipal officials. The 83rd Legislature, through HB 1503, added a licensed contractor and a building contractor engaged in home construction and is a member of a statewide building trade association to this advisory board. Members serve six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. Additionally, the Executive Director and the chief administrator of Chapter 1302 serve as ex officio, non-voting members. The Board provides valuable input on rules, penalty matrices, fees, examinations, applicant qualifications, and various issues and changes involving the air conditioning and refrigeration industry. The Board Members' technical expertise is important in assisting the agency in the enforcement of Chapter 1302.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

AUCTIONEER EDUCATION ADVISORY BOARD

Statutory Authorization: Occupations Code, §1802.101-109
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$1,598	\$300	\$3,931	\$3,931	\$3,931
OTHER OPERATING	1,925	0	1,925	1,925	1,925
Total, Committee Expenditures	\$3,523	\$300	\$5,856	\$5,856	\$5,856
Method of Financing					
General Revenue Fund	\$3,523	\$300	\$5,856	\$5,856	\$5,856
Total, Method of Financing	\$3,523	\$300	\$5,856	\$5,856	\$5,856
Meetings Per Fiscal Year	1	0	4	4	4

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Auctioneer Education Advisory Board was established by Chapter 1802, Occupations Code, to advise the Texas Commission of Licensing and Regulation on matters relating to the use of the Auctioneer Education Recovery Fund. The Auctioneer Education Recovery Fund is a trust fund with the comptroller for the payment of claims against auctioneers licensed under this chapter. The advisory board advises the commission on funding matters relating to specific classes, seminars, or events for the education and advancement of the auctioneering profession in this state.

The seven member board consists of four members who are licensed auctioneers appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the Commission's approval; the administrative head, or the administrative head's designee, of any state agency or office that is selected by the Commission; and two public members. In appointing advisory board members under Subsection (a)(1), the Chairman of the Commission shall consider the geographical diversity of the members. Members serve two-year terms. The Board has been effective in providing advice on the distribution of grant funding. The Department and the Commission rely on the Board for industry knowledge. The assistance of the Board is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

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Agency Code: 452 Agency: Department of Licensing and Regulation

BOARD OF BOILER RULES

Statutory Authorization: Health & Safety Code, §755.011-018
 Number of Members: 11
 Committee Status: Ongoing
 Date Created: 09/01/1977
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$300	\$2,116	\$2,116	\$2,116
OTHER OPERATING	0	0	3,025	3,025	3,025
Total, Committee Expenditures	\$300	\$300	\$5,141	\$5,141	\$5,141
Method of Financing					
General Revenue Fund	\$300	\$300	\$5,141	\$5,141	\$5,141
Total, Method of Financing	\$300	\$300	\$5,141	\$5,141	\$5,141
Meetings Per Fiscal Year	0	0	2	2	2

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Board of Boiler Rules was established by Chapter 755, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on adopting rules and definitions relating to the safe construction, installation, inspection, operating limits, alterations and repair of boilers and appurtenances. The Board also makes fee recommendations to the Commission. These functions are essential to the agency's goal of ensuring continued safe operation of boilers in Texas.

The eleven member board is presided over by TDLR's Chief Boiler Inspector, as the Executive Director's designee, and also includes three owners or users of boilers, three representatives of insurers of boilers, one manufacturer or installer of boilers, one representative of organizations that repair or alter boilers, one representative of a labor union and two public members added by the 81st Legislature through HB 2548. Members serve six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Executive Director serves as an ex officio member of the Board. The Board provides highly technical expertise and gives advice from several viewpoints not represented with the Department and is essential to ensuring the safe operation of boilers in the State of Texas.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

PROP. TAX CONSULTANTS ADVISORY COUNCIL

Statutory Authorization: Occupations Code, §1152.101-108
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 08/01/1991
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$300	\$1,208	\$1,208	\$1,208
OTHER OPERATING	0	0	1,925	1,925	1,925
Total, Committee Expenditures	\$300	\$300	\$3,133	\$3,133	\$3,133
Method of Financing					
General Revenue Fund	\$300	\$300	\$3,133	\$3,133	\$3,133
Total, Method of Financing	\$300	\$300	\$3,133	\$3,133	\$3,133
Meetings Per Fiscal Year	0	0	1	1	1

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Property Tax Consultants Advisory Council was established by Chapter 1152, Occupations Code, to make recommendations to the Executive Director concerning standards of practice, conduct and ethics for registrants, fees, examination content, standards of acceptable performance for senior property tax consultants, recognition of continuing education programs and courses, and establishing education requirements for initial applicants.

The seven member council consists of registered senior property tax consultants with experience and memberships outlined in §1152.102 of the Occupations Code, and one public member added by the 81st Legislature through HB 2548. Members serve staggered three-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The advisory board advises on the education of registrants, technical standards of appraisal and property tax appeals, the tax code, and issues of public finance.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

INDUSTRIALIZED BUILDING CODE COUNCIL

Statutory Authorization: Occupations Code, §1202.051-057
 Number of Members: 12
 Committee Status: Ongoing
 Date Created: 09/01/1985
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$1,598	\$1,615	\$2,116	\$2,116	\$2,116
OTHER OPERATING	3,300	3,300	3,300	3,300	3,300
Total, Committee Expenditures	\$4,898	\$4,915	\$5,416	\$5,416	\$5,416
Method of Financing					
General Revenue Fund	\$4,898	\$4,915	\$5,416	\$5,416	\$5,416
Total, Method of Financing	\$4,898	\$4,915	\$5,416	\$5,416	\$5,416
Meetings Per Fiscal Year	1	1	2	2	2

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Industrialized Building Code Council was established by Chapter 1202, Occupations Code, to direct the Texas Commission of Licensing and Regulation in matters related to state building codes. The Council is a decision-making body for adopting state codes which ensure designs, plans, specifications, construction and siting of industrial housing and buildings meet mandatory codes and construction methods. The council establishes criteria for approval of third-party inspectors and design review agencies, and provides expertise on enforcement of building codes and construction methods.

The twelve member council consists of three building officials, three manufacturers, three general contractors, one structural engineer, one electrical engineer, and one professional architect. Members serve staggered two-year terms and are appointed by the Governor with the consent of the Senate. If abolished, the Department would lose expertise in engineering, building code enforcement and manufacturing and would be required to hire additional technical staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

ELEVATOR ADVISORY BOARD

Statutory Authorization: Texas Health & Safety Code, §754.012-013
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1993
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$1,598	\$1,615	\$2,116	\$2,116	\$2,116
OTHER OPERATING	2,475	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$4,073	\$4,090	\$4,591	\$4,591	\$4,591
Method of Financing					
General Revenue Fund	\$4,073	\$4,090	\$4,591	\$4,591	\$4,591
Total, Method of Financing	\$4,073	\$4,090	\$4,591	\$4,591	\$4,591
Meetings Per Fiscal Year	1	1	2	2	2

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Elevator Advisory Board was established by Chapter 754, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on the adoption of appropriate standards for the installation, alteration, operation, and inspection of elevators, escalators, and related equipment in Texas. These functions are essential to the agency's goal of ensuring the safety of people in Texas who ride or work on elevators, escalators, and related equipment.

The nine member Board consists of one insurance industry representative or certified elevator inspector, one equipment constructor, two building owners/managers, one equipment maintenance company representative, one equipment manufacturer, one professional engineer or architect, one consumer with a physical disability, and one public member. Members serve three-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The comprehensive mix provides the agency a balance of industry perspectives and consumer interests. The Board's viewpoints and expertise regarding technical issues are crucial for the Department to formulate policies and procedures, adopt appropriate standards, and enhance safety of equipment.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

WATER WELL DRILLERS ADVISORY COUNCIL

Statutory Authorization: Occupations Code, §1901.101-109
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1992
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,896	\$1,615	\$2,116	\$2,116	\$2,116
OTHER OPERATING	2,475	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$5,371	\$4,090	\$4,591	\$4,591	\$4,591
Method of Financing					
General Revenue Fund	\$5,371	\$4,090	\$4,591	\$4,591	\$4,591
Total, Method of Financing	\$5,371	\$4,090	\$4,591	\$4,591	\$4,591
Meetings Per Fiscal Year	2	1	2	2	2

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Water Well Drillers Advisory Council was established by Chapter 1901, Occupations Code, to advise Texas Commission of Licensing and Regulation and the Department of the contents of the licensing examination, assists the Department in the evaluation of continuing education programs, recommends standards relating to the qualifications of continuing education providers, topics, and instructors and recommends rules for adoption and changes in program fees.

The nine member Council consists of six licensed drillers experienced in water well drilling, completion, plugging methods and techniques, and three public members. One member is selected from the state at large and the other five must be selected, one each, from the following geographic regions; Gulf Coast, Trans-Pecos, Central Texas, Northeast Texas and the Panhandle-South Plains. Members serve six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The technical expertise of the Council members is invaluable to the Department and to the Commission. Without the Council, the Department would be forced to pay outside experts or hire additional staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

WEATHER MODIFICATION ADV COMMITTEE

Statutory Authorization: Texas Water Code, §301.053
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/1967
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,896	\$2,929	\$3,024	\$3,024	\$3,024
OTHER OPERATING	1,375	1,375	1,375	1,375	1,375
Total, Committee Expenditures	\$4,271	\$4,304	\$4,399	\$4,399	\$4,399
Method of Financing					
General Revenue Fund	\$4,271	\$4,304	\$4,399	\$4,399	\$4,399
Total, Method of Financing	\$4,271	\$4,304	\$4,399	\$4,399	\$4,399
Meetings Per Fiscal Year	2	2	3	3	3

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Weather Modification Advisory Committee advises the Texas Commission of Licensing and Regulation and the Department and makes recommendations concerning legislation, policies, administration, research, and other matters related to the Department's duties, powers, or functions under the Weather Modification program.

The Committee consists of five members appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Department relies on the Committee for advice and assistance in the development of rules and standards, and for technical expertise in administering the Weather Modification Program. Because the staffing resources that the Department can allocate to this program are very limited, the advice and assistance of the committee is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

ELECTRICAL SAFETY & LICENSING ADVISORY BOARD

Statutory Authorization: Occupations Code, §1305.051-055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2003
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$1,598	\$2,929	\$2,116	\$2,116	\$2,116
OTHER OPERATING	2,475	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$4,073	\$5,404	\$4,591	\$4,591	\$4,591
Method of Financing					
General Revenue Fund	\$4,073	\$5,404	\$4,591	\$4,591	\$4,591
Total, Method of Financing	\$4,073	\$5,404	\$4,591	\$4,591	\$4,591
Meetings Per Fiscal Year	1	2	2	2	2

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Electrical Safety and Licensing Advisory Board was established by Chapter 1305, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Electrical Safety Program. The Board's technical input on examinations, applicant qualifications, and the industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and standards.

The nine member board consists of three master electricians, three journeyman electricians and three public members. Two of these members are affiliated with a statewide association of electrical contractors not affiliated with a labor organization; three members are affiliated with a labor organization; one member who is not affiliated with a statewide association of electrical contractors or with a labor organization; one member who is affiliated with a historically underutilized business; and one public member who is a building contractor principally engaged in home construction and is a member of a statewide building trade association. HB 1503 amended a public member designation to require a contractor engaged in home construction be added. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

MEDICAL ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §2052.055
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 01/01/2004
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,194	\$1,615	\$2,116	\$2,116	\$2,116
OTHER OPERATING	1,925	1,925	1,925	1,925	1,925
Total, Committee Expenditures	\$6,119	\$3,540	\$4,041	\$4,041	\$4,041
Method of Financing					
General Revenue Fund	\$6,119	\$3,540	\$4,041	\$4,041	\$4,041
Total, Method of Financing	\$6,119	\$3,540	\$4,041	\$4,041	\$4,041
Meetings Per Fiscal Year	3	1	2	2	2

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Agency Code: 452 Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Medical Advisory Committee was established by Chapter 2052, Occupations Code, to advise the Texas Commission of Licensing and Regulation on health and safety matters related to combative sports, including physical tests for contestants. The Medical Advisory Committee provides the department with professional medical advice on combative sport contestants' health issues and offers expertise and recommendations on proposed rules and combative sports safety procedures. Without the medically trained professionals staff would have to hire experts in trauma, head injury, neurology and other medical specialties.

The seven member committee consists of four medical doctors licensed by the State of Texas, one emergency medical technician and two public members. Members serve staggered two-year terms and are appointed by the Chairman of the Texas of Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

ADVISORY BOARD ON BARBERING

Statutory Authorization: Occupations Code, §1601.051-59
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,194	\$5,559	\$3,024	\$3,024	\$3,024
OTHER OPERATING	1,375	1,375	1,375	1,375	1,375
Total, Committee Expenditures	\$5,569	\$6,934	\$4,399	\$4,399	\$4,399
Method of Financing					
General Revenue Fund	\$5,569	\$6,934	\$4,399	\$4,399	\$4,399
Total, Method of Financing	\$5,569	\$6,934	\$4,399	\$4,399	\$4,399
Meetings Per Fiscal Year	3	4	3	3	3

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Barbering was established by Chapter 1601, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Barbering Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules, and health and safety standards.

The five member board consists of two members who are engaged in the practice of barbering as a Class A barber and do not hold a barbershop permit, two members who are barbershop owners and hold a barbershop permit, and one member who holds a permit to conduct or operate a barber school. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

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Agency Code: 452 Agency: Department of Licensing and Regulation

ADVISORY BOARD ON COSMETOLOGY

Statutory Authorization: Occupations Code, §1602.051
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,194	\$5,559	\$3,024	\$3,024	\$3,024
OTHER OPERATING	2,475	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$6,669	\$8,034	\$5,499	\$5,499	\$5,499
Method of Financing					
General Revenue Fund	\$6,669	\$8,034	\$5,499	\$5,499	\$5,499
Total, Method of Financing	\$6,669	\$8,034	\$5,499	\$5,499	\$5,499
Meetings Per Fiscal Year	3	4	3	3	3

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Cosmetology was established by Chapter 1602, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Cosmetology Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and health and safety standards.

The nine member board consists of one member who holds a license for a beauty shop that is part of a chain of beauty shops, one member who holds a license for a beauty shop that is not part of a chain of beauty shops; one member who holds a private beauty culture school license; two members who each hold an operator license; one member who represents a licensed public secondary or post secondary beauty culture school; one member who represents a licensed public secondary beauty culture school; and two public members. The associate commissioner for occupational education and technology of the Texas Education Agency or the associate commissioner's designee shall serve as an ex officio member of the board without voting privileges. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

TOWING, STORAGE AND BOOTING ADVISORY BOARD

Statutory Authorization: Occupations Code, §2308.051-061
 Number of Members: 10
 Committee Status: Ongoing
 Date Created: 09/01/2007
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,194	\$2,929	\$2,116	\$2,116	\$2,116
OTHER OPERATING	2,750	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$6,944	\$5,679	\$4,866	\$4,866	\$4,866
Method of Financing					
General Revenue Fund	\$6,944	\$5,679	\$4,866	\$4,866	\$4,866
Total, Method of Financing	\$6,944	\$5,679	\$4,866	\$4,866	\$4,866
Meetings Per Fiscal Year	3	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014

Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Towing, Storage and Booting Advisory Board was established by Chapter 2308, Occupations Code, to advise the Texas Commission of Licensing and Regulation on matters relating to vehicle storage, towing and booting. The board provides advice on proposed rules and its input is vital to the successful regulation of this program in Texas. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The Board consists of ten members, representing towing companies, vehicle storage facilities, booting companies, law enforcement, parking facility owners, the insurance industry, and the public. Members are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
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Date: 7/28/2014
 Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

USED AUTOMOTIVE PARTS RECYCLING ADVISORY BOARD

Statutory Authorization: Texas Occupations Code, § 2309.056
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$1,615	\$2,116	\$2,116	\$2,116
OTHER OPERATING	0	1,375	1,375	1,375	1,375
Total, Committee Expenditures	\$300	\$2,990	\$3,491	\$3,491	\$3,491
Method of Financing					
General Revenue Fund	\$300	\$2,990	\$3,491	\$3,491	\$3,491
Total, Method of Financing	\$300	\$2,990	\$3,491	\$3,491	\$3,491
Meetings Per Fiscal Year	0	1	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Used Automotive Parts Recycling Advisory Board was established by Chapter 2309, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning technical matters relevant to the administration and enforcement of the program. Without this Advisory Board, the agency will be forced to acquire an industry knowledge base through additional staffing.

The Board consists of five members who are used automotive parts recyclers, one of whom is a member of a foreign owned entity. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
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Date: 7/28/2014
 Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

POLYGRAPH ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, Chapter 1703.051-058
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,896	\$2,929	\$1,208	\$1,208	\$1,208
OTHER OPERATING	1,375	1,375	1,375	1,375	1,375
Total, Committee Expenditures	\$4,271	\$4,304	\$2,583	\$2,583	\$2,583
Method of Financing					
General Revenue Fund	\$4,271	\$4,304	\$2,583	\$2,583	\$2,583
Total, Method of Financing	\$4,271	\$4,304	\$2,583	\$2,583	\$2,583
Meetings Per Fiscal Year	2	2	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

84th Regular Session, Agency Submission, Version 1
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Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Polygraph Advisory Committee was established by Chapter 1703, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation and the Department concerning educational requirements to become a polygraph examiner, the contents of licensing examinations, technical issues related to polygraph exams, and the administration of the program.

The five member committee consists of two polygraph examiner members who are qualified polygraph examiners for a governmental law enforcement agency; two polygraph examiner members who are qualified polygraph examiners in the commercial field; and one member who represents the public. Members are appointed by the Chairman of the Texas Commission of Licensing and Regulation with the consent of the Commission. The Department relies on the committee for advice and assistance in development of rules and standards and for technical expertise in administering the Polygraph Examination program. Because the staffing resources that the Department can allocate to the program are very limited, the committee's advice and assistance is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014

Time: 9:47:14AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

PROPERTY TAX PROFESSIONAL ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, Chapter 1151.051-055
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,896	\$2,929	\$2,116	\$2,116	\$2,116
OTHER OPERATING	1,925	1,925	1,925	1,925	1,925
Total, Committee Expenditures	\$4,821	\$4,854	\$4,041	\$4,041	\$4,041
Method of Financing					
General Revenue Fund	\$4,821	\$4,854	\$4,041	\$4,041	\$4,041
Total, Method of Financing	\$4,821	\$4,854	\$4,041	\$4,041	\$4,041
Meetings Per Fiscal Year	2	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A
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Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Texas Tax Professional Advisory Committee was established by Chapter 1151, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning the education of registrants, technical standards of appraisal, processes of tax appraisal, tax assessing collecting, tax collecting, the tax code, and issues of public finance.

The seven member Committee consists of two members who are certified under this chapter as registered professional appraisers; two members who are certified under this chapter as registered Texas collectors or registered Texas assessors; and three members who represent the public. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
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Date: 7/28/2014
 Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

LICENSED BREEDERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §802.065
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2011
 Date to Be Abolished: 09/01/2014
 Strategy (Strategies): 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2013	Estimated Est 2014	Budgeted Bud 2015	Requested BL 2016	Requested BL 2017
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$1,598	\$300	\$3,024	\$3,024	\$3,024
OTHER OPERATING	2,475	0	2,475	2,475	2,475
Total, Committee Expenditures	\$4,073	\$300	\$5,499	\$5,499	\$5,499
Method of Financing					
General Revenue Fund	\$4,073	\$300	\$5,499	\$5,499	\$5,499
Total, Method of Financing	\$4,073	\$300	\$5,499	\$5,499	\$5,499
Meetings Per Fiscal Year	1	0	3	3	3

6.F.a. Advisory Committee Supporting Schedule ~ Part A
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:47:14AM

Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Licensed Breeders Advisory Committee was established by Chapter 802, Occupations Code, to advise the Texas Commission of Licensing and Regulation and make recommendations on matters related to the administration and enforcement of this chapter, including licensing fees and standards. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The nine member committee consists of two members who are licensed breeders; two members who are veterinarians; two members who represent animal welfare organizations each of which has an office based in this state; two members who represent the public; and one member who is an animal control officer as defined in Section 829.001, Health and Safety Code. Members serve staggered four-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Committee's viewpoint and input regarding matters related to breeding is invaluable to the Department and would require additional staff if the Committee was abolished.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses; however, the Department is seeking an exceptional item to cover this cost.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:42:39AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 Program Layoffs 5%							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: Conduct Inspections							
The proposed 5% reduction would eliminate 12 FTEs. Consumer protection and safety would decrease as required inspections of barber and cosmetology shops and salons would not be completed. The agency predicts a drop in its key performance measure target for this strategy. Fewer inspections would result in fewer violations being prosecuted and compounding decreased consumer safety.							
Resolve Complaints							
The proposed 5% reduction would eliminate 2 FTE. A backlog of complaints requiring investigation would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.							
Investigations							
The proposed 5% reduction would eliminate 5.0 FTEs. Investigation time would increase, placing the public at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.							
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$534,585	\$534,586	\$1,069,171	
General Revenue Funds Total	\$0	\$0	\$0	\$534,585	\$534,586	\$1,069,171	
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$107,027	\$107,027	\$214,054	
General Revenue Funds Total	\$0	\$0	\$0	\$107,027	\$107,027	\$214,054	
Strategy: 2-1-4 Investigate Complaints							
<u>General Revenue Funds</u>							

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
Time: 9:42:39AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$223,685	\$223,685	\$447,370	
General Revenue Funds Total	\$0	\$0	\$0	\$223,685	\$223,685	\$447,370	
Item Total	\$0	\$0	\$0	\$865,297	\$865,298	\$1,730,595	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				19.0	19.0		

2 Program Operating 5%

Category: Programs - Service Reductions (Other)

Item Comment: Licensees and businesses needing licenses in order to operate will be harmed because of the time to process and issue new and renewal licenses will increase. Consumer safety will be harmed because of the decrease of inspections of barber and cosmetology shops and salons. The time to investigate complaints will increase, resulting in fewer investigations, leaving the public at increased risk as bad actors continue operating without penalties. Licensees and the public will face longer wait and response times when calling or emailing at TDLR. A backlog of plan reviews will increase, causing construction projects to be delayed. Providers of continuing education courses will see delays in their courses being approved, reducing the number of courses available to licensees who need the courses to renew their licenses. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$193,018	\$193,018	\$386,036	
General Revenue Funds Total	\$0	\$0	\$0	\$193,018	\$193,018	\$386,036	

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$22,170	\$22,170	\$44,340	
General Revenue Funds Total	\$0	\$0	\$0	\$22,170	\$22,170	\$44,340	

Strategy: 2-1-4 Investigate Complaints

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$75,903	\$75,903	\$151,806	
General Revenue Funds Total	\$0	\$0	\$0	\$75,903	\$75,903	\$151,806	
Item Total	\$0	\$0	\$0	\$291,091	\$291,091	\$582,182	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

3 Administrative Layoffs 10%

Category: Administrative - FTEs / Layoffs

Item Comment: Central Administration

The proposed 10% reduction would eliminate 2 FTEs. The time required to complete administrative tasks will increase, resulting in decreased productivity throughout the agency.

Information Technology

The proposed 10% reduction would eliminate 2 FTEs. The ability to respond quickly with new programming features will be impacted. Revisions to existing systems and the development of new systems will occur less frequently. Will eliminate the ability to successfully transform inefficient existing programs and take on new responsibilities. This will degrade the IT resources and adversely impact all of the agency's key measures.

Other Support Services

The proposed 10% reduction would eliminate 1 FTEs. The time required to process the many mail pieces the agency receives each day will increase. This will adversely impact the ability to make timely deposits of revenue and will extend the time required to review and process licenses and renewals. Additionally, overall productivity will decrease.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$90,452	\$90,452	\$180,904	
General Revenue Funds Total	\$0	\$0	\$0	\$90,452	\$90,452	\$180,904	

Strategy: 3-1-2 Information Resources

General Revenue Funds

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
84th Regular Session, Agency Submission, Version 1
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Date: 7/28/2014
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Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$125,415	\$125,415	\$250,830	
General Revenue Funds Total	\$0	\$0	\$0	\$125,415	\$125,415	\$250,830	
Strategy: 3-1-3 Other Support Services							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$57,368	\$57,368	\$114,736	
General Revenue Funds Total	\$0	\$0	\$0	\$57,368	\$57,368	\$114,736	
Item Total	\$0	\$0	\$0	\$273,235	\$273,235	\$546,470	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				5.0	5.0		
4 Administrative Operating 10%							
Category: Administrative - Operating Expenses							
Item Comment: Productivity throughout the agency will decrease as the time required to complete administrative tasks will increase. The time required to process the many mail pieces the agency receives each day will increase, slowing down the time required to review and process licenses and renewals. The agency' innovative, cost-effective approach to writing and revising its own software will be adversely impacted, further reducing overall agency productivity.							
Strategy: 3-1-1 Central Administration							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
General Revenue Funds Total	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
Strategy: 3-1-2 Information Resources							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
General Revenue Funds Total	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
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Date: 7/28/2014
 Time: 9:42:39AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 3-1-3 Other Support Services							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$11,647	\$1,647	\$13,294	
General Revenue Funds Total	\$0	\$0	\$0	\$11,647	\$1,647	\$13,294	
Item Total	\$0	\$0	\$0	\$58,235	\$48,235	\$106,470	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

5 Program Layoffs 10%

Category: Programs - Service Reductions (FTEs-Layoffs)

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
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Date: 7/28/2014
 Time: 9:42:39AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
<p>Item Comment: License, Register & Certify The proposed 10% reduction would eliminate 2 FTEs. New license applicants & applicants for renewal licenses would be harmed the most. The time needed to issue licenses & renewals would increase, delaying the ability of applicants to begin work. The agency predicts a drop in its key performance measure target for this strategy.</p> <p>Exams/Cont.ED The proposed 10% reduction would eliminate 2 FTEs. Providers of continuing ed. courses would see delays in their courses being approved, which is required on an annual basis. In turn, this could reduce the number of courses available to licensees who must take continuing ed. to renew their licenses. Will adversely impact small business that provide continuing ed. courses.</p> <p>Customer Service The proposed 10% reduction would eliminate 6 FTEs. Licensees & the public at large would be face longer wait times & longer response times when sending emails. The agency's ability to answer inquiries in a timely manner would suffer. The agency predicts a drop in its performance measure target for this strategy.</p> <p>Conduction Inspections The proposed 10% reduction would eliminate 14 FTEs. Consumer protection & safety would decrease as required inspections of barber and cosmetology shops and salons would not be completed. The agency predicts a drop in its key performance measure target for this strategy. Fewer inspections would result in fewer violations being prosecuted and compounding decreased consumer safety.</p> <p>Resolve Complaints The proposed 10% reduction would eliminate 3 FTEs. A backlog of complaints requiring investigation would be created and the public would be at an elevated risk. TDLR predicts a drop in its key performance measure target for this strategy.</p> <p>Investigation The proposed 10% reduction would eliminate 7 FTEs. Investigation time would increase, placing the public at an elevated risk. TDLR predicts a drop in its key performance measure target for this strategy.</p> <p>Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals</p>							
General Revenue Funds							
1 General Revenue Fund	\$0	\$0	\$0	\$75,480	\$75,479	\$150,959	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
General Revenue Funds Total	\$0	\$0	\$0	\$75,480	\$75,479	\$150,959	
Strategy: 1-1-3 Administer Exams to Applicants							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$93,440	\$93,440	\$186,880	
General Revenue Funds Total	\$0	\$0	\$0	\$93,440	\$93,440	\$186,880	
Strategy: 1-1-4 Provide Customer Service							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$223,461	\$223,461	\$446,922	
General Revenue Funds Total	\$0	\$0	\$0	\$223,461	\$223,461	\$446,922	
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$621,921	\$621,921	\$1,243,842	
General Revenue Funds Total	\$0	\$0	\$0	\$621,921	\$621,921	\$1,243,842	
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$145,138	\$145,138	\$290,276	
General Revenue Funds Total	\$0	\$0	\$0	\$145,138	\$145,138	\$290,276	
Strategy: 2-1-4 Investigate Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$313,159	\$313,159	\$626,318	
General Revenue Funds Total	\$0	\$0	\$0	\$313,159	\$313,159	\$626,318	

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
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Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Item Total	\$0	\$0	\$0	\$1,472,599	\$1,472,598	\$2,945,197	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				34.0	34.0		
6 Program Operating 10%							
Category: Programs - Service Reductions (Contracted)							
Item Comment: Licensees and businesses needing licenses in order to operate will be harmed because of the time to process and issue new and renewal licenses will increase. Consumer safety will be harmed because of the decrease of inspections of barber and cosmetology shops and salons. The time to investigate complaints will increase, resulting in fewer investigations, leaving the public at increased risk as bad actors continue operating without penalties. Licensees and the public will face longer wait and response times when calling or emailing at TDLR. A backlog of plan reviews will increase, causing construction projects to be delayed. Providers of continuing education courses will see delays in their courses being approved, reducing the number of courses available to licensees who need the courses to renew their licenses. The agency predicts drops in its key performance measure targets and in revenue.							
Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
General Revenue Funds Total	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
Strategy: 1-1-3 Administer Exams to Applicants							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
General Revenue Funds Total	\$0	\$0	\$0	\$23,294	\$23,294	\$46,588	
Strategy: 1-1-4 Provide Customer Service							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$69,882	\$69,882	\$139,764	
General Revenue Funds Total	\$0	\$0	\$0	\$69,882	\$69,882	\$139,764	

6.I. Percent Biennial Base Reduction Options
10 % REDUCTION
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/28/2014
 Time: 9:42:39AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$244,458	\$244,458	\$488,916	
General Revenue Funds Total	\$0	\$0	\$0	\$244,458	\$244,458	\$488,916	
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$31,251	\$31,251	\$62,502	
General Revenue Funds Total	\$0	\$0	\$0	\$31,251	\$31,251	\$62,502	
Strategy: 2-1-4 Investigate Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$116,529	\$116,529	\$233,058	
General Revenue Funds Total	\$0	\$0	\$0	\$116,529	\$116,529	\$233,058	
Item Total	\$0	\$0	\$0	\$508,708	\$508,708	\$1,017,416	
FTE Reductions (From FY 2016 and FY 2017 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$3,469,165	\$3,459,165	\$6,928,330	\$4,620,537
GR Dedicated Total							\$5,017
Agency Grand Total	\$0	\$0	\$0	\$3,469,165	\$3,459,165	\$6,928,330	
Difference, Options Total Less Target							\$2,302,776
Agency FTE Reductions (From FY 2016 and FY 2017 Base Request)				58.0	58.0		

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$426,040	\$ 389,597	\$ 419,183	\$ 419,183	\$ 419,183
1002 OTHER PERSONNEL COSTS	42,616	17,865	12,060	12,060	12,060
2001 PROFESSIONAL FEES AND SERVICES	52,557	61,057	66,768	62,127	66,768
2002 FUELS AND LUBRICANTS	168	153	182	182	182
2003 CONSUMABLE SUPPLIES	1,837	3,165	2,337	2,338	2,338
2004 UTILITIES	4,469	2,457	5,364	5,364	5,364
2005 TRAVEL	5,295	7,226	5,988	5,988	5,987
2006 RENT - BUILDING	6,302	8,318	8,334	8,334	8,333
2007 RENT - MACHINE AND OTHER	3,600	3,390	4,020	4,020	4,020
2009 OTHER OPERATING EXPENSE	76,653	52,789	41,221	46,937	46,937
5000 CAPITAL EXPENDITURES	627	961	1,076	0	0
Total, Objects of Expense	\$620,164	\$546,978	\$566,533	\$566,533	\$571,172
METHOD OF FINANCING:					
1 General Revenue Fund	544,746	463,353	484,713	484,713	489,352
666 Appropriated Receipts	74,189	82,287	80,460	80,460	80,460
777 Interagency Contracts	1,229	1,338	1,360	1,360	1,360
Total, Method of Financing	\$620,164	\$546,978	\$566,533	\$566,533	\$571,172
FULL TIME EQUIVALENT POSITIONS	6.6	6.4	6.9	6.9	6.9

7.A. Indirect Administrative and Support Costs
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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-2 License Businesses and Facilities					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$222,448	\$ 142,535	\$ 150,905	\$ 150,905	\$ 150,907
1002 OTHER PERSONNEL COSTS	22,249	6,537	4,342	4,342	4,342
2001 PROFESSIONAL FEES AND SERVICES	27,442	22,339	24,037	22,366	24,037
2002 FUELS AND LUBRICANTS	87	56	66	66	66
2003 CONSUMABLE SUPPLIES	959	1,158	842	842	842
2004 UTILITIES	2,333	899	1,932	1,932	1,932
2005 TRAVEL	2,765	2,643	2,154	2,156	2,156
2006 RENT - BUILDING	3,291	3,043	3,000	3,001	3,000
2007 RENT - MACHINE AND OTHER	1,880	1,239	1,447	1,447	1,447
2009 OTHER OPERATING EXPENSE	40,022	19,313	14,840	16,896	16,894
5000 CAPITAL EXPENDITURES	328	352	387	0	0
Total, Objects of Expense	\$323,804	\$200,114	\$203,952	\$203,953	\$205,623
METHOD OF FINANCING:					
1 General Revenue Fund	284,426	169,519	174,496	174,496	176,167
666 Appropriated Receipts	38,736	30,105	28,966	28,967	28,966
777 Interagency Contracts	642	490	490	490	490
Total, Method of Financing	\$323,804	\$200,114	\$203,952	\$203,953	\$205,623
FULL TIME EQUIVALENT POSITIONS	3.5	2.4	2.5	2.5	2.5

7.A. Indirect Administrative and Support Costs
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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-2 License Businesses and Facilities					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-3 Administer Exams to Applicants					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$124,419	\$ 107,695	\$ 114,017	\$ 114,017	\$ 114,018
1002 OTHER PERSONNEL COSTS	12,446	4,938	3,281	3,281	3,281
2001 PROFESSIONAL FEES AND SERVICES	15,349	16,878	18,161	16,899	18,161
2002 FUELS AND LUBRICANTS	49	43	49	49	49
2003 CONSUMABLE SUPPLIES	536	875	635	634	634
2004 UTILITIES	1,305	679	1,460	1,459	1,459
2005 TRAVEL	1,546	1,997	1,629	1,629	1,629
2006 RENT - BUILDING	1,839	2,299	2,267	2,267	2,267
2007 RENT - MACHINE AND OTHER	1,052	938	1,093	1,093	1,093
2009 OTHER OPERATING EXPENSE	22,385	14,590	11,212	12,768	12,769
5000 CAPITAL EXPENDITURES	183	266	293	0	0
Total, Objects of Expense	\$181,109	\$151,198	\$154,097	\$154,096	\$155,360
METHOD OF FINANCING:					
1 General Revenue Fund	159,084	128,082	131,842	131,841	133,105
666 Appropriated Receipts	21,666	22,746	21,885	21,885	21,885
777 Interagency Contracts	359	370	370	370	370
Total, Method of Financing	\$181,109	\$151,198	\$154,097	\$154,096	\$155,360
FULL TIME EQUIVALENT POSITIONS	2.0	1.9	1.8	1.8	1.8

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-3 Administer Exams to Applicants					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-4 Provide Customer Service					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$384,567	\$ 228,056	\$ 241,450	\$ 241,450	\$ 241,450
1002 OTHER PERSONNEL COSTS	38,467	10,459	6,946	6,946	6,946
2001 PROFESSIONAL FEES AND SERVICES	47,440	35,740	38,459	35,786	38,460
2002 FUELS AND LUBRICANTS	151	90	104	104	104
2003 CONSUMABLE SUPPLIES	1,659	1,851	1,347	1,347	1,347
2004 UTILITIES	4,034	1,437	3,089	3,089	3,089
2005 TRAVEL	4,779	4,230	3,449	3,449	3,449
2006 RENT - BUILDING	5,689	4,869	4,800	4,800	4,800
2007 RENT - MACHINE AND OTHER	3,250	1,984	2,315	2,316	2,315
2009 OTHER OPERATING EXPENSE	69,191	30,902	23,743	27,036	27,036
5000 CAPITAL EXPENDITURES	566	563	620	0	0
Total, Objects of Expense	\$559,793	\$320,181	\$326,322	\$326,323	\$328,996
METHOD OF FINANCING:					
1 General Revenue Fund	491,717	271,229	279,194	279,194	281,867
666 Appropriated Receipts	66,966	48,168	46,344	46,345	46,345
777 Interagency Contracts	1,110	784	784	784	784
Total, Method of Financing	\$559,793	\$320,181	\$326,322	\$326,323	\$328,996
FULL TIME EQUIVALENT POSITIONS	6.1	3.9	4.0	4.0	4.0

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-4 Provide Customer Service					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-5	Texas.gov. Estimated and Nontransferable					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$90,486	\$ 76,018	\$ 80,485	\$ 80,485	\$ 80,485
1002	OTHER PERSONNEL COSTS	9,051	3,486	2,315	2,315	2,315
2001	PROFESSIONAL FEES AND SERVICES	11,163	11,913	12,819	11,928	12,819
2002	FUELS AND LUBRICANTS	36	29	34	34	34
2003	CONSUMABLE SUPPLIES	390	617	449	449	449
2004	UTILITIES	950	479	1,029	1,029	1,029
2005	TRAVEL	1,125	1,411	1,150	1,150	1,150
2006	RENT - BUILDING	1,338	1,624	1,600	1,600	1,600
2007	RENT - MACHINE AND OTHER	765	662	771	771	771
2009	OTHER OPERATING EXPENSE	16,280	10,302	7,915	9,013	9,013
5000	CAPITAL EXPENDITURES	133	187	207	0	0
Total, Objects of Expense		\$131,717	\$106,728	\$108,774	\$108,774	\$109,665
METHOD OF FINANCING:						
1	General Revenue Fund	115,699	90,411	93,065	93,065	93,956
666	Appropriated Receipts	15,757	16,056	15,448	15,448	15,448
777	Interagency Contracts	261	261	261	261	261
Total, Method of Financing		\$131,717	\$106,728	\$108,774	\$108,774	\$109,665
FULL TIME EQUIVALENT POSITIONS		1.5	1.2	1.3	1.4	1.4

7.A. Indirect Administrative and Support Costs
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Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-5 Texas.gov. Estimated and Nontransferable					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$1,165,013	\$ 1,092,769	\$ 1,140,177	\$ 1,150,237	\$ 1,150,237
1002 OTHER PERSONNEL COSTS	116,533	50,113	32,803	33,093	33,093
2001 PROFESSIONAL FEES AND SERVICES	143,718	171,257	181,611	170,478	183,212
2002 FUELS AND LUBRICANTS	459	432	493	497	497
2003 CONSUMABLE SUPPLIES	5,024	8,874	6,357	6,414	6,414
2004 UTILITIES	12,220	6,891	14,592	14,720	14,720
2005 TRAVEL	14,478	20,269	16,285	16,430	16,430
2006 RENT - BUILDING	17,234	23,331	22,667	22,867	22,867
2007 RENT - MACHINE AND OTHER	9,845	9,508	10,934	11,029	11,029
2009 OTHER OPERATING EXPENSE	209,609	148,068	112,124	128,800	128,800
5000 CAPITAL EXPENDITURES	1,715	2,695	2,927	0	0
Total, Objects of Expense	\$1,695,848	\$1,534,207	\$1,540,970	\$1,554,565	\$1,567,299
METHOD OF FINANCING:					
1 General Revenue Fund	1,489,615	1,299,648	1,318,418	1,330,050	1,342,784
666 Appropriated Receipts	202,870	230,805	218,852	220,782	220,782
777 Interagency Contracts	3,363	3,754	3,700	3,733	3,733
Total, Method of Financing	\$1,695,848	\$1,534,207	\$1,540,970	\$1,554,565	\$1,567,299
FULL TIME EQUIVALENT POSITIONS	18.4	18.3	18.7	18.8	18.8

7.A. Indirect Administrative and Support Costs
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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-2 Perform Building Plan Reviews					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$203,595	\$ 177,377	\$ 191,148	\$ 187,795	\$ 187,795
1002 OTHER PERSONNEL COSTS	20,366	8,134	5,499	5,403	5,403
2001 PROFESSIONAL FEES AND SERVICES	25,116	27,798	30,446	27,833	29,912
2002 FUELS AND LUBRICANTS	79	71	83	82	82
2003 CONSUMABLE SUPPLIES	878	1,441	1,066	1,047	1,047
2004 UTILITIES	2,136	1,118	2,446	2,403	2,403
2005 TRAVEL	2,530	3,289	2,730	2,680	2,681
2006 RENT - BUILDING	3,011	3,787	3,800	3,734	3,734
2007 RENT - MACHINE AND OTHER	1,720	1,544	1,833	1,801	1,801
2009 OTHER OPERATING EXPENSE	36,631	24,034	18,797	21,028	21,028
5000 CAPITAL EXPENDITURES	300	437	490	0	0
Total, Objects of Expense	\$296,362	\$249,030	\$258,338	\$253,806	\$255,886
METHOD OF FINANCING:					
1 General Revenue Fund	260,321	210,957	221,028	217,151	219,230
666 Appropriated Receipts	35,453	37,464	36,690	36,046	36,047
777 Interagency Contracts	588	609	620	609	609
Total, Method of Financing	\$296,362	\$249,030	\$258,338	\$253,806	\$255,886
FULL TIME EQUIVALENT POSITIONS	3.1	2.9	3.2	3.1	3.1

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452 Department of Licensing and Regulation

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-2 Perform Building Plan Reviews					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs
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Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$554,229	\$ 481,452	\$ 513,080	\$ 509,726	\$ 509,726
1002 OTHER PERSONNEL COSTS	55,439	22,079	14,762	14,665	14,665
2001 PROFESSIONAL FEES AND SERVICES	68,371	75,452	81,724	75,549	81,191
2002 FUELS AND LUBRICANTS	218	191	221	220	220
2003 CONSUMABLE SUPPLIES	2,390	3,909	2,862	2,843	2,843
2004 UTILITIES	5,814	3,036	6,566	6,523	6,523
2005 TRAVEL	6,888	8,930	7,329	7,281	7,281
2006 RENT - BUILDING	8,198	10,279	10,200	10,133	10,134
2007 RENT - MACHINE AND OTHER	4,684	4,189	4,919	4,888	4,888
2009 OTHER OPERATING EXPENSE	99,715	65,235	50,456	57,076	57,076
5000 CAPITAL EXPENDITURES	816	1,187	1,317	0	0
Total, Objects of Expense	\$806,762	\$675,939	\$693,436	\$688,904	\$694,547
METHOD OF FINANCING:					
1 General Revenue Fund	708,651	572,597	593,287	589,410	595,053
666 Appropriated Receipts	96,511	101,688	98,484	97,840	97,840
777 Interagency Contracts	1,600	1,654	1,665	1,654	1,654
Total, Method of Financing	\$806,762	\$675,939	\$693,436	\$688,904	\$694,547
FULL TIME EQUIVALENT POSITIONS	8.8	8.0	8.5	8.4	8.4

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Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-4	Investigate Complaints					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$599,473	\$ 471,949	\$ 503,020	\$ 499,665	\$ 499,665
1002	OTHER PERSONNEL COSTS	59,964	21,644	14,472	14,375	14,375
2001	PROFESSIONAL FEES AND SERVICES	73,953	73,962	80,123	74,057	79,588
2002	FUELS AND LUBRICANTS	236	186	218	216	216
2003	CONSUMABLE SUPPLIES	2,585	3,833	2,805	2,786	2,786
2004	UTILITIES	6,288	2,977	6,436	6,395	6,395
2005	TRAVEL	7,450	8,755	7,186	7,137	7,137
2006	RENT - BUILDING	8,867	10,076	10,000	9,933	9,933
2007	RENT - MACHINE AND OTHER	5,067	4,104	4,823	4,791	4,791
2009	OTHER OPERATING EXPENSE	107,854	63,948	49,466	55,953	55,953
5000	CAPITAL EXPENDITURES	883	1,164	1,291	0	0
Total, Objects of Expense		\$872,620	\$662,598	\$679,840	\$675,308	\$680,839
METHOD OF FINANCING:						
1	General Revenue Fund	766,501	561,296	581,655	577,778	583,309
666	Appropriated Receipts	104,389	99,681	96,553	95,909	95,909
777	Interagency Contracts	1,730	1,621	1,632	1,621	1,621
Total, Method of Financing		\$872,620	\$662,598	\$679,840	\$675,308	\$680,839
FULL TIME EQUIVALENT POSITIONS		9.4	7.9	8.1	8.1	8.1

7.A. Indirect Administrative and Support Costs

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Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2-1-4 Investigate Complaints					
Method of Allocation					

TDLR allocates the indirect costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs
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	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$3,770,270	\$3,167,448	\$3,353,465	\$3,353,463	\$3,353,466
1002 OTHER PERSONNEL COSTS	\$377,131	\$145,255	\$96,480	\$96,480	\$96,480
2001 PROFESSIONAL FEES AND SERVICES	\$465,109	\$496,396	\$534,148	\$497,023	\$534,148
2002 FUELS AND LUBRICANTS	\$1,483	\$1,251	\$1,450	\$1,450	\$1,450
2003 CONSUMABLE SUPPLIES	\$16,258	\$25,723	\$18,700	\$18,700	\$18,700
2004 UTILITIES	\$39,549	\$19,973	\$42,914	\$42,914	\$42,914
2005 TRAVEL	\$46,856	\$58,750	\$47,900	\$47,900	\$47,900
2006 RENT - BUILDING	\$55,769	\$67,626	\$66,668	\$66,669	\$66,668
2007 RENT - MACHINE AND OTHER	\$31,863	\$27,558	\$32,155	\$32,156	\$32,155
2009 OTHER OPERATING EXPENSE	\$678,340	\$429,181	\$329,774	\$375,507	\$375,506
5000 CAPITAL EXPENDITURES	\$5,551	\$7,812	\$8,608	\$0	\$0
Total, Objects of Expense	\$5,488,179	\$4,446,973	\$4,532,262	\$4,532,262	\$4,569,387
Method of Financing					
1 General Revenue Fund	\$4,820,760	\$3,767,092	\$3,877,698	\$3,877,698	\$3,914,823
666 Appropriated Receipts	\$656,537	\$669,000	\$643,682	\$643,682	\$643,682
777 Interagency Contracts	\$10,882	\$10,881	\$10,882	\$10,882	\$10,882
Total, Method of Financing	\$5,488,179	\$4,446,973	\$4,532,262	\$4,532,262	\$4,569,387

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Full-Time-Equivalent Positions (FTE)	59.4	52.9	55.0	55.0	55.0





